

# NJIT



New Jersey's Science &  
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***THE EDGE IN KNOWLEDGE***

# Optimum Waveforms

## 3. Optimum waveforms $\{x_{z,0}(t), x_{z,1}(t)\}$

- Given optimal  $\gamma$  and  $H(f)$ , we wish to design  $\{x_{z,0}(t), x_{z,1}(t)\}$  such that the BEP

$$P_B(E) = Q(\sqrt{\eta}) \text{ with } \eta = \frac{\Delta_E(1,0)}{2N_0}$$

is maximized, or equivalently **the squared Euclidean distance  $\Delta_E(1,0)$  is maximized**

- Constraints:
  - Average energy:  $E_b = \frac{E_0 + E_1}{2}$
  - Bandwidth:  $B_T$

- It is intuitive, and it can be proved as shown in the textbook, that the optimal waveforms must satisfy the condition:

$$x_{z,0}(t) = -x_{z,1}(t) \quad (*)$$

- The corresponding maximum squared Euclidean distance is

$$\Delta_E(1,0) = 4E_b$$

since

$$\begin{aligned} \Delta_E(1,0) &= \int_{-\infty}^{+\infty} |x_{z,1}(t) - (-x_{z,1}(t))|^2 dt = 4 \int_{-\infty}^{+\infty} |x_{z,1}(t)|^2 dt \\ &= 4E_1 = 4E_0 = 4E_b \end{aligned}$$

- The condition (\*) is equivalent to:

$$\begin{aligned} \rho_{01} &= -1 \\ \text{and } E_0 &= E_1 = E_b \end{aligned}$$

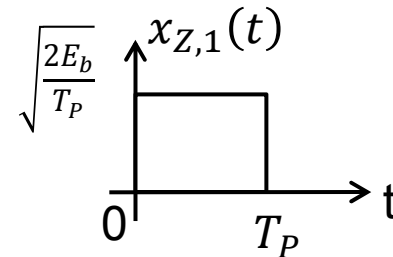
- The BEP with optimal waveforms is

$$P_B(E) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

Ex.:

a) ON-OFF KEYING (OOK)

$$\bullet \quad \begin{cases} x_{z,0}(t) = 0 \\ x_{z,1}(t) = \begin{cases} \sqrt{\frac{2E_b}{T_P}} & 0 \leq t \leq T_P \\ 0 & \text{elsewhere} \end{cases} \end{cases}$$



- Not optimal since  $x_{z,0}(t) \neq -x_{z,1}(t)$
- $E_0 = 0, E_1 = 2E_b \Rightarrow \text{average energy} = \frac{E_0 + E_1}{2} = E_b$
- $\Delta_E(1,0) = E_1 = 2E_b < \underbrace{4E_b}_{\text{optimal squared Euclidean distance}}$
- $P_B(E) = Q\left(\sqrt{\frac{\Delta_E(1,0)}{2N_0}}\right) = Q\left(\sqrt{\frac{E_b}{N_0}}\right)$
- Since we need double  $E_b$  to obtain the same BEP, ON-OFF keying has a loss of 3dB ( $= 10\log_{10}2$ ) with respect to the optimal modulation

## b) BINARY PHASE SHIFT KEYING (BPSK)

- $$\begin{cases} x_{z,1}(t) = \begin{cases} \sqrt{\frac{E_b}{T_P}} & 0 \leq t \leq T_P \\ 0 & \text{elsewhere} \end{cases} \\ x_{z,0}(t) = -x_{z,1}(t) \end{cases}$$
- Optimal since  $x_{z,0}(t) = -x_{z,1}(t)$
- $E_0 = E_b, E_1 = E_b \Rightarrow \text{average energy} = \frac{E_0 + E_1}{2} = E_b$
- $\Delta_E(1,0) = 4E_b$  optimal squared Euclidean distance
- $P_B(E) = Q\left(\sqrt{\frac{\Delta_E(1,0)}{2N_0}}\right) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$

### c) BINARY FREQUENCY SHIFT KEYING (BFSK)

$$\bullet \quad \begin{cases} x_{z,0}(t) = \begin{cases} \sqrt{\frac{E_b}{T_P}} e^{j2\pi f_d t} & 0 \leq t \leq T_P \\ 0 & \text{elsewhere} \end{cases} \\ x_{z,1}(t) = \begin{cases} \sqrt{\frac{E_b}{T_P}} e^{-j2\pi f_d t} & 0 \leq t \leq T_P \\ 0 & \text{elsewhere} \end{cases} \end{cases}$$

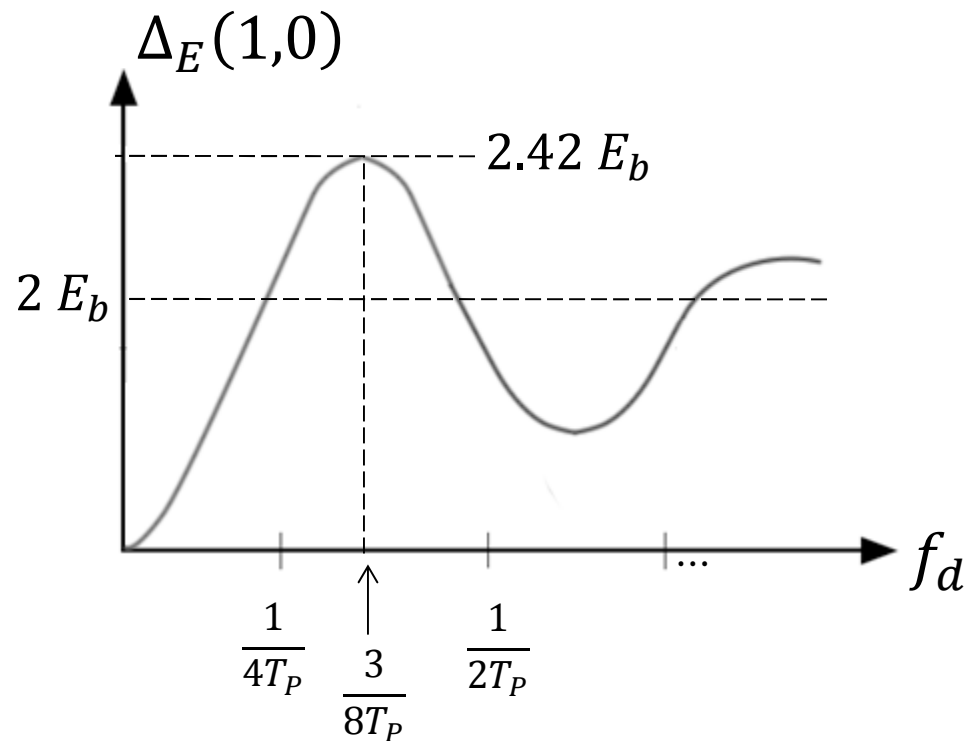
- $f_d \geq 0$  is referred to as the frequency deviation
- The corresponding bandpass signals are

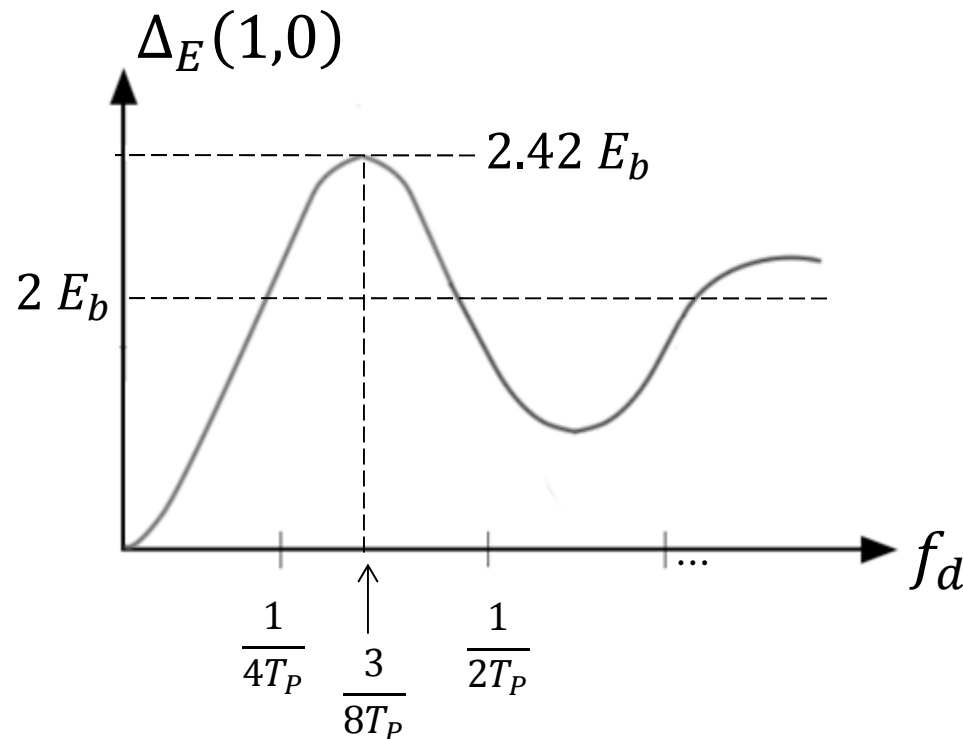
$$\begin{aligned} x_{c,0}(t) &= \sqrt{2} \operatorname{Re}\{x_{z,0}(t)e^{j2\pi(f_c+f_d)t}\} \\ &= \sqrt{2} \cos(2\pi(f_c + f_d)t) \\ x_{c,1}(t) &= \sqrt{2} \cos(2\pi(f_c - f_d)t) \end{aligned}$$

(information encoded in the frequency)



- Not optimal since  $x_{z,0}(t) \neq -x_{z,1}(t)$
- $E_0 = E_b, E_1 = E_b \Rightarrow \text{average energy} = \frac{E_0 + E_1}{2} = E_b$
- $\Delta_E(1,0) = 2E_b(1 - \text{sinc}(4f_d T_P))$  (see textbook for calculation)
- $P_B(E) = Q\left(\sqrt{\frac{E_b}{N_0}}(1 - \text{sinc}(4f_d T_P))\right)$

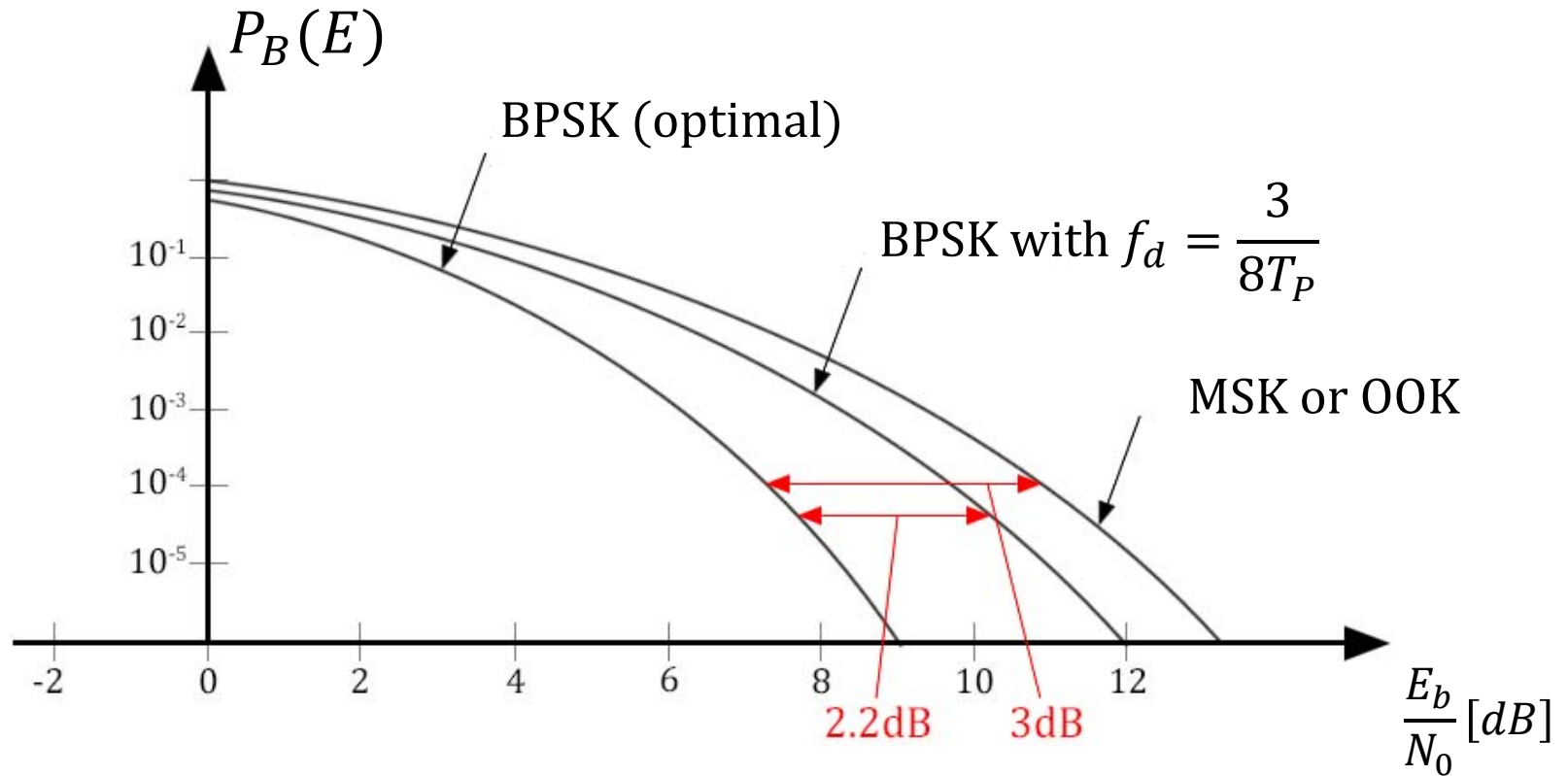




- The optimal  $f_d$  is  $f_d = \frac{3}{8T_P}$ . With this choice, we have  $\Delta_E(1,0) = 2.4E_b$  and hence the loss with respect to the optimal modulation is  $10\log_{10}\left(\frac{1}{0.6}\right) = 2.2dB$ .
- Remark: The optimal  $f_d$  is not  $f_d \rightarrow \infty$ ! In fact with  $f_d \rightarrow \infty$ , we have  $\Delta_E(1,0) = 2E_b$  and hence a 3dB loss. The same result is also obtained with  $f_d = \frac{1}{4T_P}$ : BFSK with  $f_d = \frac{1}{4T_P}$  is known as MSK. ■



- To summarize the previous examples, the BEP is as follows



- Remark: MSK and OOK are orthogonal schemes in the sense that  $\rho_{01} = 0$  (and hence  $x_{z,0}(t)$  and  $x_{z,1}(t)$  are orthogonal).

- Let us now talk about the bandwidth required by some of the mentioned modulation schemes.

Ex.:

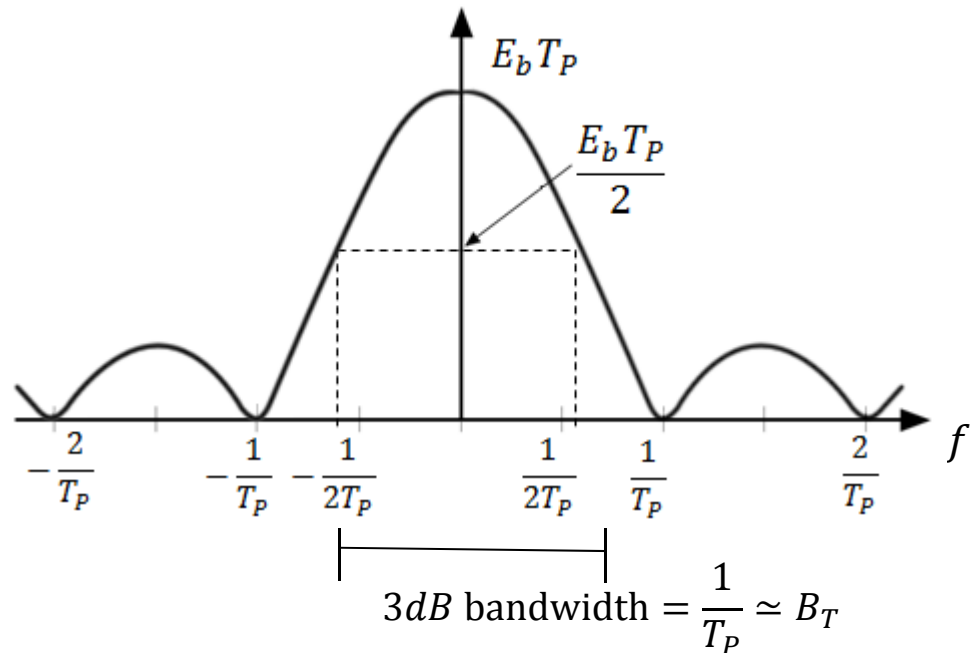
### a) BPSK

- Average energy spectral density

$$\begin{aligned} D_{x_z}(f) &= \frac{1}{2} G_{x_{z,1}}(f) + \frac{1}{2} G_{x_{z,0}}(f) \\ &= G_{x_{z,0}}(f) = G_{x_{z,1}}(f) = |\mathcal{F}\{x_{z,1}(t)\}|^2 \\ &= E_b T_P (\text{sinc}(f T_P))^2 \end{aligned}$$

- Spectral efficiency

$$\eta_B \simeq \frac{1/T_P}{1/T_P} = 1 \text{ bits/s/Hz}$$



## b) BFSK

- Average energy spectral density

$$D_{x_z}(f) = \frac{1}{2} G_{x_{z,1}}(f) + \frac{1}{2} G_{x_{z,0}}(f)$$

$$\text{with } G_{x_{z,0}}(f) = E_b T_P (\text{sinc}((f - f_d)T_P))^2$$

$$G_{x_{z,1}}(f) = E_b T_P (\text{sinc}((f + f_d)T_P))^2$$

- It is easy to see that the bandwidth increases with  $f_d$  (see Fig. 13.23 in the textbook)
- It can be calculated that

$$B_T \simeq \begin{cases} \frac{1.5}{T_P} & \text{for } f_d = \frac{3}{8T_P} \\ \frac{1}{T_P} & \text{for MSK} \end{cases}$$

- Spectral efficiency:

$$\eta_B \simeq \begin{cases} 0.67 & \text{bits/s/Hz for } f_d = \frac{3}{8T_P} \\ 1 & \text{bits/s/Hz for MSK} \end{cases}$$

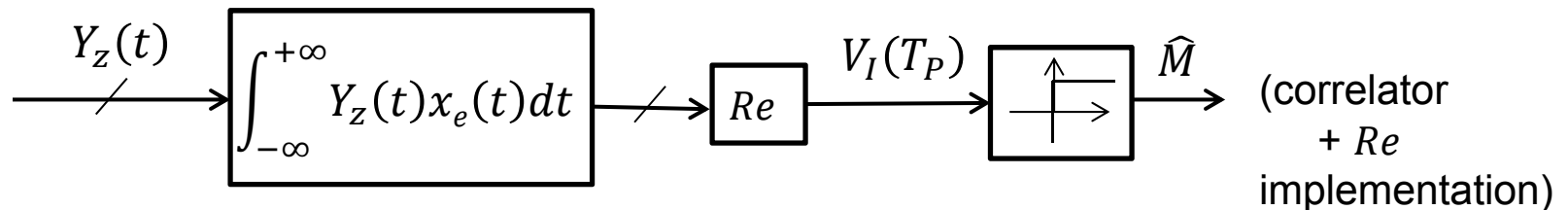


Remark: The optimal decoder structure can often be simplified when considering specific modulation schemes.

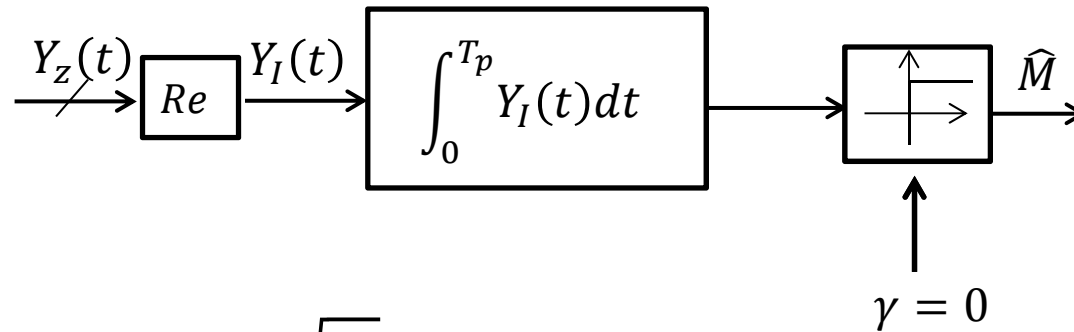
Ex.: BPSK

$$x_e(t) = \begin{cases} 2\sqrt{\frac{E_b}{T_P}} & 0 \leq t \leq T_P \\ 0 & \text{elsewhere} \end{cases}$$

The general structure of the optimal decoder is



which can be simplified as



since  $V_I(T_P) = 2 \sqrt{\frac{E_b}{T_P}} \int_0^{T_P} Y_I(t) dt$



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