

**ECE 788: Network Information Theory**  
**Assignment 8 (due on Nov. 9)**

1. Consider the binary symmetric broadcast channel, defined by the marginals  $P_{Y_1|X}$  and  $P_{Y_2|X}$  as follows

$$Y_j = X \oplus Z_j,$$

with  $Z_j$  such that  $P_{Z_j}(1) = p_j$ ,  $j = 1, 2$ .

1.a. Show that this channel is (in general stochastically) degraded. To do this, argue that the channel described by

$$\begin{aligned} Y_1 &= X \oplus Z_1, \\ Y_2 &= Y_1 \oplus Z'_2. \end{aligned}$$

has the same marginals as the original channel. Find the distribution of  $Z'_2$  that guarantees such equivalence (Hint:  $Z_2$  must have the same distribution as  $Z_1 \oplus Z'_2$ ).

1.b. Sketch the equivalent channel above as a cascade of two binary symmetric channels  $X - Y_1 - Y_2$  (Use the usual representation for binary symmetric channels in terms of transitions between inputs and outputs).

1.c. In the region of rates  $(R_1, R_0 + R_2)$  sketch the set of rates achievable via TDM (Hint: What is the maximum rate achievable by encoder 1 or encoder 2 alone?)

1.d. We now see whether we can do better by superposition coding. Similarly to the Gaussian case, consider the transmission of  $X = U \oplus V$  with  $U, V$  independent and  $P_U(1) = 1/2$  and  $P_V(1) = q$ . Suppose that  $U$  carries information for decoder 2, who treats  $V$  as noise. Sketch the equivalent channel seen by decoder 2 from  $U$  to  $Y_2$  in terms of a single binary symmetric channel (Hint: What is the equivalent noise seen at decoder 2? Translate the noise into a standard diagram for a binary symmetric channel).

1.e. Based on the point above, write the achievable rate  $R_0 + R_2$  (Hint: Calculate  $I(U; Y_2)$ ).

1.f. Noticing that decoder 1 can cancel  $U$  (since it can decode it), find the equivalent (binary symmetric) channel between  $V$ , which carries information for decoder 1, and  $Y_1$ . Calculate the achievable rate (Hint: You should find that it equals  $H(V \oplus Z_1) - H(Z_1) = \dots$ ).

(Additional: Plot the obtained region  $(R_1, R_0 + R_2)$  in MATLAB by varying  $q$  from 0 to 1/2. Compare with the TDM region)

2. Consider the Gaussian broadcast channel. Start with the general achievable rate region achievable with superposition (see derivation in class)

$$\begin{aligned} R_1 &\leq I(X; Y_1|U) \\ R_0 + R_2 &\leq I(U; Y_2) \\ R_0 + R_1 + R_2 &\leq I(X; Y_1). \end{aligned}$$

Show that, by setting  $X = U + V$  with  $U, V$  independent and  $U \sim \mathcal{N}(0, \alpha P)$  and  $V \sim \mathcal{N}(0, (1 - \alpha)P)$  in the expressions above, one obtains the rate region obtained from first principles in class, that is,

$$\begin{aligned} R_1 &\leq \frac{1}{2} \log_2 \left( 1 + \frac{\alpha P}{N_1} \right) \\ R_0 + R_2 &\leq \frac{1}{2} \log_2 \left( 1 + \frac{\alpha P}{N_2 + (1 - \alpha)P} \right). \end{aligned}$$

Why is the third inequality (on  $R_0 + R_1 + R_2$ ) not necessary?

(Hint:  $h(A + B|B) = h(A) = 1/2 \log_2(2\pi e\sigma_A^2)$  if  $A, B$  independent and  $A \sim \mathcal{N}(0, \sigma_A^2)$ .)

**3.** Consider again the Gaussian broadcast channel. Assume that the transmitter sends  $X^n = U^n + V^n$ , where  $U^n$  is the codeword destined to, say, decoder 2, and  $V^n$  (to be designed) carries information for decoder 1. Assume that  $U^n$  is generated i.i.d. from  $\mathcal{N}(0, \alpha P)$ .

**3.a.** Argue that the channel at hand, from the point of view of  $V^n$  can be seen as a point to point Gaussian channel with side information at the encoder about the interference (i.e., the dirty paper coding problem).

**3.b.** Given the above, what is the maximum rate you expect to be able to obtain from  $V^n$ ? Compare it with the rate achievable with superposition coding.