

Detail: when do we not recurse anymore?  
when subarray has size 1

Running time for input of size  $n$  is  $T(n)$   
 $p=1, r=n$

Mergesort( $A, p, r$ )

if  $p < r$  then  $q = \left\lfloor \frac{p+r}{2} \right\rfloor \quad \Theta(1)$

Mergesort( $A, p, q$ )  $T\left(\left\lfloor \frac{n}{2} \right\rfloor\right)$

Mergesort( $A, q+1, r$ )  $T\left(\left\lfloor \frac{n}{2} \right\rfloor\right)$

Merge( $A, p, q, r$ )  $\Theta(n)$

Running time for an input of size  $n$  is  $T(n)$

$$T(n) = T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + \Theta(n) + \Theta(1)$$

we will see later that this is  $= 2 T(n/2) + \Theta(n) = \Theta(n \lg n)$

# Designing Algorithms

Insertion sort uses incremental approach

into a sorted  $A[1..j-1]$ , insert 1 element  $A[j]$

Today -- divide-and-conquer approach

Divide the problem into a number of subproblems

Conquer solve each subproblem recursively

Combine solutions to subproblems into a solution to original problem

Merge sort

Divide: divide  $n$ -element array  $A$  into 2 subarrays of  $n/2$  elements each

Conquer: recursively sort the two subarrays

Combine: merge 2 sorted subarrays into 1 sorted array

Example:  $A = 5 \ 2 \ 4 \ 6 \ 1 \ 3 \ 2 \ 6$

1:  $\underbrace{\quad\quad\quad\quad}_{2 \ 4 \ 5 \ 6} \quad \underbrace{\quad\quad\quad\quad}_{1 \ 2 \ 3 \ 6}$

2:  $2 \ 4 \ 5 \ 6 \quad 1 \ 2 \ 3 \ 6$

3:  $A = \text{Merge}(2 \ 4 \ 5 \ 6) (1 \ 2 \ 3 \ 6) = 1 \ 2 \ 2 \ 3 \ 4 \ 5 \ 6 \ 6$

Intuition: look at the highest degree term, disregard other terms and the constant in front of the highest degree term

$1/2 n^2 + 3n$  “becomes”  $n^2$

$1/2 n^2 - 3n$  “becomes”  $n^2$

$1/2 n^2 \lg n - 3n$  “becomes”  $n^2 \lg n$

$5n^{2.5}$  “becomes”  $n^{2.5}$  (not  $n^2$ )

Which of  $O$ ,  $\Theta$ ,  $\Omega$  should be put in place of the question mark?

$n^2 = ?(n)$      $n^2 = ?(n^3)$      $n^2 = ?(n^2)$

$n^2 \lg n = ?(n)$      $n^2 \lg n = ?(n^2)$      $n^2 \lg n = ?(n^3)$

A word on functions

for any constants  $a, b$  and  $c > 1$ , as  $n$  approaches  $\infty$

even when  $a = 10000$  and  $b = 0.00001$

or  $b = 10000$  and  $c = 1.00001$

$$\lg^a n \leq n^b \leq c^n$$

Thus,  $\lg^a n = O(n^b)$  and  $n^b = O(c^n)$

or equivalently,  $\Omega(\lg^a n) = n^b$  and  $\Omega(n^b) = c^n$

Example (formal): want to show that  $\frac{1}{2}n^2 + 3n = O(n^2)$

$$f(n) = \frac{1}{2}n^2 + 3n \quad g(n) = n^2$$

to show desired result, need  $c$  and  $n_0$  such that  $0 \leq \frac{1}{2}n^2 + 3n \leq cn^2$

try  $c=1$

$$\frac{1}{2}n^2 + 3n \leq n^2 \quad 3n \leq \frac{1}{2}n^2 \quad 6 \leq n \quad \text{i.e. } n_0 = 6$$

Example (formal): want to show that  $\frac{1}{2}n^2 - 3n = \Theta(n^2)$

$$f(n) = \frac{1}{2}n^2 - 3n \quad g(n) = n^2$$

to show desired result, need  $c_1$ ,  $c_2$  and  $n_0$  such that

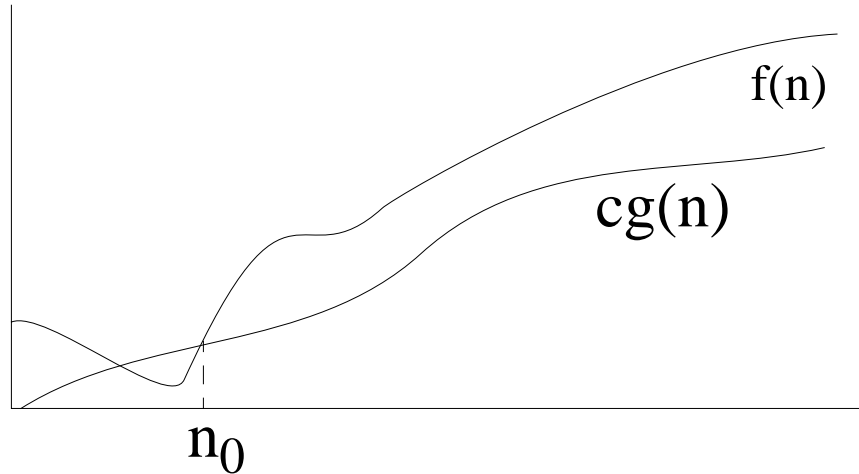
$$0 \leq c_1n^2 \leq \frac{1}{2}n^2 - 3n \leq c_2n^2$$

dividing by  $n^2$ , we get  $0 \leq c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2$

holds for  $10 \leq n$  and  $c_1 = 0.2$   
holds for  $10 \leq n$  and  $c_2 = 1$

$f(n) = \Omega(g(n))$  if there exist constants  $c$  and  $n_0$  such that

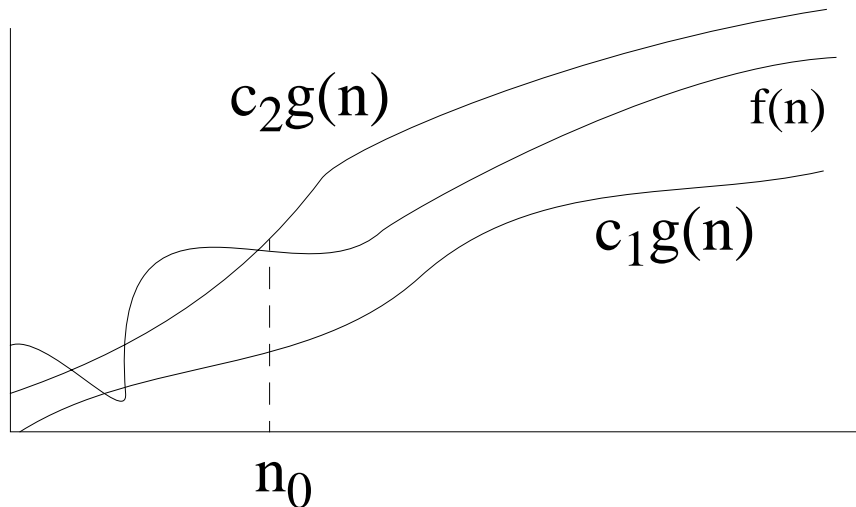
$$0 \leq c g(n) \leq f(n) \quad \text{for all } n_0 \leq n$$



Think of  $\Omega$  as  
a lower bound function

$f(n) = \Theta(g(n))$  if there exist constants  $c_1$ ,  $c_2$  and  $n_0$  such that

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \quad \text{for all } n_0 \leq n$$



Think of  $\Theta$  as  
both upper and lower  
bound function

# Lecture 2: Growth of Functions

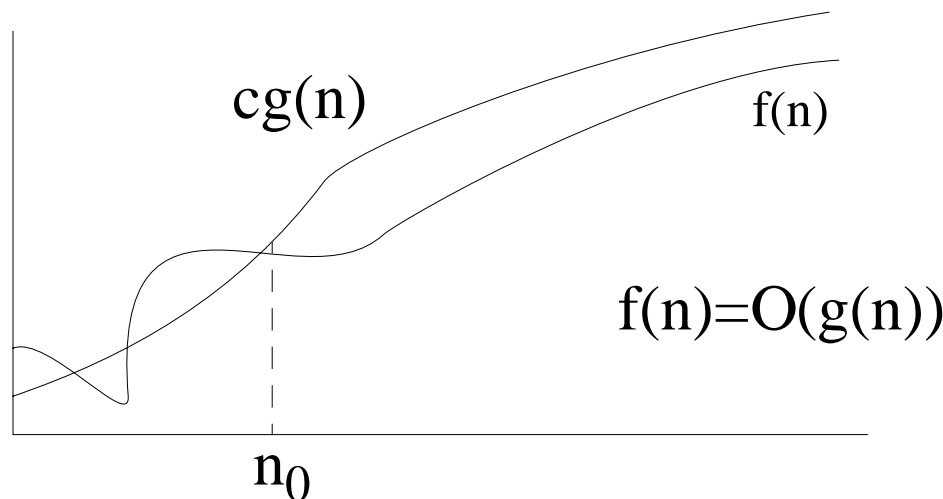
Want to determine the order of growth for the worst-case running time

$$\text{Ex: } T(n) = \left( \frac{c_4}{2} + \frac{c_5}{2} + \frac{c_6}{2} \right) n^2 + \left( c_1 + c_2 + c_3 + \frac{c_4}{2} - \frac{c_5}{2} - \frac{c_6}{2} + c_7 \right) n - (c_2 + c_3 + c_4 + c_7)$$

## Growth of Functions (formal definitions)

$f(n) = O(g(n))$  if there exist constants  $c$  and  $n_0$  such that

$$0 \leq f(n) \leq c g(n) \quad \text{for all } n_0 \leq n$$



Think of  $O$  as  
upper bound function