

**ECE 788: Network Information Theory**  
**Assignment 10 (due on Nov. 23)**

**1.** Consider the block-Markov coding strategy for Decode-and-Forward (DF) discussed in class. We want to show that a backward decoding scheme would work as well as the sliding window strategy seen in class. To this end:

**1.a.** Start from the signal received in the last block  $y_{3,B+1}^n$  and propose a decoder of  $w_B$ . Show that if  $R \leq I(X_1 X_2; Y)$  this decoding step has vanishing probability of error.

**1.b.** Argue that this can be repeated for the  $w_{B-1}, w_{B-2}, \dots$

**2.** In the derivation of the cut-set bound and of the DF achievable rate, we have assumed that the relay is full-duplex. Here is a way to include the half-duplex constraint (i.e., the relay cannot transmit and receive at the same time). Enlarge the input alphabet for the relay as  $X_2 = [\bar{X}_2 M_2]$ , where  $\bar{X}_2$  is real and  $M_2 \in \{T, R\}$ , where  $T$  stands for transmit mode and  $R$  for receive mode. We force  $\bar{X}_2 = 0$  if  $M_2 = R$ . Consider the Gaussian relay channel

$$Y_2 = \begin{cases} \frac{X_1}{d} + Z_2 & \text{if } M_2 = R \\ 0 & \text{if } M_2 = T \end{cases}$$

$$Y_3 = X_1 + \frac{\bar{X}_2}{1-d} + Z_3,$$

with unit-power Gaussian noise and  $d$  being the source-relay distance. Assume the standard power constraints for source and relay.

**2.1.** Suppose at first that the sequences of modes  $M_2$  is fixed beforehand (independently of the messages) and that it is known to all nodes. Argue that this rate is achievable via DF

$$R_{fixed} = \min\{I(X_1; Y_2 | \bar{X}_2 M_2), I(X_1 \bar{X}_2; Y_3 | M_2)\} \quad (1)$$

for some joint distribution  $P_{M_2 X_1 X_2}$  (Hint: just point to the necessary changes in the DF achievability proof).

**2.2.** From the result above, show that the following is achievable

$$R_{fixed} = \min \left\{ \begin{array}{l} P_{M_2}(R) \cdot \frac{1}{2} \log_2 \left( 1 + \frac{P_1}{d^2} \right), \\ P_{M_2}(R) \cdot \frac{1}{2} \log_2 \left( 1 + P_1 \right) \\ + P_{M_2}(T) \cdot \frac{1}{2} \log_2 \left( 1 + P_1 + \frac{P_2}{(1-d)^2} + 2\rho \frac{\sqrt{P_1 P_2}}{|1-d|} \right) \end{array} \right\}$$

(Hint: Choose appropriate random variables in (1)).

**2.3.** How can we improve on  $R_{fixed}$  by still assuming that the receiver knows the sequence of  $M_2$  in advance? (Hint: Have we use the power in the most efficient way in the scheme at 2.2?)

**2.4.** Assume now that the receiver does not know in advance the mode  $M_2$ . Write the achievable rate with DF for such scenario in a way similar to (1). Is this rate larger or smaller than (1)? Why? (You can try to calculate it if you feel adventurous).