# CS 345: Homework 7 (Due: Dec 9, 2014 by Noon )

Rules. Teams of no more than two students as explained in syllabus (Handout 1). This is to be handed back no later than noon time the day it is due in electronic form as a single tar or zip file decompressible on an AFS machine (afsconnect1.njit.edu or afsconnect2.njit.edu), where testing would take place. (At a minimum, the zipfile should contain a text file HW1\_ABCD\_EFGH.txt as explained below.) The code should be compilable/interpretable and executable on one or the other identical AFS machines in any language available there (emphasis in C, C++, Java, Python, Perl).

# 1 The index and query engine of FDSEE

This assignment is a continuation of HW6. The input to this assignment is the output of Step 4 (stemming) of HW6 or Step 2 or 3 (token or stopword) depending on the level of implementation of HW6.

## 1.1 Objectives of the assignment

The output before or after stemming of previous phases is already ordered (in fact grouped) by docID and wpos as documents are tokenized in some sequence and from the first word or character to the last. All tokens of a given document are extracted before moving to the next document.

In Step 1, you order the output stream based on wordID first (increasing order), then docID (increasing order) and finally wpos (increasing order). Using this information, you will build an inverted index where you will store information about word occurrences (i.e. inverted lists) in the form of a combined vocabulary and occurrence list (inverted list) structures as described in class and summarized in this document for completeness. For testing purposes this construction will have an interesting side-effect.

In Step 2, you will be asked to design a query system that implements simple logical (AND and OR, NOT) operations.

This is the minimum implementation required of this assignment. You can enrich this implementation by adding additional features.

### 1.2 Project deliverables

After compilation the executable unit produced should be named fdsee. If your code is interpreted the wrapper function should be fdsee possibly with an appropriate suffix as needed. We shall refer to that file as fdsee in the remainder. Every source file you submit must include in the form of comments in the first 5 lines the names of the members of the group including the last four digits of their NJIT IDs. In addition a file named HW6\_ABCD\_EFGH.txt (or HW6\_ABCD.txt if the team has only one member) needs to be included (that also conforms to the first-5-line convention) that includes instructions for compilation/interpretation, bugs, and anything else of interest (eg extensions). The ABCD, EFGH are the last 4 digits of the NJIT IDs of the students of the team.

### 1.3 Step 1: Inverted Index

```
% ./fdsee invert filename
% ./fdsee invert dirname
```

For this step, the output of Step 2-4 of Homework 6 is being processed. Thus invert can take the output of stem or stopword or token or you can just make it behave as if it combines in itself the steps of step, stopword, token that you have implemented and then it build an index by reordering this stream. The reordering involves sorting of the stream by wordID, docID, wpos and in that order. Then an index is built consisting of a vocabulary and occurrence lists.

- 1. Vocabulary and occurrence lists. An inverted index consists of a Vocabulary and occurrence or inverted lists.
- 2. Vocabulary: technical details. The Vocabulary will contain the wordIDs that survived stemming sorted by word not wordID. (Thus algorithm might be in the Vocabulary but algorithms will not if it got stemmed into algorithm.) For every entry in the Vocabulary you need to record information such as wordID, Ndocs, Nhits, and potentially a pointer LocP to the actual entries.

Entry Ndocs counts the number of distinct documents that contain the word (original or stemmed). Entry Nhits counts the number of occurrences of wordID in all documents (a given word might occur more than once in a document) and in any possible form (attr or stem).

Although LocP traditionally points to Ndocs linked lists of total length Nhits, for out case LocP will point to a file named word or word.wordID. This file would include all the tuples re wordID, sorted first to last as explained earlier.

#### 1.3.1 Side Effects

Besides the side-effects of fdsee of HW6 additional side-effects will be generated.

Side-Effect 1: Vocabulary file. Command invert will generate a file named fdsee\_vocabulary that includes a dump of the vocabulary in the form of tuples (wordID, word, Ndocs, Nhits). It suffices to print the four elements of the tuplet, one set of elements per line without the surrounding parentheses or the commas but include one space inbetween. Note that this file is ordered by word! This file will be located in the following directory along with the rest of the index.

Side-Effect 2: Directory fdsee\_invindex. The vocabulary file will coexist in this directory created by command invert. Inside that directory you will create a number of files one for each word in the vocabulary. In file word or word.wordID you will store the occurrence lists of word in the form of triplets (docID,wpos, attr). Note that obviously, one does not need to store in it wordID or word. The format of the file will be as follows: one tuple per line, with the three elements as specified earlier. Parentheses or commas are optional, but use a space to separate the elements. The number of lines of that file should be Nhits.

#### 1.4 Query engine implementation

Having completed the index we ask you to implement a command line-based implementation of a simple up to three-term query language.

```
% ./fdsee and2
                                                       ; term1
                                                               AND term2
                  term1 term2
% ./fdsee and3
                                                        term1
                                                               AND term2 AND term3
                 term1 term2
                              term3
% ./fdsee or2
                 term1 term2
                                                               OR term2
                                                        term1
% ./fdsee or3
                                                               OR term2 OR term3
                 term1 term2
                              term3
                                                        term1
% ./fdsee andnot term1 term2
                                                        term1
                                                               AND -term2
% ./fdsee and2not term1 term2
                                                       ; term1
                                                               AND term2 AND -term3
                              term3
% ./fdsee next5
                 term1 term2
```

Each one of the operations above (implicitly) assumes that ./fdsee invert dfname is first executed. (That is, fdsee\_invindex already exists and is well formed.)

The outcome of and2 is to read the occurrence lists of the wordIDs of term1 and term2 and find their common intersection docID-wise, i.e. find those documents that contain both terms. The output is a list of the documents containing both words, one per line. Each line prints not only the docIDs but also the qualified URLs of each document. The and3 allows for a 3-term conjunction.

The outcome of or is that of a disjunction and the two variants behave analogously to and2, and3.

The outcome of andnot is to read the occurrence lists of the wordIDs of term1 and term2 and find those documents in which term1 appears but not term2. The printout of the result is as before. For and2not the documents that contain term1, term2 but not term3,

Note that for this part we only need to use docid information and neither wpos nor attr to generate an answer to the query. A more elaborate processing can occur using wpos and attr position that will also rank the results.

Alternatively, you may implement the following

- % ./fdsee boolean "mterm1 op1 mterm2 op2 mterm3 op3 mterm4"
- % ./fdsee next5 term1 term2

where mterm is either a term or -term and the — indicates a NOT. An op then can be either an AND or an OR. Conjunctions have higher precedence than a disjunction! The operator next5 checks whether the terms term1 or term2 appear next to each other in a document (i.e. their wpos differ by 5 or less. ■

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