

## CS 345: Homework 6 (Due: Dec 07, 2015)

**Rules.** Teams of no more than three students as explained in syllabus (Handout 1). This is to be handed back in electronic form as a single tar or zip file decompressible on an AFS machine (afsconnect1.njit.edu or afsconnect2.njit.edu or osl11.njit.edu), where testing would take place. (At a minimum, the zipfile should contain a text file `HW5_ABC.txt` as explained below.) The code should be compilable/interpretable and executable on one or the other similar AFS machines in any language available there (emphasis in C, C++, Java, Python, Perl).

### 1 The index and query engine of FDSEE

This assignment is a continuation of HW5. The input to this assignment is the output of Step 4 (stemming) of HW5 or Step 2 or 3 (token or stopword) depending on the level of implementation of HW5.

#### 1.1 Objectives of the assignment

The output before or after stemming of previous phases is already ordered (in fact grouped) by `docID` and `offset` as documents are tokenized in some sequence and from the first word or character to the last. All tokens of a given document are extracted before moving to the next document. (Step number indexes start from 5.)

In Step 5, you order the output stream based on `wordID` first (increasing order), then `docID` (increasing order) and finally `offset` (increasing order). Using this information, you will build an inverted index where you will store information about word occurrences (i.e. inverted lists) in the form of a combined `vocabulary` and `occurrence list` (`inverted list`) structures as described in class and summarized in this document for completeness. For testing purposes this construction will have an interesting side-effect.

In Step 6, you will be asked to design a query system that implements simple logical (`AND` and `OR`, `NOT`) operations.

This is the minimum implementation required of this assignment. You can enrich this implementation by adding additional features.

#### 1.2 Project deliverables

After compilation the executable unit produced should be named `fdsee`. If your code is interpreted the wrapper function should be `fdsee` possibly with an appropriate suffix as needed. We shall refer to that file as `fdsee` in the remainder. Every source file you submit must include in the form of comments in the first 5 lines the names of the members of the group including the last four digits of their NJIT IDs. In addition a file named `HW6_ABC.txt` needs to be included (that also conforms to the first-5-line convention) that includes instructions for compilation/interpretation, bugs, and anything else of interest (eg extensions). The `ABC` are the initials of the first names of the three members of a team. (Naturally if a team has fewer members this can be truncated.)

### 1.3 Step 1: Inverted Index

```
% ./fdsee invert filename
% ./fdsee invert dirname
```

For this step, the output of Step 2-4 of Homework 5 is being processed. Thus `invert` can take the output of `stem` or `stopword` or `token` or you can just make it behave as if it combines in itself the steps of `step`, `stopword`, `token` that you have implemented and then it builds an index by reordering this stream. The reordering involves sorting of the stream by `wordID`, `docID`, `offset` and in that order. Then an index is to be built consisting of a vocabulary and occurrence lists.

**1. Vocabulary and occurrence lists.** An inverted index consists of a Vocabulary and occurrence or inverted lists.

**2. Vocabulary: technical details.** The Vocabulary will contain the `wordID`s that survived stemming (i.e. appear in the output stream of Step 4) sorted by `word` not `wordID`. (Thus algorithm might be in the Vocabulary but algorithms will not if it got stemmed into algorithm.) For every entry in the Vocabulary you need to record information such as `wordID`, `Ndocs`, `Nhits`, and potentially a pointer `LocP` to the actual entries.

Entry `Ndocs` counts the number of distinct documents that contain the `wordID` after stemming (e.g. all occurrences of algorithms and algorithm). Entry `Nhits` counts the number of occurrences of `wordID` in all documents (a given word might occur more than once in a document) and in any possible form (`attr` or `stem`).

Although `LocP` traditionally points to `Ndocs` linked lists of total length `Nhits`, for out case `LocP` will point to a file named `wordwordID.txt` This file would include all the tuples of the `wordID` sorted first to last as explained earlier.

#### 1.3.1 Side Effects

Besides the side-effects of `fdsee` of HW5 additional side-effects will be generated.

**Side-Effect 1: Vocabulary file `fdseeS5v.txt`.** Command `invert` will generate a file named `fdseeS5v.txt` that includes a dump of the vocabulary in the form of tuples (`word`, `wordID`, `Ndocs`, `Nhits`). It suffices to print the four elements of the tuple, one set of elements per line without the surrounding parentheses or the commas but include one space inbetween. Note that this file is ordered by `word`! This file will be located in the directory from which `fdsee` was invoked.

**Side-Effect 2: Directory `fdseeS5d.dir`.** The vocabulary file will coexist with this directory created by command `invert`. Inside that directory you will create a number of files one for each word in the vocabulary. In file `word` or `wordwordID.txt` you will store the occurrence lists of `word` in the form of triplets (`docID,offset,attr`). Note that obviously, one does not need to store in it `wordID` or `word`. The format of the file will be as follows: one tuple per line, with the three elements as specified earlier. Parentheses or commas are optional, but use a space to separate the elements. The number of lines of that file should be `Nhits`.

### 1.4 Query engine implementation

Having completed the index we ask you to implement a command line-based implementation of a simple up to three-term query language.

```
% ./fdsee and2 term1 term2 ; term1 AND term2
% ./fdsee and3 term1 term2 term3 ; term1 AND term2 AND term3
% ./fdsee or2 term1 term2 ; term1 OR term2
% ./fdsee or3 term1 term2 term3 ; term1 OR term2 OR term3
% ./fdsee andnot term1 term2 ; term1 AND -term2
% ./fdsee next5 term1 term2
```

Each one of the operations above (implicitly) assumes that `./fdsee invert dfname` is first executed.

The outcome of `and2` is to read the occurrence lists of the `wordID`s of `term1` and `term2` and find their common intersection `docID`-wise, i.e. find those documents that contain both terms. The output is a list of the documents

containing both words, one per line. Each line prints not only the docIDs but also the qualified URLs of each document. The `and3` allows for a 3-term conjunction.

The outcome of `or` is that of a disjunction and the two variants behave analogously to `and2`, `and3`.

The outcome of `andnot` is to read the occurrence lists of the wordIDs of `term1` and `term2` and find those documents in which `term1` appears but not `term2`. The printout of the result is as before.

Note that for this part we only need to use `docid` information and neither `offset` nor `attr` to generate an answer to the query. A more elaborate processing can occur using `offset` and `attr` position that will also rank the results.

The operator `next5` checks whether the terms `term1` or `term2` appear next to each other in a document (i.e. their `wpos` differ by 5 or less. ■

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