### PhEn-602 J. Manfredi Section 102 (Wed.)





#### <u>Pharmaceutical Facility Design – PhEn 602</u> <u>Syllabus</u>

Term: 2009 Spring Semester

NJIT Course Title: Pharmaceutical Facility Design

NJIT Course Number: PhEn 602, Section 102 (Wed.)

Course Instructor: Joseph J. Manfredi Adjunct Professor New Jersey Institute of Technology Department of Chemical Engineering University Heights Newark, NJ 07102-1982

**Instructor's Telephone & Fax:** Ph: (973) 575-4990 Fax: (973) 808-9201

Instructor's E-Mail Address: JJM1152@AOL.com

**Instructor's Office Hours:** As an adjunct faculty member, Prof. Manfredi does not maintain an office on campus. Students may contact him, preferably by email, to schedule a meeting, to pose questions, or to request clarifications regarding course materials. Responses will be handled as quickly as possible, subject to scheduling, travel and other prior commitments. Phone calls should be limited to emergencies only. Prof. Manfredi will generally be available prior to and after each class for discussion.

Grader/Assistant: None

Course Day and Time: Wed. (Sect. 102) 6:00 – 9:05 p.m.

Location: NJIT, Newark, NJ, Kupfrian Hall, Room 110

#### Course Prerequisites: Graduate Standing

PhEn-601 – Principles of Pharmaceutical Engineering PhEn-603 – Pharmaceutical Processing and Manufacturing Permission by the Instructor or the Program Director

**Course Description:** This course provides students with a basic understanding of the challenges faced by engineers and designers when designing a pharmaceutical manufacturing facility. The course will focus on the sterile manufacturing facility design model, although many of the principles discussed will apply to all other types of Pharmaceutical facilities. Pharmaceutical facilities are required to meet Good Manufacturing Practices (GMP) regulations, while at the same time, must be in compliance with all governing codes, laws and regulations. The main objective of the course is to provide students with a solid understanding of the key principles of facility design. The course blends practical applications, with the underlying theory behind basic concepts, so that the student obtains a balanced understanding of the topics. The course is intended to 1) teach students the basics of facility design, 2) highlight the major challenges faced by designers and engineers, 3) provide helpful "do's" and "don'ts" concerning design options, and 4) present important operational, testing and construction considerations that impact design decisions.

#### **Course Requirements:**

- Examination: Two exams, i.e. a midterm exam and a final exam
- Quizzes: Four quizzes as scheduled by instructor

#### **Grading Policy:**

**Course Final Grade:** a <u>tentative</u> guideline for the assignment of final grades is provided below:

Cumulative Points	Overall Grade
90-100%	А
88-89%	B+
80-87%	В
78-79%	C+
70-77%	С
<69%	F

The grade of "D" is not assigned to students taking graduate courses. Please remember that this is <u>only</u> a guideline designed to help the students understand how they are performing in the course.

#### Exams:

- A calendar of the exams is included in the Course Outline given below;
- All exams are typically 3 hours long unless otherwise stated;
- All exams are open book and open notes, unless otherwise announced;
- The final exam will include <u>all</u> material covered throughout the course (although the main emphasis of the exam may be on the material covered after the midterm exam);
- Make-up exams will only be given to students who cannot attend the regular exam time, <u>and</u> only under documented and extraordinary circumstances. In any case, no student will be allowed to a take a make-up exam unless he/she has the <u>prior</u> consent of the instructor. If a student fails to take an exam as scheduled, the exam grade will automatically be zero.

#### **Quizzes:**

- A calendar of the quizzes is included in the Course Outline below.
- Quizzes are typically 1 hour long unless otherwise stated.
- Quizzes may be open or closed book and notes. Format will be announced.
- Quizzes will typically cover material from immediately preceding classes.
- Make-up quizzes will only be given if approved in advance for documented and extraordinary circumstances.

**Class Attendance:** As for all graduate courses at NJIT, attendance is not mandatory, but <u>strongly</u> recommended. Experience shows that students who do not regularly attend class typically perform poorly in the course. In addition, discussions and material provided by any guest lecturers will not be covered in the notes. In any case, students are responsible for all material covered in class. Interwise access is anticipated for students unable to attend class in person due to travel or other business related activities. Details for accessing Interwise will be provided at the first class session.

### Important Dates on the NJIT Calendar (Spring 2009) – visit NJIT's website

01/21/09 First Class PhEn-602 Section 102 02/02/09 Last Day for a Refund Based Upon A Partial Withdrawal Last Day for a Refund Based Upon a Ck. Registrar **Complete Withdrawal** 03/18/09 No Class – Spring Break Last Day to Withdraw from Course(s) (No Refund) 03/30/09 04/29/09 Last Class-PhEn-602-102 05/06/09 Reading Day Final Exam PhEn-601 (Finals 12/15-19/08) 05/13/09 05/14/09 Grades Due in Registrar's Office

#### PHARMACEUTICAL FACILITY DESIGN (PhEn-602)

<u>Wk #1 (1/21)</u>	Introduction & Planning A) GMP's, CFR, FDA B) Project planning
<u>Wk #2 (1/28)</u>	Design Considerations A) Regulations B) Building & Zoning Codes C) Support Utility Requirements D) Bldg. Materials & Finishes E) Safety
<u>Wk #3 (2/04)</u>	Types of Manufacturing A) Sterile / Aseptic B) Non-Sterile C) Liquid vs Dry D) Cross-Contamination E) Cleaning F) Single vs Multiple Products Quiz #1 (Tentative)
<u>Wk #4 (2/11)</u>	Classified Spaces & Controlled Environments A) Clean Rooms B) ISO vs FSA C) Air Flow D) Exchange Rates
<u>Wk #5 (2/18)</u>	Environmental Issues & Monitoring A) Microbial. B) Air & Liquid Discharge Quiz #2 (Tentative)
<u>Wk #6 (2/25)</u>	Architectural A) Materials & Finishes B) Service / Maintenance a. Interstitial & Mech. Rooms

<u>Wk #7 (03/04</u> )	Midterm Examination
<u>Wk #8 (03/11)</u>	Heating & Ventilation A) Equipment B) Configuration
<u>Wk #9 (03/25)</u>	Air Conditioning A) Equipment B) Configuration Quiz #3 (Tentative)
<u>Wk #10 (04/01)</u>	Moisture A) Humidification / Dehumidification B) Related Problems
<u>Wk #11 (04/08)</u>	Utility Sizing A) Heating & Cooling Loads B) Psychometrics
<u>Wk #12 (04/15)</u>	Critical Utilities A) Water B) Steam C) Gases Quiz #4 (Tentative)
<u>Wk #13 (04/22)</u>	Layout A) Adjacencies B) Materials Flow C) Personnel Flow
<u>Wk #14 (04/29)</u>	Semester Review
<u>Wk #15 (05/13)</u>	Final Examination

#### **Course Notes, Textbooks, and Other Reference Material:**

- <u>Notes</u>: *Pharmaceutical Facility Design Course Notes*. These notes are duplications of the presentations used in class. The *Notes* will be posted on the NJIT website: http://web.njit.edu/~armenant/PhEn602-102
- <u>**Textbook**</u>: (Optional)

International Society for Pharmaceutical Engineering,; Pharmaceutical Engineering Guides for New and Renovated Facilities, Volume 3, "Sterile Manufacturing Facilities". Additional reference books are listed under "references".

Reference material:

- 1. International Society for Pharmaceutical Engineering, Pharmaceutical Engineering Guides for New and Renovated Facilities, Volume 3, *Sterile Manufacturing Facilities*, (textbook).
- 2. "Sterile Product Facility Design and Project Management", 2<sup>nd</sup> edition, Jeffery N. Odum, CRC Press.
- 3. "Good Design Practices for GMP Pharmaceutical Facilities", Andrew Signore and Terry Jacobs, Taylor and Francis
- 4. "Aseptic Pharmaceutical Manufacturing, Applications for the 1990's", Groves and Murty, Interpharm Press
- 5. "Validation of Pharmaceutical Processes", Carlton and Agalloco, Marcell Dekker, Inc.
- 6. "Cleanroom Technology, Fundamentals of Design, Testing and Operation", Whyte, John Wiley
- 7. "Cleanroom Microbiology for the Non-Microbiologist", Carlberg, Interpharm Press
- 8. International Society for Pharmaceutical Engineering; Pharmaceutical Engineering Guides for New and Renovated Facilities, Volume 4, "Water and Steam Systems"
- 9. International Society for Pharmaceutical Engineering; Pharmaceutical Engineering Guides for New and Renovated Facilities, Volume 5, "Commissioning and Qualification"

### **ISPE** Guides







## ISPE

Excellent Resource
 Publications: Guides, PE, JPI
 Networking
 COP's (Community of Practice)
 Educational Programs
 Student Programs

### Class Notes

### Notes can be found at:

http://web.njit.edu/~armenant/PhEn602-102 THIS ADDRESS IS CASE SENSITIVE

### Housekeeping

- The email address listed with the official class roster will be used as needed to communicate with the class. Check this regularly, especially during periods of inclement weather
- Guest lecturers &/or tours may be scheduled as available and class schedule adjusted accordingly.
  - Novartis Tour 2/25/09
- Text is optional and will not impact performance
- 30 Minute Policy for instructor absence
- Class Breaks will be discussed and a format selected, however this will remain at the instructor's discretion.

### Library Research

http://www.library.njit.edu/stafffolders/slutsky/PharmTutorial/ Pharmtutorial.html

Important Announcement for Users of Scifinder Scholar - The Web version became available to NJIT on January 20, 2009





March 17-19, 2009 (Spring Break) Jacob K. Javits Center New York, NY

### PhEn-602 Pharmaceutical Facility Design



### GMP's Project Approach Design and Validation

**Good Manufacturing Practice (GMP's)** Food, Drug and Cosmetic act gives FDA authority to enforce legal requirements in manufacturing, processing, packing and holding of drugs.

These requirements are found in
 21CFR Part 211
 Subpart C relates to "Buildings and Facilities"

#### § § 211.42 Design and construction features.

- (a) Any building or buildings used in the manufacture, processing, packing, or holding of a drug product shall be of **suitable size**, construction and location to facilitate cleaning, maintenance, and proper operations.
- (b) Any such building shall have **adequate space** for the orderly placement of equipment and materials to prevent mixups between different components, drug product containers, closures, labeling, in-process materials, or drug products, and to prevent contamination.
  - The flow of components, drug product containers, closures, labeling, in-process materials, and drug products through the building or buildings shall be **designed to prevent contamination**.

(c) Operations shall be performed within specifically defined **areas of adequate size**.

§ § 211.42 Design and construction features.
There shall be separate or defined areas for the firm's operations to prevent contamination or mixups during:
(1) Receipt, identification, storage, and withholding from use of components, drug product containers, closures, and labeling, pending the appropriate sampling, testing, or examination by the quality control unit before release for manufacturing or packaging;

- (2) Holding rejected components, drug product containers, closures, and labeling before disposition:
- (3) Storage of released components, drug product containers, closures, and labeling;
- (4) Storage of in-process materials;
- (5) Manufacturing and processing operations;
- (6) Packaging and labeling operations;

#### § § 211.42 Design and construction features (cont).

- (7) Quarantine storage before release of drug products;
- (8) Storage of drug products after release;
- (9) Control and laboratory operations;
- (10) Aseptic processing, which includes as appropriate:
  - (i) Floors, walls, and ceilings of smooth, hard surfaces that are easily cleanable;
  - (ii) Temperature and humidity controls;
  - (iii) An air supply filtered through high-efficiency particulate air filters under positive pressure, regardless of whether flow is laminar or nonlaminar;
  - (iv) A system for monitoring environmental conditions;
  - (v) A system for cleaning and disinfecting the room and equipment to produce aseptic conditions;
  - (vi) A system for maintaining any equipment used to control the aseptic conditions.

#### § § 211.42 Design and construction features.

(d) Operations relating to the manufacture, processing, and packing of penicillin shall be performed in facilities separate from those used for other drug products for human use

### Note:

The GMP Regulations specify what a particular requirement is (i.e. what is to be controlled), not *how* that requirement is to be achieved.

### What does FDA look for in a facility?

#### **Buildings and Facilities**

8)

- 1) Is the facility suitable for the operations being carried out?
- 2) Is the facility readily cleanable?
- 3) Are there proper controls against cross-contamination?
- 4) Is there adequate ventilation while still keeping out sources of contamination?
- 5) Are there adequate sanitary facilities?
- 6) Are there operational areas separate to prevent mixups and cross-contamination?
- 7) What is the source of the water supply?
  - Are there adequate systems for the handling and disposal of waste?

### What does FDA look for in a facility?

#### Materials handling and storage

- Is there proper segregation between incoming and released components?
- 2) Are environmental factors, such as temperature and humidity, monitored and controlled properly?
- 3) Is there adequate storage space under the required environmental conditions?
- 4) Are in-process materials properly stored?
- 5) Are containers suitable for raw materials and intermediate product?

### What does FDA look for in a facility?

### Equipment

- Is the facility equipment suitable for its intended use?
- 2) Is equipment designed to facilitate cleaning?
- 3) Are there proper filtration systems adequately designed and properly functioning?
- 4) Does equipment design prevent contamination from external sources?
- 5) Is equipment clearly and uniquely identified?

#### Pharmaceutical Facility Project Sequence

### Design Good Engineering Practice Validation

There must be a compelling reason for pursuing a new or renovated facility.

- Sales of existing products have surpassed the capabilities of the current facility and an expansion is necessary.
- New products have been identified which require different types of facilities.
- Existing facility does not meet current regulatory requirements (e.g. FDA, EPA or other agency)

From J. Odum, Sterile Product Facility Design and Project Management

There must be a compelling reason for pursuing a new or renovated facility.

- Economic or business advantage associated with relocation to a new or existing site.
  - Improved labor pool
  - Cost of upgrade, renovation, or change
  - Cost of manufacturing and/or shipping
  - Tax incentive
  - Lower labor rate
  - Reduced cost of employee benefits

*Not From J. Odum, Sterile Product Facility Design and Project Management* 

#### Establish Goals

#### Prepare the Business Case

From the business case we develop a plan for manufacturing, and then proceed into the Facility Planning stage

### Facility Planning is Critical

"Exceptional facilities don't just happen...they are planned to be functional, efficient, cost effective, and compliant to all regulations. They are planned to meet market demands for product...to be environmentally pleasing to those that work in them on a daily basis...and they are planned to be safe, protecting the workers and the outside environment."

From J. Odum, Sterile Product Facility Design and Project Management.

### Facility Planning is Critical

Proper planning is the key.....having a sound project management process is crucial

In order to properly plan the facility, a significant amount of information is needed, such as project goals and objectives, product volumes, schedule, budget costs, utility requirements, safety requirements, etc., etc. This information is typically gathered during the facility programming portion of the conceptual design phase.

### Project Approach – 9 stages

- 1. Conceptual Study
- 2. Functional Design/Preliminary Engineering
- 3. Request for Funds Approved
- 4. Detailed Engineering
- 5. Procurement/Bidding
- 6. Construction
- 7. Commissioning
- 8. Validation/Qualification
- 9. Turnover to Owner

PhEn-602 will focus on:Design (1,2 & 4)

- Commissioning, Validation/Qualification(7 & 8)
  - 1. Conceptual Study
  - 2. Functional Design/Preliminary Engineering
  - 3. Request for Funds Approved
  - 4. Detailed Engineering
  - 5. Procurement
  - 6. Construction
  - 7. Commissioning
  - 8. Validation/Qualification
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Facility Programming

### Pharmaceutical Facility Design

# Three phases to the Design Process



PhEn602-Pharmaceutical Facility Design-Spring 2009 **Business** 

**Objectives** 

### **Conceptual Design-Basic Elements**

- Establish goals and objectives, and discuss how GMP requirements will be met
- Conduct facility programming, with extensive data gathering Very important!
- Conceptual layout and Accommodation Schedule
- Prepare "Basis of Design" (statement of criteria)
- Establish design philosophy: e.g. state-of-the-art or leading edge?
- Heating, Ventilation and Air-Conditioning philosophy
- Major equipment list
- Budget estimate (often prepared for management review)

#### Conceptual Design – Facility Programming

Process		C	Company Z	- Laboratory							
Packaging											
	Preliminary Program										
		- General									
	Support										
[											
Functional Unit	Unit	20	800	20	13						
Title/ Space	Area	No. of	Total	No. of	Total	Remarks					
Description	Net	Units	Area	Units	Area						
	31		Net SF		Net SF						
PROCESS											
Manufacturing	400		400		700						
Pharmacy	120	4	480	6	720						
Drying	400	3	1,200	4	1,600						
Blending	300	9	2,700	10	3,000						
Compression	480	13	6,240	13	6,240						
Encapsulation	480	2	960	2	960						
Coating	400	5	2,000	6	2,400						
Dirty Equipment Staging	600	1	600	1	600						
Equipment Wash	600	1	600	1	600						
Storage	1,200	1	1,200	1	1,200						
Turret/Tooling	600	1	600	1	600						
Maintenance											
Tool Storage	400	1	400	1	400						
Tool Inspection	400	1	400	1	400						
Instrumentation Shop	300	1	300	1	300						
In-Process Test	250	1	250	1	250						
		1									
Solution Prep	450	1	450	1	450						
QA Sampling	120	1	120	1	120	Includes tollate states and					
Men's Lockers	12	80	960	100	1,200	showers. Based on two shifts					
Women's Lockers	12	80	960	100	1,200	Includes toilets, sinks and showers. Based on two shifts.					
Manufacturing											
Process SUBTUTAL - NSF			20 420		22.240						

Manufacturing Adm	in								
General Admin - Office	120		5	600		8	960	1	
General Admin -	64		3	192		4	256		
Workstation									
Engineering - Office	120		10	1,200		16	1,920		
Engineering -	64		20	1,280		30	1,920		
Workstation	400		0	000			400		
Purchasing - Onice	120		3	300		4	480		
Health Safety & Enviro	n 120		ວ 2	240		4	200		
- Office	11. 120		2	240		2	240		
Maintenance - Office	120		6	720		10	1.200		
Production Planning -	120		3	360		4	480		
Office									
Support Areas	150		55	8,250		82	12,300		Includes break areas,
									conterence rooms, storage
Manufacturing Adm	in								
Manufacturing Adm	in SF			8 250			12 300		
Manufacturing Adm SUBTOTAL - NS	in SF			8,250			12,300		
Manufacturing Adm SUBTOTAL - NS General Support -	in SF			8,250			12,300		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing	in SF			8,250			12,300		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unrelease	in SF	]	1168	<b>8,250</b> 7,008	]	1756	<b>12,300</b> 10,536	]	
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unrelease Material	in SF vd 6.00		1168	<b>8,250</b> 7,008		1756	<b>12,300</b>		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unrelease Material Warehouse - Released	in SF ed 6.00 6.00		1168 1067	<b>8,250</b> 7,008 6,402	1	1756 1604	<b>12,300</b> 10,536 9,624		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unrelease Material Warehouse - Released Material	in SF Id 6.00 6.00		1168 1067	8,250 7,008 6,402		1756	12,300 10,536 9,624	]	
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unrelease Material Warehouse - Released Material Warehouse - Manufacturing WIP	in SF d 6.00 6.00 6.00		1168 1067 718	8,250 7,008 6,402 4,308		1756 1604 1080	<b>12,300</b> 10,536 9,624 6,480		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unrelease Material Warehouse - Released Material Warehouse - Manufacturing WIP Technical Services	in SF d 6.00 6.00 6.00 5.927		1168 1067 718	8,250 7,008 6,402 4,308	-	1756 1604 1080	<b>12,300</b> 10,536 9,624 6,480 5,927		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unrelease Material Warehouse - Released Material Warehouse - Manufacturing WIP Technical Services Maintenance Shon	in SF d 6.00 6.00 5,927 5.000		1168 1067 718 1	8,250 7,008 6,402 4,308 5,927 5,000	-	1756 1604 1080 1	<b>12,300</b> 10,536 9,624 6,480 5,927 5,000		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unrelease Material Warehouse - Released Material Warehouse - Manufacturing WIP Technical Services Maintenance Shop Consumable Storage	in SF d 6.00 6.00 5,927 5,000 1,200		1168 1067 718 1 1	8,250 7,008 6,402 4,308 5,927 5,000 1,200		1756 1604 1080 1 1	12,300 10,536 9,624 6,480 5,927 5,000 1,200		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unreleased Material Warehouse - Released Material Warehouse - Manufacturing WIP Technical Services Maintenance Shop Consumable Storage Filter Storage	in SF dd 6.00 6.00 5,927 5,000 1,200 1,200		1168 1067 718 1 1 1	8,250 7,008 6,402 4,308 5,927 5,000 1,200 1,200		1756 1604 1080 1 1 1 1	<b>12,300</b> 10,536 9,624 6,480 5,927 5,000 1,200 1,200		
Manufacturing Adm SUBTOTAL - NS General Support - Manufacturing Warehouse - Unreleased Material Warehouse - Released Material Warehouse - Manufacturing WIP Technical Services Maintenance Shop Consumable Storage Filter Storage Chemical Dispensing	in SF d 6.00 6.00 5.927 5.000 1.200 1.200 4.50		1168 1067 718 1 1 1 1 1	8,250 7,008 6,402 4,308 5,927 5,000 1,200 1,200 450	-	1756 1604 1080 1 1 1 1 1	12,300 10,536 9,624 6,480 5,927 5,000 1,200 1,200 1,200 450		

### Conceptual Design Basic Elements:

 "Accommodation Schedule" also called "Bubble Diagram"
 Defines adjacencies and high level flow of material and personnel
 Performed prior to layout of area



### **Functional Design– Basic Elements**

Also called: "Preliminary Engineering", "Front-end Design", "Design Development"

- Establish a basis for detailed design
  - Prepare Basis of Design (BOD)
- Layout of production lines, location of equipt., building services/utilities defined
- Create process specifications, process flow diagrams, and P&ID's (Process and Instrumentation Diagrams)
- Detailed cost estimate is generated
- Develop User Requirements Specifications (URS)

## **Detailed Design**

- Prepare detailed engineering drawings to be issued for construction
- Create detailed equipments lists and instrument lists
- Develop construction strategy
- Set the basis for the Installation Qualification (IQ)
- Finalize layouts, size and routing of utilities

Note: A more developed design produces a more accurate estimate! Too often projects are estimated at the conceptual design stage....

Tip: If possible finish the functional design (preliminary engineering) before requesting funds to minimize submission of budget revisions.



## **Good Engineering Practice**

"Established engineering methods and standards that are applied throughout the project lifecycle to deliver appropriate cost-effective solutions"...including:

- Professional and competent project management
- Professional and competent engineering design, procurement, construction and commissioning
- Full consideration of applicable safety, health and environmental statutory requirements
- Full consideration of operation and maintenance requirements
- Full consideration of recognized standards and guidance
- Appropriate documentation for ongoing operation and maintenance, and to demonstrate compliance with applicable regulations and codes

## Commissioning

"A well planned, documented and managed engineering approach to the start-up and turnover of facilities, systems, and equipment to the End-User that results in a safe and functional environment that meets established design requirements and stakeholder expectations."

## **Commissioning Plan**

- Prepared and approved by Commissioning Team
- Approvers include Construction, Design, Quality, Validation and User Department Representatives.
- Includes list of systems and equipment to be commissioned, including process equipment and utilities.
- Can include schedule/timeline for the commissioning activities
- Often precedes validation
- ETOP (Engineering Turn-Over Package) is often a deliverable.

## **Commissioning Benefits**

- Can streamline the validation, since thorough up-front testing is done to eliminate bugs
- Improved documentation in place prior to IQ can reduce IQ execution effort
- Provides a formal structured approach to testing and owner acceptance, ensuring a minimum level of acceptable documentation
- Provides a formal structured approach to testing and acceptance, for those systems that are not validated (non-GMP).

### Validation – An Essential Part of GMP

Validation is the scientific study of a system:

- To prove that the facility system/equipment is consistently doing what it is supposed to do (i.e., that the process is under control)
- To determine the process variables and acceptable limits for these variables, and to set-up appropriate in-process controls.

#### Validation as defined by FDA:

"Establishing documented evidence which provides a high degree of assurance that a specific process will consistently produce a product meeting its predetermined specifications and quality attributes." PhEn602-Pharmaceutical Facility

#### Validation Execution-<u>Three</u> primary elements

**DQ** – Design Qualification Verifies the design is suitable/appropriate and will work **IQ** - Installation Qualification Verifies the system/equipment is supplied and installed correctly **OQ** – Operational Qualification Verifies that the system/equipment operates as specified, intended throughout all anticipated ranges **PQ** – Performance Qualification Verifies that the system/equipment performs as intended meeting predetermined acceptance criteria

## When to begin planning?

- Validation needs must be incorporated in earliest stages of the project (DQ?)
- Should be considered during the design phase (DQ?)
- Validation cannot be thought of as the last hurdle.
- Ignoring validation early in the project will be disastrous!

## **Project Validation Plan**

- Defines overall validation approach
- Defines validation activities to be performed; at a high level
- Defines roles and responsibilities
- Describes the phases to the validation effort
   Provides estimated timelines
- Provides estimated timelines
- Must be approved by cross-functional team, with final approval by QA

### The V-Model



Us

### User Requirements Specification (URS)

- Also called "User Requirement Brief"
- Describes what the system or equipment is supposed to do.
- Often sent to suppliers as part of the vendor solicitation process.
- Includes essential requirements (*musts*) as well as desirable requirements (*wants*)
   Normally written by user department, or engineer

### **Functional Specification (FS)**

Often written by supplier
Describes what the system will do.
Detailed functions are described.
Links to an OQ, which tests all functions specified.
The FS is a design output.

### Detailed Design Specifications (DDS)

- Documents how the system will be built
- Like FS, is a design output
  Links to both IQ and OQ
  Based upon the detail design documents

### **Quality Plan**

A document setting out the specific quality practices, resources, and sequence of activities relevant to a particular product or process. It defines the general distribution of responsibilities for quality related tasks and the implementation of the Quality System elements

### **Project Planning & Schedule**

Agreed by team members Details phases, activities, and milestones Gantt Chart most commonly used

	Taali Marraa	Start	Finish	Duration	Jan 2003 Feb 2003	Mar 2003	Apr 2003	May 2003
D	Task Name				1/12 1/19 1/26 2/2 2/9 2/16 2/2	3 3/2 3/9 3/16 3/23	3/30 4/6 4/13 4/20 4/2	7 5/4 5/11
1	Design	1/13/03	1/31/03	15d				
2	Prepare Quality Plan	2/3/03	2/14/03	10d	┝━━			
3	Prepare URS	2/17/03	2/21/03	5d	┝━			
4	Prepare Project Justification Document	2/24/03	3/21/03	20d	<b>↓</b>			
5	Obtain Funding	3/24/03	3/28/03	5d		┝┏		
6	Construct	3/31/03	4/18/03	15d		l≁(		
7	Commission	4/21/03	5/2/03	10d			<b>→</b>	
8	Validate	5/23/03	6/5/03	10d				Ļ
9	Turnover - Project Complete	6/6/03	6/6/03	1d				



Design-Spring 2009

### **Project Life Cycle Discussion**

Who are the players that make everything happen?





**Design-Spring 2009** 

#### Some unique aspects of Pharmaceutical Facility Projects

- Plants are very expensive to build, as compared to other industries.
- Procurement costs for process equipment are astronomical...can be 30% of overall project cost.
- Validation costs are very high...,in some cases 15-20% of overall project cost.
- Very long timelines from start to finish, often due to two major issues:
  - Specialty equipment lead-time
  - Validation is extensive...up to 1 3 years in some cases, depending on size of project.

### Pharmaceutical Facility Design Summary:

- A structured project approach is required for successful implementation of Pharmaceutical Facility Projects
- A phased approach to the design is often used
   Good Engineering Practice and Commissioning play a key role in the project life-cycle
- Validation is an essential part of the facility system and must be considered at the earliest part of the project; during the design phase.

### Documentation "Golden" Rules

If there is no documentation; the job wasn't done
 That which is written remains

### **Six Phases of a Project:**

1) Enthusiasm 2) Disillusionment 3) Panic 4) Search for the guilty 5) Punishment of the innocent 6) Praise and honors for nonparticipants





