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# Instability of nanometric fluid films on a thermally conductive substrate

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We consider thin fluid films placed on thermally conductive substrates and exposed to a time-dependent spatially uniform heat source. The evolution of the films is considered within the long-wave framework in the regime such that both fluid-substrate interaction, modeled via disjoining pressure, and Marangoni forces are relevant. We analyze the problem by the means of linear stability analysis as well as time-dependent nonlinear simulations. The main finding is that when self-consistent computation of the temperature field is performed, a complex interplay of different instability mechanisms results. This includes either monotonous or oscillatory dynamics of the free surface. This oscillatory behavior is absent if the film temperature is assumed to be slaved to the current value of the film thickness. The results are discussed within the context of liquid metal films but are of relevance to dynamics of any thin film involving variable temperature of the free surface, such that the temperature and the film interface itself evolve on comparable time scales.

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#### I. INTRODUCTION

Instabilities of thin fluid films are relevant in a variety of different contexts, with many of these involving temperature variations that lead to modified material properties. In particular, the surface tension of many liquids is sensitive to temperature, resulting in the well-known Marangoni effect, that has been discussed in excellent review articles [1,2] and books [3].

Instabilities due to Marangoni effect have been studied extensively, and we will focus here exclusively on the settings that involve deformation of the free surface. The studies are often carried out using the long-wave approach; within this framework, a significant body of work has been established in the recent years, including extensive research on linear and weakly nonlinear instability mechanisms [4–6], as well as discussion of monotone and oscillatory type of Marangoni-effect-governed instabilities [3,7–9] (only a subset of relevant works is listed here). While most of the works have focused on the regime where gravitational effects are relevant, there is also an increasing body of work considering the interplay between the instabilities caused by the Marangoni effect and by liquid-solid interaction that becomes important for the films on nanoscale; see, e.g., Refs. [10–13]. Understanding the influence of the Marangoni effect on film stability is simplified in the settings where the temperature of the film surface could be related in some simple way to its thickness; however, it is not always clear that a simple functional relation can be accurately established, particularly in the setups such that the temperature field and the film thickness evolve on the comparable time scales so that the temperature of the fluid may be history dependent.

One context where thermal effects are relevant involves metal films of nanoscale thickness exposed to laser irradiation. The energy provided by laser pulses melts the films, and, while in the liquid state, these films evolve on a time scale that is often comparable to the pulse duration (tens of nanoseconds). The flow of thermal energy during this short time leads to a complex setup that involves heat flow not only in the metal film but also in the substrate, phase change (both melting and solidification), possible ablation, and chemical effects. Coupling of these effects to fluid dynamical aspects of the problem is just beginning to be understood [12–16].

This paper focuses on fundamental mechanisms involved in the influence of thermal dependence of surface tension for films evolving on thermally conducting substrates, and therefore considers only the basic aspects of the problem, ignoring the effects of melting and solidification, ablation, and temperature dependence of other material properties. For definitiveness, we use the material

parameters appropriate for liquid metals. The substrate is considered to be thermally conductive, but otherwise uniform. Since the motivation comes from nanoscale films, we do not include gravity, but we do consider substrate-film interaction via a disjoining pressure model that allows for natural definition of a contact angle. It should be also noted that inclusion of fluid-solid interaction is necessary if one wants to consider film instability on nanoscale (without its inclusion, an isothermal film never breaks down, contrary to experimental findings). While, as mentioned above, a significant body of work considering the influence of Marangoni forces on thin film stability has been established, we are not aware of any work considering the interplay of Marangoni effect and fluid-solid interaction by fully self-consistent computation of the thin film evolution, and the temperature field, in a fully nonlinear regime.

The rest of this paper is organized as follows. We formulate the model in Sec. II. Section III discusses the influence of the Marangoni effect for a film of fixed (time-independent) thickness in Sec. III A and then in Sec. III B for an evolving film. In Sec. III C we remove the constraint of small domain size and consider large domains that allow for mode interaction in both two and three spatial dimensions (2D and 3D). Section IV is devoted to the conclusions. The parameters used as well as derivation of the models used are given in the Appendixes.

## II. MODEL FORMULATION

We start by discussing in Sec. II A in rather general terms inclusion of the Marangoni effect in the long-wave model. Then, in Sec. II B we focus on discussing temperature computation and the coupling between the evolutions of temperature and of film thickness itself. We will see that proper accounting for film evolution when computing the temperature may be crucial for understanding the influence of Marangoni effect on film stability.

#### A. Thin film with Marangoni effect

We will analyze the influence of Marangoni effect within the long-wave framework that allows us to obtain insight into the most important aspects of the problems and carry out simulations at modest computational cost. The price to pay is approximate nature of the results, in particular in the context of liquid metal films that are characterized by large contact angles and fast evolution, that suggests that inertial effects (not included in the standard version of the long-wave framework considered here) may be relevant. However, despite the fact that all the assumptions involved in deriving long-wave approach are not strictly satisfied, one can obtain reasonably accurate results when using the long-wave approach to explain physical experiments—see, e.g., Refs. [17–20]—or even when comparing to direct numerical solvers of Navier-Stokes equations [21].

Within the long-wave framework, one reduces the complicated problem of evolving free surface film into a single fourth-order nonlinear partial different equation of diffusion type for the film thickness, h, that expresses conservation of mass of incompressible film and reads  $\partial h/\partial t + \nabla \cdot (h\mathbf{v}) = 0$ , where  $\mathbf{v}$  is the fluid velocity, averaged over the film thickness. This velocity can be related to the pressure gradient. To model the Marangoni effect, it is typically assumed that surface tension,  $\gamma$ , is a linear function of temperature:  $\gamma(T) = \gamma_0 + \gamma_T T$ , where  $\gamma_0 = \gamma(T_0)$ , and  $\gamma_T$  is (for most of the materials) a negative constant. In the present work, T is defined relative to some reference temperature,  $T_0$  (we will use room temperature), and nondimensionalized as described below. In nondimensional form, the evolution equation is as follows:

$$\frac{\partial h}{\partial t} + \nabla \cdot (h^3 \nabla \nabla^2 h) + K \nabla \cdot [h^3 f'(h) \nabla h] + D \nabla \cdot (h^2 \nabla T) = 0. \tag{1}$$

Here,  $\nabla = (\partial/\partial_x), (\partial/\partial y)$ , and (x,y) are the in-plane coordinates. The second term is due to surface tension (with pressure proportional to the film curvature that is approximated by  $\nabla^2 h$ ), and the remaining two terms are due to solid-fluid interaction and the Marangoni effect, respectively. The

function f(h), proportional to disjoining pressure, is assumed to be of the form

$$f(h) = (h_*/h)^n - (h_*/h)^m$$
,

where we use (n,m)=(3,2) as motivated by direct comparison to the experimental results for Cu films [19]. Next, we define  $t_s=3\mu l_s/\gamma_0$  as the time scale, where  $l_s$  is a chosen length scale (we use typical film thickness of 10 nm). The nondimensional parameters are then specified by  $K=\kappa l_s/\gamma_0$ ,  $D=3\gamma_T/(2\gamma_0)$ , and  $\kappa$  is related to Hamaker's constant, A, by  $A=6\pi\kappa h_*^3 l_s^3$ . The reader is referred to Appendix A for the values of the material parameters used, to Ref. [22] for extensive discussion regarding inclusion of disjoining pressure in the long-wave framework, to Refs. [15,17,19] for the use of the long-wave in the context of modeling liquid metal films, and to Refs. [1–3] for the discussion of Marangoni effects in a variety of settings. The numerical solutions of Eq. (1), discussed in what follows, are obtained using the spatial discretization and temporal evolution as described in, e.g., Ref. [23], with the grid size equal to  $h_*$ ; such discretization is sufficient to ensure accuracy.

## B. Thin film on a thermally conductive substrate

So far, the presentation applies to any situation where temperature gradients are present. Let us now focus on the setup of interest here, and that is a film exposed to an external heat source (such as a laser for experiments done with metal films) and placed on a thermally conductive substrate, such as  $SiO_2$ . To start, consider a spatially uniform film, exposed to an energy source, and in formulating the model describing the temperature of the film, ignore convective effects and furthermore consider only the heat flow in the z direction, normal to the plane of the film. Then, the temperature of the film (and of the substrate) can be modeled by diffusion equations (with a source term) for the film and for the substrate, coupled by appropriate boundary conditions

$$\frac{\partial T_i}{\partial t} = K_i \frac{\partial^2 T_i}{\partial z^2} + Q_i, \quad (i = 1, 2), \tag{2}$$

where i = 1,2 stand for the film and for the substrate phase, respectively; for simplicity we often drop the subscript from  $T_i$  when there is no possibility of confusion. The parameters entering the equation are listed in Appendix B, where we also define the temperature scale that is used throughout; here we only note that the source term,  $Q_1$ , also includes absorption of heat in the film, and is of the functional form

$$Q_1 = CF(t) \exp[-\bar{\alpha}(h-z)], \tag{3}$$

where C is a constant determined by the intensity of the source (laser) and  $\alpha$  is the (scaled) coefficient of absorption. We will assume that the substrate does not absorb heat  $(Q_2 = 0)$ ; this is appropriate for SiO<sub>2</sub> that is transparent to radiation. In the fluid modeling that follows, we will also assume that the substrate remains solid. The boundary conditions include no heat transfer at the free surface; in the spirit of the long-wave approach this simplifies to  $\partial T(z)/\partial z|_{z=h} = 0$  even for nonuniform films; at z = 0 we use continuity of temperatures and heat fluxes, therefore ignoring thermal resistance there, and at the bottom of the substrate, we put  $T(-h_s) = 0$  (room temperature). Ignoring heat flow in the in-plane direction can be justified by relatively slow time scale of heat conduction in the substrate (due to low heat conductivity of SiO<sub>2</sub>). Further studies of the importance of the in-plane heat transfer would be, however, appropriate and should be considered in future work. In the present work, we focus only on the main aspects of the connection between heat conduction and film evolution. We note that a similar approach (of considering heat transfer in the out-of-plane direction only) has been used in existing studies; see, e.g., Refs. [15,18].

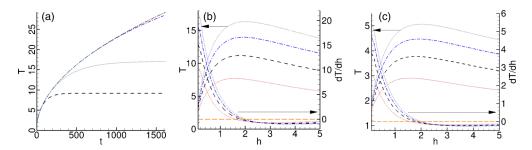


FIG. 1. (a) Temperature evolution of fixed-thickness film under a uniform laser pulse computed analytically assuming infinite SiO<sub>2</sub> thickness  $h_s$  (red dash-dotted line) and numerically using  $h_s = 10$  (black long-dashed line),  $h_s = 20$  (gray dotted line),  $h_s = 50$  (blue dash-dotted line), and  $h_s = 100$  (green dashed line). (Note that the numerical result with  $h_s = 100$  overlaps the analytical one.) In this and all the following figures the material and laser properties are from Table I in Appendix A if not specified differently; in particular here laser energy density is given by  $E_0$ . (b),(c) Temperature and  $\partial T/\partial h$  (with t fixed) of the free surface obtained by solving numerically Eq. (2) assuming fixed film thickness, h. Here, t = 100 (red dotted line), t = 200 (black dashed line), t = 300 (blue dash-dotted line), t = 400 (gray dotted line); the arrows indicate the axis related to the set of curves. The orange long-dashed line indicates  $\partial T/\partial h = 0$ . We use the time-independent source term here, F(T) = const. in Eq. (3); laser energy density is  $E_0$  (b) and  $E_0/4$  (c). We show both parts (b) and (c) (that are visually similar, modulo different scales) for later reference.

#### III. RESULTS

We first consider in Sec. III A a film of fixed thickness, and discuss via linear stability analysis the influence that the Marangoni effect has on film stability in such a setup, assuming furthermore that the temperature is slaved to the film thickness. The temperature is here calculated either by using the analytical solution, discussed in Appendix B, or by directly solving Eqs. (2). We will see that the analytical solution gives a good approximation of the temperature field as long as the substrate is sufficiently thick (in the considered setup characterized by a fixed film thickness). Then, we proceed in Sec. III B by discussing the setup where both the temperature and the film thickness evolve on comparable time scales and show that inclusion of film thickness evolution in the formulation modifies strongly the influence of Marangoni effect on film stability: the temperature of the film is influenced considerably by the history of the film evolution. Section III C then considers the influence of Marangoni effect on film stability in large domains, both in 2D and in 3D. The parameters that are used are as given in Table I in Appendix A, except if specified differently.

#### A. Marangoni effect for a film of fixed thickness

Equations (2) are solved using a standard finite difference method, with spatial derivatives discretized using central differences and the Crank-Nicolson method implemented for temporal evolution; we use 160 grid points for each of the domains (film, substrate)—this value is sufficient to ensure convergence. Not surprisingly, the numerical solutions show that the temperature of the film is essentially z independent, as also discussed in Ref. [15]. Therefore, for simplicity of notation we will from now on assume that T = T(h,t). The outlined thermal problem, for fixed (time-independent) h and in the limit of infinite substrate thickness,  $h_s \to \infty$ , also allows for a closed form solution for T(h,t); see Appendix C for a derivation (we will refer to this solution as the analytical one). Figure 1(a) compares the analytical solution with the numerical one. We see that for large  $h_s$  there is an excellent agreement between the two, as expected. For smaller values of  $h_s$ , the numerically computed temperature saturates due to the boundary condition at  $z = -h_s$ . Figures 1(b) and 1(c) show T and  $(\partial T/\partial h)|_t$  (we omit subscript t for simplicity from now on), as a function of h. The main feature of the solution is that T is a nonmonotonous function of h; an intuitive explanation is that for thin films, only a limited amount of energy gets absorbed and the temperature remains

low; for very thick films, the temperature remains low due to a large mass of the fluid that needs to be heated. As an outcome, there is a critical thickness at which T reaches a maximum value. We present results for two source energy densities that we will reference later in the text.

The next step is to couple the thermal problem with the fluid one and use the T resulting from Eq. (2) in Eq. (1). For simplicity, we will limit the consideration to two spatial dimensions so that h = h(x,t) in Eq. (1).

An initial insight can be reached by carrying out a linear stability analysis (LSA) of a base state of flat film of thickness  $h_0$  perturbed as follows:  $h = h_0[1 + \varepsilon \exp(iqx + \sigma t)]$ . As mentioned above, we here assume that the temperature is slaved to the film thickness; a more compete approach should consider the temperature as an independent variable. That type of analysis is, however, further complicated by the need to consider two coupled domains (film + substrate) and by the fact that the base state itself should be considered as time dependent, due to the time-dependent source term. Our work in this direction is under development [24]. For the purpose of the present paper, assuming further that T is a linear function of h (we discuss this assumption below), with  $\partial T/\partial h = G = \mathrm{const.}$ , one finds the dispersion relation

$$\sigma(q) = h_0^3 q^2 (-q^2 + P_0), \tag{4}$$

where

$$P_0 = K f'(h_0) + D_1/h_0$$

and

$$D_1 = [3\gamma_T/(2\gamma)]G$$
.

Then, for  $P_0 > 0$ , the most unstable wavelength,  $\lambda_m$ , and the corresponding growth rate,  $\sigma_m$ , are

$$\lambda_m = \frac{2\pi}{\sqrt{P_0/2}}, \quad \sigma_m = \frac{h_0^3 P_0^2}{4}.$$
 (5)

The LSA predicts exponential decay of any perturbation for the films such that  $P_0 < 0$ . Considering the films of dimensionless thickness  $h_0 \approx 1$ , we see from Figs. 1(b) and 1(c) that G > 0 and therefore the Marangoni effect is stabilizing. For thicker films, the LSA predicts increased instability; however, note that for such films |G| is rather small (for the present choice of parameters), and the destabilizing effect of disjoining pressure is very weak, so that evolution is expected to proceed with small growth rate, suggesting that instability could occur only on very long time scales. Note also that the simplicity of the dispersion relation, Eq. (4), hangs on assumed linearity of T(h); if this assumption is not satisfied, more complex forms result.

It should be further noted that the results of the LSA as presented may be expected to be of limited validity due to the number of assumptions, including the one of the temperature being slaved to the film thickness. In the next section, we will consider the results of fully nonlinear simulations that will also illustrate the limitations of the LSA results presented here.

## B. Marangoni effect for an evolving film

The analytical solution for temperature, plotted in Fig. 1 and discussed in Appendix C, as well as the numerical solutions shown in Fig. 1, assume that the film itself does not evolve. However, since thermal and fluid problems are coupled, and furthermore since they evolve on comparable time scales (as it will become obvious from the following results, or based on simple dimensional arguments for the time scale governing the heat flow compared to the inverse of the growth rate for film instability), it is not clear that this assumption is appropriate, and it is also not obvious what is its influence on the results. To answer these questions, we will next consider the fully coupled problem, where we solve numerically Eq. (1) while self-consistently computing the temperature by solving the system of diffusion Eqs. (2). We will first consider a uniform source term and then a Gaussian one. The initial condition is a film perturbed by a single cosine-like perturbation of the

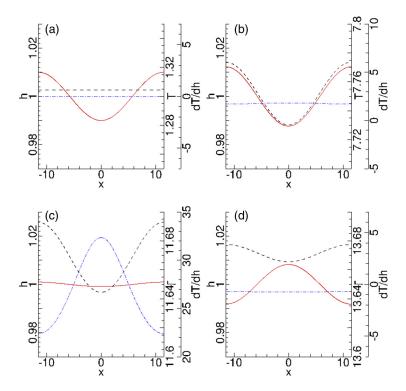


FIG. 2. Evolution of the film thickness, h (red solid line), temperature T (black dashed line), and temperature gradient  $\partial T/\partial h$  (blue dash-dotted line) as a result of self-consistent time-dependent computations of the film thickness and temperature. The domain size is  $\lambda_m$  defined by Eq. (5) without the Marangoni effect ( $D_1=0$ ). The times shown are t=0 (a), t=113 (b), t=258 (c), and t=355 (d).

wavelength corresponding to  $\lambda_m$  obtained from the LSA with the Marangoni effect excluded. The initial temperature (at t=0) of the film and the substrate is taken to be the room temperature, so T(t=0)=0. The boundary conditions for the thin film equation (1) are of no-flux type, with the first and third derivatives vanishing at the domain boundaries.

Figure 2 shows a few snapshots of h, T, and  $\partial T/\partial h$ . Initially, (a) h is perturbed, and T is constant. The perturbation in h grows (b) due to destabilizing disjoining pressure and leads to a perturbation in T. This perturbation stabilizes the film (the fluid flows from hot to cold), leading to essentially flat h, but T and  $\partial T/\partial h$  are delayed and are not uniform (c). This nonuniform temperature induces further evolution of the film profile and inverted perturbation (d), that is again stabilized by Marangoni flow. This process continues, leading to damped oscillatory evolution of the film.

Figure 3 shows the film thickness at the middle of the domain,  $h_m = h(x_m = \lambda_m/2)$  as a function of time for a few different approaches used to compute the film temperature: (i) the self-consistent time-dependent solution of Eq. (2) coupled with Eq. (1) (the same approach used to obtain the results shown in Fig. 2); (ii) the analytical solution of Eq. (2) assuming fixed film thickness, and (iii) linear temperature assuming fixed G = 3.0 [see Fig. 1(b)]. The evolution in the absence of Marangoni effects is shown as well—here, the film destabilizes on the time scale expected from the LSA. For self-consistent temperature computations,  $h_m$  shows oscillatory behavior, in contrast to the other considered approaches for temperature computations, or when the Marangoni effect is not included. Note that the numerical solution uses the substrate thickness  $h_s = 100$ ; for such  $h_s$ , there is an excellent agreement between the numerical and analytical temperature solutions for fixed film thickness; see Fig. 1(a). Therefore, the difference between the solutions is not due to the analytical solution not being accurate, but due to the fact that it ignores evolution of the film itself.

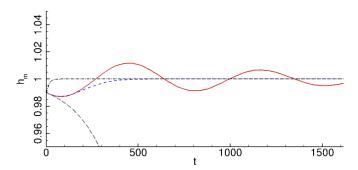


FIG. 3. The film thickness,  $h_m$ , at  $x_m = \lambda_m/2$  using different approaches to compute the temperature self-consistent time-dependent temperature computation (red solid line), the analytical solution of Eq. (2) assuming fixed film thickness (blue dashed line), and using fixed G = 3.0 obtained from Fig. 1(b) (black dash-dotted line). The evolution computed by ignoring the Marangoni effect altogether is shown as well (gray long dashed line).

Our finding so far is that Marangoni effect, when included self-consistently into Eq. (1), changes dramatically the behavior of the film, leading to stabilization for the present choice of parameters. The effect is particularly strong for thin films, that are strongly unstable due to destabilizing disjoining pressure, if the Marangoni effect is excluded. The obvious question is whether these results are general, in particular in the light of experimental findings that find instability; see, e.g., Refs. [13,19]. To start answering this question, we consider the influence of two parameters: time dependence of the source term and its total energy. The influence of the domain size and of the number of physical dimensions is discussed later in Sec. III C. A further, more detailed study of the influence of other parameters will be given elsewhere [24].

Figure 4 shows  $h_m$  obtained by assuming the Gaussian profile [function F(t)] of the source of different widths (see Appendix B for more details), keeping the total energy density the same as for the uniform profile considered so far. From Fig. 4, we observe that as the energy distribution of the source becomes more narrow, the oscillatory behavior of  $h_m$  becomes stronger; however, we always find that the final outcome is consistent with the one obtained for a uniform source—stable film.

Next we consider the influence of the energy density of the source term on the evolution, keeping all other parameters the same. Figures 5 and 6 show the results obtained for the same setup as the one used for Figs. 3 and 4 but with decreased energy density of the pulse. Now, the evolution is unstable: While the Marangoni effect is strong enough to suppress the initial instability growth (decrease of  $h_m$ ), it is insufficient to stabilize the rebound:  $h_m$  increases monotonously for later times, with the final outcome (for longer times than shown in Figs. 5 and 6) of the formation of a drop centered at  $x_m$ . Other outcomes are possible: e..g, for the total energy at some intermediate level between the ones used in Figs. 4 and 6, one can find drops centered at the domain boundaries (results not shown for brevity).

To summarize, we find that the Marangoni effect can have a profound effect on the stability of a thin film on a thermally conductive substrate and may result in oscillatory decay or growth of free surface instability. We have focused here on the influence that the source term properties have on the results; the influence of other ingredients in the model will be explored elsewhere [24]. In what follows, we discuss the influence of the domain size and its dimensionality.

## C. Marangoni effect for evolving films in large domains in two and three spatial dimensions

So far we have considered the influence of the Marangoni effect in small computational domains and in two spatial dimensions. One may wonder whether freely evolving films, unconstrained by domain size, would evolve differently, particularly in 3D. In this section we consider evolution in large domains in both 2D and 3D and show that all the main conclusions that we have already

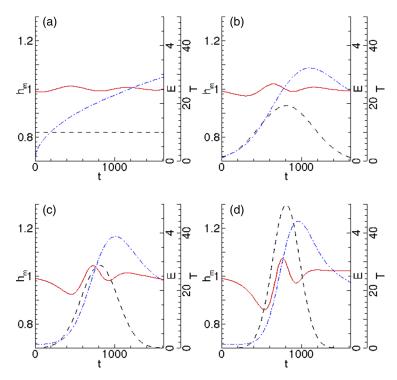


FIG. 4. The film thickness,  $h_m$  (red solid line), numerically computed film temperature at  $x_m$  (blue dash-dotted line), and the applied energy distribution (black dashed line). The total energy density applied,  $E_0$ , during considered time window is kept constant.

reached remain valid; in particular, the stability properties of the films remain as we have already discussed. For brevity, we will discuss only the results obtained by fully self-consistent computation of the temperature field and resulting the Marangoni effect, in addition to presenting the results of simulations that exclude Marangoni effects. Since we have not so far found a strong effect of the time dependence of the source term on the evolution, we will consider only a uniform source here;

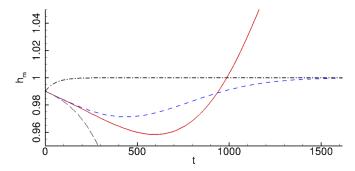


FIG. 5. The film thickness,  $h_m$ , at  $x_m = \lambda_m/2$  using different approaches to compute the temperature: self-consistent time-dependent temperature computation (red solid line), the analytical solution of Eq. (2) assuming fixed film thickness (blue dashed line), and using fixed G = 0.9 obtained from Fig. 1(c) (black dash-dotted line). The evolution computed by ignoring the Marangoni effect altogether is shown as well (gray long dashed line). Compare with Fig. 3 where applied energy density, and the corresponding value of G, are larger.

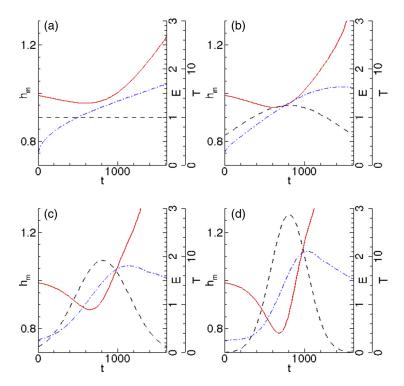


FIG. 6. The film thickness,  $h_m$  (red solid line), numerically computed film temperature at  $x_m$  (blue dash-dotted line), and the applied energy distribution (black dashed line). The total energy density applied is kept constant at  $E_0/4$  (one quarter of the one used in Fig. 4). Note different scales for T and E compared to Fig. 4.

however, since we observed that the total applied energy density does influence the result, we will include the results for the two energy densities considered so far in this section as well.

The results that follow focus on the same time range and source properties as considered so far; this is necessary to avoid confusion regarding total energy density provided by the heat source in Eq. (2). For this reason, some of the figures in this section (as in the preceding ones) present films that are still evolving. In the context of metal films, where films solidify after a laser pulse, any of the shown configurations may be a final one. Longer time evolution that leads to formation of drops for all considered unstable configurations, as well as the evolution for multiple laser pulses that are commonly used in experiments [13,19,20], will be considered in future work [24].

We consider the domain sizes that are equal to  $20\lambda_m$  [with  $\lambda_m$  given by Eq. (5) with the Marangoni effect excluded] in 2D or to  $[5\lambda_m, 5\lambda_m]$  in 3D. The 3D simulations are carried out by implementing the alternating direction implicit (ADI) method that has been already used in similar contexts (see, e.g., Refs. [25–27] for examples, as well as Ref. [28] for a careful discussion of this method in the context of fourth-order nonlinear diffusion equations). The boundary conditions are analogous to the 2D case, with the first and third derivatives vanishing in the direction normal to the domain boundary.

The initial condition consists of a film perturbed by a set of random perturbations, specified as follows. Consider  $N \times N$  grid in the 2D plane, with  $z_{l,m}$  a random complex number of unit length. The initial condition is then specified by

$$h_{k,j} = h_0 + \varepsilon \left| \sum_{l=0}^{N} \left( e^{i2\pi kl/N} \sum_{m=0}^{N} e^{i2\pi j m/N} z_{l,m} \right) \right|.$$
 (6)

Here, N is the number of grid points in each direction, and  $\varepsilon$  is the amplitude of perturbation. We use  $\varepsilon = 0.01$  and in 2D use the 1D version of Eq. (6). Note that after this initial stochastic

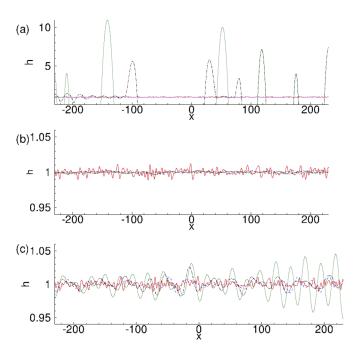


FIG. 7. The evolution of a perturbed film in a large domain (20 times the size shown in Fig. 2), the rest of the setup is the same as the one in Figs. 4 and 6, with the difference that in (a) the film evolves without Marangoni effect; in (b) the energy density of the source term is  $E_0$ , the same as in Fig. 4; and in (c) the energy density is  $E_0/4$ , the same as in Fig. 6. The initial condition is identical for all three figures and is shown by red solid lines, with the other lines showing the results at t = 509 (blue dashed), t = 1074 (black dashdot), and t = 1583 (green dotted).

perturbation, the evolution is fully deterministic. See Refs. [29,30] for further discussion of fully stochastic evolution in the context of thin film dynamics.

Figure 7 shows the evolution of randomly perturbed 2D film for three cases: without Marangoni effect (a) and with Marangoni effect included, and the total energy density applied equal to  $E_0$  (b) and  $E_0/4$  (c). We observe very different evolutions, with the instability growing quickly in Fig. 7(a), oscillatory instability decay in Fig. 7(b), and oscillatory instability growth in Fig. 7(c), consistently with the LSA for the no-Marangoni case, and with the results obtained in small computational domain and a single perturbation shown in the preceding figures.

During the evolution time shown in Fig. 7, the instability has only started to grow [Fig. 7(b)] or decay [Fig. 7(c)], but has already led to the formation of drops in Fig. 7(a), for which the Marangoni effect is excluded. This finding (implicit in the earlier figures as well) suggests that instability with and without the Marangoni effect evolves on different time scales, with faster evolution if the Marangoni effect is not considered. The question of the influence of the Marangoni effect on emerging length scales in the nonlinear regime of instability development is not simple to answer and its careful consideration is referred to future work [24]. Already for evolution without the Marangoni effect, shown in Fig. 7, we note rather strong coarsening effect—the distance between the drops that are about to form is much larger than the most unstable wavelength,  $\lambda_m$ , obtained from the LSA. This coarsening is consistent with the results of simulations focusing on stochastic effects [29] and has not been, to our knowledge, carefully analyzed yet.

Next we proceed with 3D simulations. Figure 8 shows the results obtained in simulations that do not include the Marangoni effect, corresponding to the ones shown in Fig. 7(a); Figs. 9 and 10 then show the results for the total energy density equal to  $E_0$  and  $E_0/4$ , corresponding to Figs. 7(b)

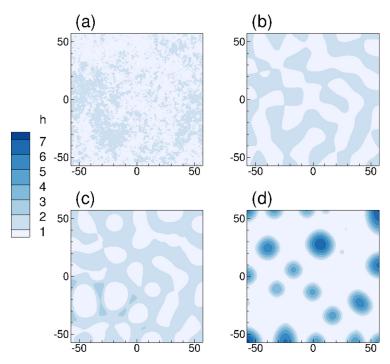


FIG. 8. The evolution of a flat film with random initial perturbation in 3D geometry. The Marangoni effect is not considered. The results in this figure as well as in Figs. 9 and 10 are shown at (a) t = 0, (b) t = 528, (c) t = 1040, and (d) t = 1578.

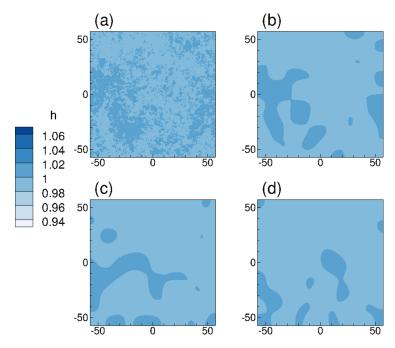


FIG. 9. The evolution of a flat film with random initial perturbation in 3D geometry. The Marangoni effect is included, with the total energy density applied corresponding to  $E_0$ .

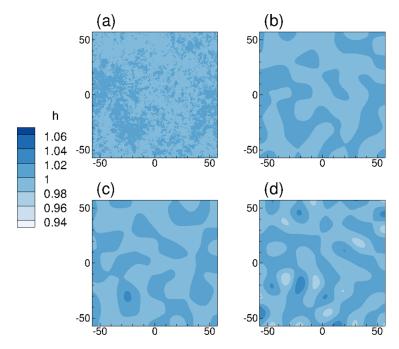


FIG. 10. The evolution of a flat film with random initial perturbation in 3D geometry. The Marangoni effect is included, with the total energy density applied corresponding to  $E_0/4$ .

and 7(c), respectively. Figure 8, where final drops have already formed, shows a similar coarsening effect as in 2D; the typical length scale (distance between the drops) is larger than  $\lambda_m$  obtained based on the LSA; similar coarsening was found when analyzing experimental results for unstable Cu films [19].

When the Marangoni effect is considered, as in Figs. 9 and 10, the evolution is consistent with the 2D versions shown in Figs. 7(b) and 7(c). In particular, the stability properties of the films are not influenced by the geometry: The films that are unstable in 2D are unstable in 3D as well. These results show clearly a strong influence of the Marangoni effect on the instability evolution, suggesting that temperature dependence of surface tension may be used to control the instability development in physical experiments.

In the present work, we focus on the early stages of instability development, particularly in the case of evolution in the presence of the Marangoni effect. Our future work [24] will discuss in more detail the evolution at the later stages that involve formation of drops and the influence of Marangoni effect on the emerging length scales.

## IV. CONCLUSIONS

The main conclusion of this work is that careful consideration of heat conduction is required to properly account for the influence of the Marangoni forces on the film evolution, in particular, the assumption that the film temperature is slaved to its thickness leads to different results from the ones obtained by self-consistent computations. The sensitivity of the outcome as the parameters entering the problem (such as total energy density of the source term) are modified suggests that more general insight could be reached by carrying out further studies using more elaborate linear and weakly nonlinear analyses of the evolution. We hope that our results will inspire further research in this direction.

We note that in the present work we have considered a very basic model, and have not included a number of effects: Solidification and melting are not considered, modeling of heat flow is limited

TABLE I. The parameters used in the main text.

Parameter	Value	Unit
Viscosity (μ)	$4.3 \times 10^{-3}$	$m^2/s$
Surface tension $(\gamma)$	1.303	$J/m^2$
Length scale $(l_s)$	$1.0 \times 10^{-8}$	m
Time scale $(t_s = 3l_s \mu/\gamma)$	$9.21 \times 10^{-11}$	S
Film density $(\rho_m)$	$8.0 \times 10^{3}$	$kg/m^3$
$SiO_2$ density $(\rho_{SiO_2})$	$2.2 \times 10^{3}$	$kg/m^3$
Film heat capacity (Ceff <sub>m</sub> )	$4.95 \times 10^{2}$	J/kg/K
SiO <sub>2</sub> heat capacity (Ceff <sub>SiO<sub>2</sub></sub> )	$9.37 \times 10^{2}$	J/kg/K
Film heat conductivity $(k_m)$	$3.40 \times 10^{2}$	W/m/K
Film absorption length $(\alpha_m^{-1})$	$11.09 \times 10^{-9}$	m
$SiO_2$ heat conductivity $(k_{SiO_2})$	$1.4 \times 10^{0}$	W/m/K
Surface tension dep of T $(\gamma_T)$	$-2.3 \times 10^{-4}$	$J/m^2$
Hamaker's constant (A)	$1.83 \times 10^{-18}$	J
Reflective coefficient $(r_0)$	0.3655	1
Film reflective length $(\alpha_r^{-1})$	$12.0 \times 10^{-9}$	m
Laser energy density $(E_0)$	$8.80 \times 10^{3}$	$J/m^2$
Time duration of observation ( $t_{\text{total}}$ )	160	ns
Gaussian pulse peak time $(t_p)$	80	ns
Equilibrium film thickness $(h_*)$	$1.0 \times 10^{-10}$	m
Film thickness $(h_0)$	$1.0 \times 10^{-8}$	m
$SiO_2$ thickness $(h_{SiO_2})$	$1.0 \times 10^{-6}$	m
Room temperature $(T_{\text{room}})$	300	K

to one dimension, the substrate itself is considered uniform, and the other physical parameters (such as viscosity and thermal conductivity) are considered to be temperature independent. We expect that the results presented here will serve as a basis for further improvements, in particular since they show that Marangoni effect may influence strongly both time scales and length scales of instability development, opening the door to its use for the purpose of controlled directed assembly on nanoscale. Our research will proceed in this direction.

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#### **APPENDIX A: PARAMETERS**

Table I provides the parameters and scales used in the main text. The film parameters (subscript m) assume Cu film, and the substrate parameters (subscript s) assume  $SiO_2$ .

## APPENDIX B: FORMULATION OF THE HEAT DIFFUSION PROBLEM

The dimensional heat diffusion equation describing temperature of the metal film, with the time-dependent source term, considered in the main text, is as follows:

$$(\rho C_{\text{eff}})_m \frac{\partial T_1}{\partial t} = k_m \frac{\partial^2 T_1}{\partial z^2} + S^* F(t) \alpha_m e^{-\alpha_m (h-z)}. \tag{B1}$$

Here we take the film surface to be at z = h, and the film-substrate interface is at z = 0. In the substrate layer, the heat absorption is ignored, leading to

$$(\rho C_{\text{eff}})_s \frac{\partial T_2}{\partial t} = k_s \frac{\partial^2 T_2}{\partial z^2} \,. \tag{B2}$$

The scales and parameters are given in Table I. For uniform pulse we have

$$S^* = [1 - R(h)] \frac{E_0}{t_p}; \quad F(t) = 1,$$

where R(h) is the overall material reflectivity:

$$R(h) = r_0(1 - e^{-\alpha_r h}).$$

For Gaussian pulse,

$$S^* = [1 - R(h)] \frac{E_0 \zeta}{\sqrt{2\pi} \sigma}; \quad F(t) = \exp[-(t - t_p)^2 / \sigma^2].$$

Here,  $\zeta$  is a renormalization factor used to ensure that during the considered observation time,  $t_{\text{total}}$ , the Gaussian and the uniform pulse lead to the same total applied energy.

Equation (2) from the main body of the text is obtained by using the length and time scales as defined there, and the temperature scale  $T_s = t_s E_0 \alpha_m / (\rho C_{\text{eff}})_m t_p$ ):

$$K_1 = \frac{k_m t_s}{(\rho C_{\text{eff}})_m l_s^2}, \quad Q_1 = \frac{t_s S^* F(t) \alpha_m e^{-\alpha_m (z-h)}}{(\rho C_{\text{eff}})_m T_s}, \quad K_2 = \frac{k_s t_s}{(\rho C_{\text{eff}})_s l_s^2}; \quad Q_2 = 0.$$

# APPENDIX C: OUTLINE OF THE DERIVATION OF THE ANALYTICAL SOLUTION TO THE HEAT DIFFUSION PROBLEM

Here, we give a brief overview of the derivation of the analytical solution of Eqs. (B1) and (B2), assuming that the film thickness is constant (or, equivalently, that the temperature is slaved to the current value of the film thickness), assuming also that the substrate layer is infinitely thick. This formulation was discussed in more detail in Ref. [15], and also used for the purpose of estimating the liquid lifetime of metal film in Refs. [16,18,20]. Let

$$S = \frac{S^*[1 - e^{-\alpha_m h}]}{(\rho C_{\text{eff}})h}, \quad K = \frac{\sqrt{(\rho C_{\text{eff}} k)_s}}{(\rho C_{\text{eff}})_m h}$$

and

$$q_s(t) = -k_m(\partial T_1/\partial z)_{z=0} = -k_s(\partial T_2/\partial z)_{z=0}.$$

Here  $q_s(t)$  represents the heat flux through the film-substrate interface. Since the film layer is thin and the heat conduction high, the time scale for heat conduction in the z direction is short,  $\approx 10^{-2}$  ns using the parameters as given in Table I. Therefore,  $T_1$  is almost z independent, allowing for simplifications; see Ref. [15] for details. Essentially, after averaging over the film thickness, one finds

$$T_1(t) = T_0 + \int_0^t \left[ SF(\tau) - \frac{q_s(\tau)}{(\rho C_{\text{eff}})_m h} \right] d\tau , \qquad (C1)$$

where  $T_1$  i is now the averaged temperature of the film. Solving the heat equation in the substrate of semi-infinite thickness gives

$$T_2(t,z) = T_0 + \frac{\sqrt{\alpha_s}}{k_s \sqrt{\pi}} \int_0^t \frac{q_s(\tau)}{\sqrt{(t-\tau)}} \exp\left[\frac{-z^2}{4\alpha_s(t-\tau)}\right] d\tau.$$

Using  $T_1(t) = T_2(t,0)$  we have

$$S\int_0^t F(\tau)d\tau = \int_0^t \frac{q_s(\tau)d\tau}{(\rho C_{\text{eff}})_m h} + \frac{\sqrt{\alpha_s}}{k_s \sqrt{\pi}} \int_0^t \frac{q_s(\tau)}{\sqrt{t-\tau}} d\tau.$$

Using Laplace transform, we can solve for  $q_s(t)$ , and substituting the result into Eq. (C1), we obtain the solution for the film temperature:

$$T_1(t) = T_0 + S \int_0^t e^{K^2 u} \operatorname{erfc}(K\sqrt{u}) du$$
 (C2)

This  $T_1(t)$  is shown in the main body of the paper as the analytical solution for the film temperature. Note that its derivation assumes that h remains constant in time.

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