Directed Liquid Phase Assembly of Highly Ordered Metallic Nanoparticle Arrays

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*Supporting Information

ABSTRACT: Directed assembly of nanomaterials is a promising route for the synthesis of nanoscale materials. In this paper, we demonstrate the directed-assembly of highly ordered two-dimensional arrays of hierarchical nanostructures with tunable size, spacing and composition. The directed assembly is achieved on lithographically patterned metal films that are subsequently pulse-laser melted; during the brief liquid lifetime, the patterned nanostructures assemble into highly ordered primary and secondary nanoparticles, with sizes below that which was originally patterned. Complementary fluid-dynamics simulations emulate the resultant patterns and show how the competition of capillary forces and liquid metal—solid substrate interaction potential drives the directed assembly. As an example of the enhanced functionality, a full-wave electromagnetic analysis has been performed to identify the nature of the supported plasmonic resonances.

KEYWORDS: nanoparticle array, directed assembly, dewetting, laser, plasmonics

INTRODUCTION

Directed assembly of nanomaterials is a promising route for the synthesis of advanced materials and devices. To this end, several groups have demonstrated interesting nanoparticle arrays through the self-assembly of metallic thin films via solid state or liquid phase dewetting at elevated temperatures. Nanoparticles with a correlated size and spacing result from two-dimensional (2D) thin film instabilities and pseudo 1-dimensional (1D) Rayleigh–Plateau instabilities. The assembly of nanoparticles from thin film dewetting has the advantage of simplicity, high throughput and low cost. Several studies have shown functional properties from self- and directed assembly of metallic nanoparticles via solid and liquid phase dewetting, for example, plasmonics, modified surface optical properties, magnetic nanoparticles, catalyst for nanowire growth, and as a catalyst for metal assisted chemical etching of high-aspect-ratio silicon nanowires. However, highly ordered arrays of metallic nanoparticles with precise size and position are desired for enhanced functionality. In terms of a 2D nanoscale ordering, topographically patterned substrates or patterned polymers have been used to control individual nanoparticles as well as ordered nanostructures. Ye et al. have recently demonstrated directed assembly of nickel nanostructures by varying the orientation of single crystal films coupled with oriented thin film patterns grown on MgO. Well-aligned stripe patterns of gold and silver nanoparticles at micrometer scales were achieved by dewetting a floating film of nanoparticles on a water surface. Meanwhile, the liquid phase assembly of nanoparticles from lithographically patterned lines and rings has also been studied and a correlated length scale results from a modified Rayleigh–Plateau instability of pseudo 1D filaments deposited on a substrate. Precise nanoparticle size and spacing were demonstrated by imposing “synthetic” sinusoidal or square-wave surface perturbations on the filament surface. The perturbations with amplitudes >10 nm and fast growth rates dominate the filament breakup, translating into highly ordered nanoparticles with well-defined spacing and size.

In spite of the significant advances that have been made in nanoparticle patterning/organization, demands still remain to assemble patterns with multiple size particles and variable compositions in a fast, effective way. In this paper, we...
demonstrate a new strategy for ordering 2D complex and hierarchical nanostructures with tunable primary and secondary nanoparticles. Most notably, we will demonstrate the formation of a multilength scale nanoparticle network with controllable size, symmetry and composition using a single lithography step. Complementary hydrodynamic numerical simulations of the fluid dynamics emulate the resultant pattern evolution and provide insight into the complex directed assembly dynamics. The capillary force induced by the lithographically patterned architecture coupled with the long-range interfacial forces act in concert at the nanoscale to order the final hierarchical nanoparticle ensemble.

**EXPERIMENTS AND RESULTS**

The simple process flow and initial patterned morphology is illustrated in Figure 1. The top-down and tilted view of the initial lithography step is shown in Figure 1a,b, respectively. The generated pattern is an array of metal cylinders (yellow) in this case with a hexagonal close packed geometry: \( D \) is the cylinder diameter; \( \alpha \) is the symmetry angle (60°); \( S \) is center-to-center spacing (or pitch) of two nearest neighbor cylinders. Next, the patterned cylinders are (c) coated (green) with a continuous metal layer referred to here as the covering film. Panel d is an atomic force microscope image of a resultant interpenetrating primary and secondary particle array.

![Figure 1](image-url)

Figure 1. Schematic illustrating the simple patterning process of the hexagonal close packed symmetry used as the template for the liquid phase directed assembly process. The first step (a) and (b) involves patterning cylinder arrays with a specific thickness, diameter \( D \) and center-to-center spacing \( S \) (yellow). The second step (c) is to cover the cylinders with a continuous thin film (green). Panel d is an atomic force microscope image of a resultant interpenetrating primary and secondary particle array.

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*All cylinder symmetries were hexagonal except as denoted by an asterisk, which had a square lattice. The primary and secondary particle diameters are also included.*
and long-range ordering from the original patterns as well as the secondary particles. Interestingly, as $S$ increases, the primary particles remained ordered; however, competing fluid instabilities, as discussed below, degraded the secondary particle order. Estimations of the time−temperature profiles of a 9 and 18 nm thick film for a single laser pulse (140 mJ/cm$^2$) confirm that the incident laser fluence is sufficient to melt both the 18 nm cylinder and 9 nm intercylinder or “interstitial” regions and the liquid lifetimes are $\sim$15 ns. The simulations also suggest that a lateral thermal gradient also exists between the, hotter, thicker cylindrical regions (18 nm) and the cooler, interstitial film (9 nm); thus, second-order thermocapillary effects could also be operative and we plan to consider them in future work.

As demonstrated in Figure 2, primary nanoparticles form in spatial phase with the lithographically patterned cylinders; additionally, a secondary interpenetrating lattice of nanoparticles evolves associated with the interstitial film. Figure 3a includes a plot of the measured primary and secondary nanoparticle diameter (green markers) for the patterns shown in Figure 2. Also included in Figure 3 are plots of calculated

\[ \text{Figure 2. (a) Scanning electron micrographs of an as-synthesized array of hexagonal close packed copper cylinders 9 nm thick and } D = 350 \text{ nm, } S = 500 \text{ nm and covered with a 9 nm thick copper film. (b) Liquid phase assembly of primary and secondary nanoparticles induced by pulsed laser exposure. Higher magnification scanning electron micrographs of resultant nanoparticles with a common } D = 350 \text{ nm and variable } S \text{ illustrating the ability to independently control the primary and secondary particle size. Cylinder } S: (c) 400 \text{ nm, (b) and (d) 500 \text{ nm, (e) 600 \text{ nm and (f) 700 nm. The transparent circles illustrate the location and approximate diameter of the original cylinders.} } \]

\[ \text{Figure 3. Plots of experimental and calculated primary and secondary particle sizes versus patterned distances between cylinders } (S - D). (a) Particle sizes for hexagon lattices with } D = \sim 350 \text{ nm, two cylinder thicknesses (blue, 9 nm; green, 20 nm) and 9 nm covering layer. (b) Particle sizes for hexagon lattice (dark blue) with } D = \sim 220 \text{ nm, 9 nm cylinder thickness and 9 nm covering layer; particle sizes for square lattice (red) with } D = \sim 350 \text{ nm, 9 nm cylinder thickness and 9 nm covering layer. The plots illustrate that the patterned cylinder volume controls the primary particle size and the interstitial volume controls the secondary particle size (here } (S - D) \text{ was chosen as } x\text{-axis to better present interstitial dimension that correlates to secondary particle size); thus, independent control of the primary and secondary lattices can be directly assembled with a careful consideration of the original patterned parameters. Solid lines are the estimated volumes of the primary particles, dashed lines are estimated volumes of the secondary particles, the symbol shapes denote the type of lattice cylinders are arranged in (hexagonal or square), filled symbols are primary particle sizes and unfilled symbols are secondary particle sizes and the different colors represent different thin film dimensions.} \]
primary and secondary particle diameters (assuming a wetting angle of 79°) calculated from (1) the cylinder volume and (2) the interstitial volume (see the Supporting Information for details) for a series of patterns with varied dimensions, which highlights the ability to finely tune nanoparticle size via the directed assembly process. Comparing the calculated and measured particle sizes confirms that primary particles are derived mostly from mass located in the thicker, cylindrical regions while the secondary particles derive their mass from the interstitial regions. A more careful observation shows that the primary particle sizes are slightly larger than the calculated sizes, while the secondary particle sizes are smaller. This is reasonable considering the mass flow directed by initial boundary perturbation. As shown in the hydrodynamic simulation (Figure 5), the perturbation leads to film rupture at a certain point just beyond the patterned cylinder, hence some material in the “interstitial” area flows into the primary nanoparticles; the small amount of material only slightly affects the larger primary particles and more significantly modifies the mass of the secondary particles. For patterns with larger spacing,
Figure 6. Simulated transmission, reflection and absorption spectra and magnetic field amplitude at specific wavelengths for (a) 18 nm and (b) 9 nm
$D = 350\, \text{nm}$ and $S = 500\, \text{nm}$ cylinder arrays; (c) hemispherical nanoparticles in a hexagonal array mimicking the lone primary particle array; (d)
directly assembled hemispherical primary and secondary nanoparticle array. The patterned cylinders with 18 nm thickness exhibit a single broadband
plasmonic resonance. A similar field localization is found for higher wavelength when reducing the height of the nanodisks to $h = 9\, \text{nm}$. In this
second scenario, a higher order resonance is also visible at shorter wavelengths. A narrow-band resonant feature characterizes primary nanoparticles.
Two distinct field localizations can be found for the array composed of primary and secondary nanoparticles, i.e., when the resonance of one or the
other nanoparticle is excited. However, for an intermediate wavelength between the two resonances, the magnetic field is localized on all
nanoparticles, revealing the interaction between the two resonant features.

particularly the square lattice shown in Figure 4b, which have a larger interstitial area relative to the hexagon lattice with same
initial dimensions, the average secondary nanoparticle size are clearly smaller than the calculated size. Additionally, as the
particle size increases, the standard deviation of secondary particles’ size increases due to the pattern degradation that is
attributed to the spinodal instability of a thin fluid film, discussed in more detail in the hydrodynamic simulation shown
in Figure 5. Figure 4 is a collage of SEM images of assembled nanoparticle arrays synthesized with different parameters, which
shows that, relative to a, the (b) geometry/symmetry, (c) and (d) primary and secondary particle size and (e) and (f) particle composition can all be varied independently (see Table 1 for pattern parameters). Specifically, the interpenetrating
Cu–Cu/Ni nanoparticle lattice synthesized with a nickel cylinders covered with a copper covering layer is demonstrated in Figure 4e (note EDX line scan that shows different composition of primary and secondary nanoparticles). Such
hybrid nanoparticle arrays may inspire emergent and multiple functionalities.12,18,34–36 Figure 4g, h illustrate an interesting observation for a different cylinder/covering layer thickness combination in which the hydrodynamics invert the original pattern. We suspect that this is due to theromcapillary effects and warrant further study. The measured and predicted primary and secondary particle sizes for Figure 4a–f are also included in Figure 3.

To understand the directed assembly evolution, simulations based on fluid mechanical modeling were performed. The simulations are based on the long-wave (lubrication) model that includes capillary forces as well as the fluid–solid interaction;37 more details are given in the Methods section. Figure 5a–d shows a sequence of images resulting from simulations for cylinders 9 nm thick, $D = 350\, \text{nm}$, $S = 600\, \text{nm}$ and again covered with a uniform 9 nm thick film. For
efficiency, we simulate only a small fraction of the experimental domain, which is, however, large enough to illustrate the
instability mechanism. The initial pattern induces curvatures both in-plane and out-of-plane (height or z-dimension) and thus once melted, capillary forces cause material to evolve, producing a radial undulation around each cylinder. The interfacial potential mentioned above causes the minimum in the undulation to advance toward the substrate and eventually leads to the circular ring around the original cylinders, effectively separating the cylinder region from the rest of the film. Each cylinder then forms a drop in order to minimize its surface area. Therefore, each cylinder forms a primary particle, while the interstitial film area leads to the formation of a filament that eventually breaks up. The two SEM images, shown as insets in Figure 5, capture the earlier stages of the
directed assembly process and suggest that these earlier stages are consistent with the progression demonstrated by the simulations. Overall, the simulations capture not only the spatial evolution but also the overall time scale of evolution, as suggested by the simulated liquid lifetimes.

Figure 2 shows that, for a small $S$, the secondary particles are highly ordered, whereas for larger $S$ ($\sim 600\, \text{nm}$ for the $D = 350\, \text{nm}$), the secondary particle ordering degrades. This finding can be understood by considering the so-called spinodal instability of a thin fluid film exposed to fluid–solid interaction forces (see reference 37 and references therein, as well as the Supporting Information). Essentially, small height perturbations in a nominally uniform film may be unstable, and, given enough time, can lead to instability. To illustrate this process, Figure 5e–h shows results of the simulations where, in addition to the cylinder geometry considered so far, we include random perturbations of maximum amplitude of $0.5\, \text{nm}$. These additional simulations break the originally imposed symmetry and, in the case considered in Figure 5, lead to formation of
more disordered patterns. Additional simulations for cylinders that are more closely spaced (results not given for brevity) show that, in such a geometry, random perturbations do not play a role because they do not have time to grow and influence the formation of secondary particles. The Supporting Information shows animations obtained by hydrodynamic simulations.

**OPTICAL SIMULATION OF NANOPARTICLE ARRAYS**

Finally, as an example of the enhanced functionality of the hierarchical nanoparticle array formed by the liquid phase directed assembled, a full-wave electromagnetic analysis of several representative periodic arrays has been performed to identify the nature of the plasmonic resonances supported by originally patterned cylinders, laser self-assembled cylinders (no-covering layer) and directly assembled primary and secondary particles. It is well-known that surface plasmons are highly sensitive to factors such as geometry, size, shape and the surrounding medium.  

The comparison between the three different patterns provides an insight of the advantage in potential optical application related to the directed assembled nanoparticles. Specifically, we simulated patterned 9 and 18 nm cylinders (no bridging continuous film), assembled hemispherical nanoparticles from laser treated 9 nm cylinders, which have a diameter of 170 nm and finally the ordered primary and secondary hemispherical nanoparticles from the directed assembly process illustrated in Figure 2d. To be consistent, all the patterns have \( S = 500 \) nm. We evaluated transmission (T), reflection (R) and absorption (A) spectra as described in the Methods section. Figure 6a, b illustrates that the \( D = \sim 350 \) nm patterned cylinders exhibit a broadband plasmonic resonance for an input wavelength of approximately \( \lambda = 1100 \) nm that red-shifts when the height of the cylinders decreases from \( h = 18 \) nm to \( h = 9 \) nm. Such red-shift is due to the increase of the effective refractive index of the Hankel-type surface plasmon polariton (SPP) propagating in the cylinder.  

A higher order resonance is also visible at shorter wavelengths for the array with \( h = 9 \) nm cylinders (Figure 6b). Moreover, a blue-shift of the resonances is expected when the radius of the cylinder is reduced. Because of their aspect ratio, the cylinders can be treated as oblate spheroids with a large depolarization factor along the revolution axis and they behave like circular patch nanoantennas.  

A different scenario is associated with the array of laser treated samples that evolve into hemispherical nanoparticles or nanocaps. Here a narrow-band resonant feature appears around a wavelength of nearly 700 nm when the diameter of the caps is \( D = 170 \) nm (Figure 6c). The dipolar resonance of the nanoparticle blue- or red-shifts depending on the particle radius. For this reason, the directed assembly array composed of primary and secondary nanoparticles (Figure 6d), i.e., with \( D = 120 \) nm and \( D = 200 \) nm radii, exhibits two distinct resonances, at \( \lambda = 670 \) nm and \( \lambda = 760 \) nm. Because of the proximity between nanoparticles (the nearest neighbor distance is on the order of 300 nm), the two resonances interact producing broader spectral features that can be tailored to enhance absorption (or suppress transmission) in a desired spectral range. The narrow band resonance features generated in directed assembled interpenetrating nanoparticles could be attractive in many plasmonic-based applications.

**CONCLUSION**

In conclusion, we demonstrate that hierarchical nanoparticle arrays with controllable size, composition and symmetry can be realized via a convergence of top-down lithography and a bottom-up self-assembly approach. Importantly, the particle sizes realized can be smaller than the patterned lithographic geometry. The directed assembly has been rationalized using computational fluid dynamics and the order-to-disorder transition at larger \( S \) values are attributed to competing thin film instabilities caused by random perturbations. The hierarchical nanoparticle arrays demonstrate multimodal plasmonic resonances with narrower spectral widths relative to the originally lithographically patterned cylinder arrays.

**METHODS**

**Pattern Synthesis.**  
A detailed process flow is illustrated in Figure 7, which shows the step-by-step synthesis steps for the highly ordered Cu nanoparticle arrays. The copper cylinder patterns were lithographically patterned on silicon wafers coated with a 100 nm silicon dioxide via electron beam lithography using a positive tone resist. The resist was spin coated at 3000 rpm to \( \sim 200 \) nm thick (Figure 7a). The circular patterns were exposed to an electron beam at a dose of \( 1 \) \( \mu \)C/cm\(^2\) and developed in an IMB:IPA (1:3) developer for 80 s (Figure 7b). The resist was lifted off in acetone with ultrasonic agitation after metallization (Figure 7c). Then the top metal was deposited and the sample was laser irradiated (Figure 7d). The copper cylinders and covering layer thin film were both DC sputter deposited using an AJA DC sputtering system equipped with 75 mm sputter targets. The DC power was 30

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**Figure 7.** Process flow of directed assembly of highly ordered Cu nanoparticle arrays.
Hydrodynamic Simulations. The dynamics is described by applying a long wave (lubrication) approximation, which allows reducing the Navier–Stokes equations to a single differential equation for the fluid thickness. Two assumptions were made: that the liquid metal behaves like a Newtonian fluid (see also references 1 and 29 in reference 45 regarding this point), and the pulsed laser irradiation leads to very rapid heating so that the evolution takes place in a liquid phase with fixed and uniform temperature. This approach leads to the following nonlinear fourth order partial differential equation:

\[
3\mu \frac{\partial h}{\partial t} + \gamma V \cdot (V^2 V h) + V \cdot [\kappa^2 \nabla \Pi(h)] = 0
\]

(1)

where \( h(x, y, t) \) is the film thickness, \( x \) and \( y \) are the in-plane coordinates, \( V = (\partial / \partial x, \partial / \partial y) \), \( \mu \) is the dynamic viscosity and \( \gamma \) is the surface tension. Here, the second term corresponds to the capillary forces and the third is due to liquid–solid interaction. The functional form of \( \Pi(h) \) that has been applied in recent works\(^{45} \) is of the form corresponding to an interface potential that includes mechanisms operative during liquid phase, thin films and hydrodynamic simulation details. This material is available free of charge via the Internet at http://pubs.acs.org.

### Associated Content

Supporting Information

Analysis of the spatial ordering of nanoparticles, calculation of particle mass conservation, self-assembly of unpatterned Cu thin films and hydrodynamic simulation details. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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