

## Homework 1 Solutions

1. Answer the questions below for the sets of strings:

$$C = \{\varepsilon, aab, baa\},$$

$$D = \{bb, aab\},$$

$$E = \{\varepsilon\},$$

$$F = \emptyset.$$

Except for parts (c) and (h), write out any sets with the elements in *string order*, i.e., shorter strings appear before longer strings, and strings of the same length are in alphabetical order. For any infinite sets, list only the first 8 elements in string order, but be sure to also indicate that the set is infinite by including 3 dots after listing the first 8 elements. For any set  $S$  of strings, we define

$$S^* = \{x_1x_2 \cdots x_k \mid k \geq 0 \text{ and each } x_i \in S\},$$

$$S^+ = \{x_1x_2 \cdots x_k \mid k \geq 1 \text{ and each } x_i \in S\},$$

where the concatenation of  $k = 0$  strings is the empty string  $\varepsilon$ .

- (a) What is  $D \cup C$  ?

**Answer:**  $D \cup C = \{\varepsilon, bb, aab, baa\}$

- (b) What is  $C \cup F$  ?

**Answer:**  $C \cup F = \{\varepsilon, aab, baa\} = C$

- (c) What is  $C \times D$  ?

**Answer:**  $C \times D = \{(\varepsilon, bb), (\varepsilon, aab), (aab, bb), (aab, aab), (baa, bb), (baa, aab)\}$

- (d) What is  $C \cap D$  ?

**Answer:**  $C \cap D = \{aab\}$

- (e) What is  $D \circ C$  ?

**Answer:**  $D \circ C = \{bb, aab, bbaab, bbbbaa, aabaab, aabbbaa\}$

(f) What is  $C \circ E$  ?

**Answer:**  $C \circ E = \{\varepsilon, aab, baa\}$

(g) What is  $D \circ D \circ D$  ?

**Answer:**  $D \circ D \circ D = \{bbbbbb, aabbbbb, bbaabbb, bbbbaab, aabaabbb, aabbbaab, bbaabaab, aabaabaab\}$

(h) What is  $\mathcal{P}(C)$  ?

**Answer:**  $\mathcal{P}(C) = \{\emptyset, \{\varepsilon\}, \{aab\}, \{baa\}, \{\varepsilon, aab\}, \{\varepsilon, baa\}, \{aab, baa\}, \{\varepsilon, aab, baa\}\}$

(i) What is  $D - C$  ?

**Answer:**  $D - C = \{bb\}$

(j) What is  $C^+$  ?

**Answer:**  $C^+ = \{\varepsilon, aab, baa, aabaab, aabbaa, baaaab, baabaa, aabaabaab, \dots\}$

(k) What is  $F^*$  ?

**Answer:**  $F^* = \{\varepsilon\}$

(l) Is  $E \subseteq C$  ?

**Answer:**  $E \subseteq C$  since every element of  $E$  is also in  $C$ .

(m) Is  $D \subseteq C$  ?

**Answer:**  $D \not\subseteq C$  since  $bb \in D$  but  $bb \notin C$ .

(n) For this part, we first need some definitions.

- The *reverse* of a string  $w$ , written  $w^{\mathcal{R}}$ , is the same string with the symbols written in reverse order. For example,  $(cat)^{\mathcal{R}} = tac$ .
- A collection of objects is *closed* under some operation if applying that operation to members of the collection returns an object still in the collection. For example, the set  $\mathcal{N} = \{0, 1, 2, 3, \dots\}$  is closed under the function  $f(x) = x^2$  since the square of any nonnegative integer always results in a nonnegative integer. However,  $\mathcal{N}$  is not closed under square root since, for example,  $3 \in \mathcal{N}$  but  $\sqrt{3} \notin \mathcal{N}$ .

Which of  $C$ ,  $D$ , and  $E$  are closed under reversal? Explain your answers.

**Answer:**

- $C$  is closed under reversal since  $\varepsilon^{\mathcal{R}} = \varepsilon \in C$ ,  $(aab)^{\mathcal{R}} = baa \in C$ , and  $(baa)^{\mathcal{R}} = aab \in C$ .
- $D$  is not closed under reversal since  $(aab)^{\mathcal{R}} = baa \notin D$ .
- $E$  is closed under reversal since  $\varepsilon^{\mathcal{R}} = \varepsilon \in E$ .

2. Let  $w$  be a string of symbols, and let the language  $T$  be defined as adding  $w$  to the language  $S$ ; i.e.,  $T = S \cup \{w\}$ . Suppose further that  $T^* = S^*$ .

(a) Is it necessarily true that  $w \in S$ ? If this is necessarily true, give a proof. If this is not necessarily true, give a counterexample.

**Answer:** It is not true in general that  $w \in S$ . For example, suppose that  $w = aa$ ,  $S = \{a\}$ , and  $T = \{a, aa\}$ . Then note that  $T = S \cup \{aa\}$ , and  $S^* = T^* = \{\varepsilon, a, aa, aaa, \dots\}$ , but  $aa \notin S$ .

(b) Is it necessarily true that  $w \in S^*$ ? If this is necessarily true, give a proof. If this is not necessarily true, give a counterexample.

**Answer:** It must be the case that  $w \in S^*$ . Note that  $w \in T$ , so  $w \in T^*$  since any string in  $T$  is also in  $T^*$  because  $T \subset T^*$ . But since  $T^* = S^*$ , we must have that  $w \in S^*$ .

3. For each of the following parts, give an example satisfying the given conditions. Give a brief explanation for each of your examples.

(a) Give an example of a set  $S$  of strings such that  $S^* = S^+$ .

**Answer:** Let  $S = \{\varepsilon, a\}$ . Then  $S^* = \{\varepsilon, a, aa, aaa, \dots\}$  and  $S^+ = \{\varepsilon, a, aa, aaa, \dots\}$ , so  $S^* = S^+$ .

(b) Give an example of a set  $S$  of strings such that  $S^* \neq S^+$ .

**Answer:** Let  $S = \{a\}$ . Then  $S^* = \{\varepsilon, a, aa, aaa, \dots\}$  and  $S^+ = \{a, aa, aaa, \dots\}$ , so  $S^* \neq S^+$ .

(c) Give an example of a set  $S$  of strings such that  $S = S^*$ .

**Answer:** Let  $S = \{\varepsilon, a, aa, aaa, \dots\}$ . Then  $S^* = \{\varepsilon, a, aa, aaa, \dots\}$ , so  $S = S^*$ .

(d) Give an example of a set  $S$  of strings such that  $S \neq S^*$ .

**Answer:** Let  $S = \{a\}$ . Then  $S^* = \{\varepsilon, a, aa, aaa, \dots\}$ , so  $S \neq S^*$ .

(e) Give an example of a set  $S$  of strings such that  $S^*$  is finite.

**Answer:** Let  $S = \{\varepsilon\}$ . Then  $S^* = \{\varepsilon\}$ , so  $S^*$  is finite.

4. Suppose we define a restricted version of the Java programming language in which variable names must satisfy all of the following conditions:

- A variable name can only use Roman letters (i.e., a, b, ..., z, A, B, ..., Z) or Arabic numerals (i.e., 0, 1, 2, ..., 9); i.e., underscore is not allowed.
- A variable name must start with a Roman letter: a, b, ..., z, A, B, ..., Z

- The length of a variable name must be no greater than 8.
- A variable name cannot be a keyword (e.g., if). The set of keywords is finite.

Let  $L$  be the set of all valid variable names in our restricted version of Java.

- (a) Let  $L_0$  be the set of strings satisfying the first 3 conditions above; i.e., we do not require the last condition. How many strings are in  $L_0$ ? You can just give a general formula for your answer; you do not need to give a single number.

**Answer:** Recall that for any set  $A$ , we let  $|A|$  denote the number of elements in  $A$ . Let  $\Sigma_1 = \{a, b, \dots, z, A, B, \dots, Z\}$  be the set of upper-case and lower-case Roman letters, and note that  $|\Sigma_1| = 52$ . Let  $\Sigma_2 = \{0, 1, 2, \dots, 9\}$ , which is the set of Arabic numerals, and note that  $|\Sigma_2| = 10$ . Let  $\Sigma_3 = \Sigma_1 \cup \Sigma_2$ . Since  $\Sigma_1 \cap \Sigma_2 = \emptyset$ ,  $|\Sigma_3| = |\Sigma_1| + |\Sigma_2| = 62$ .

Let  $L_i$  be all of the strings of length  $i$  in  $L_0$ . Note that  $L_1$  consists of all 1-letter strings in  $L_0$ , so  $L_1$  consists of all the single letters in  $\Sigma_1$ , and  $|L_1| = 52$ . Also,  $L_2$  consists of all 2-letter strings in  $L_0$ , and if  $w \in L_2$ , then the first letter of  $w$  is from  $\Sigma_1$ , and the second letter of  $w$  is from  $\Sigma_3$ , so  $|L_2| = 52 \times 62$ . In general  $L_i$  consists of all strings that have first letter from  $\Sigma_1$  and the remaining  $i - 1$  letters from  $\Sigma_3$ , so  $|L_i| = 52 \times 62^{i-1}$ .

Note that

$$L_0 = L_1 \cup L_2 \cup \dots \cup L_8.$$

Also,  $L_i$  and  $L_j$  are disjoint for  $i \neq j$ , so

$$|L_0| = |L_1| + |L_2| + \dots + |L_8| = \sum_{i=1}^8 52 \times 62^{i-1}.$$

- (b) Prove that  $L$  is finite, where  $L$  is the set of strings satisfying all four conditions.

**Answer:** Note that  $L \subset L_0$ , so we must have that  $|L| \leq |L_0|$ . In the previous part, we showed that  $|L_0| < \infty$ , so we must have that  $|L| < \infty$ .

5. Let  $S$  be any set of strings. Prove that  $S^* = S^+$  if and only if  $\varepsilon \in S$ .

**Answer:** Recall

$$S^* = \{x_1 x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in S\},$$

$$S^+ = \{x_1 x_2 \dots x_k \mid k \geq 1 \text{ and each } x_i \in S\},$$

where the concatenation of  $k = 0$  strings is  $\varepsilon$ , so we always have  $\varepsilon \in S^*$ . Now  $S^+$  and  $S^*$  are the same except  $S^+$  doesn't include the case  $k = 0$ , so we can write  $S^* = S^+ \cup \{\varepsilon\}$ . Hence,  $S^* = S^+$  if and only if  $\varepsilon \in S^+$ . Thus, proving that  $\varepsilon \in S^+$  if and only if  $\varepsilon \in S$  will establish the result in the problem.

Suppose that  $\varepsilon \in S$ . Then clearly taking  $k = 1$  and  $x_1 = \varepsilon \in S$  shows that  $\varepsilon \in S^+$ . Thus, if  $\varepsilon \in S$ , then  $\varepsilon \in S^+$ .

Now we need to show the converse: if  $\varepsilon \in S^+$ , then  $\varepsilon \in S$ . This is equivalent to its contrapositive: if  $\varepsilon \notin S$ , then  $\varepsilon \notin S^+$ . But if  $\varepsilon \notin S$ , then we cannot concatenate  $k \geq 1$  strings  $x_1, x_2, \dots, x_k \in S$ , all of which are nonempty since  $\varepsilon \notin S$ , to obtain the empty string  $\varepsilon$ . Thus, we have shown  $\varepsilon \notin S$  implies  $\varepsilon \notin S^+$ , so the proof is complete.