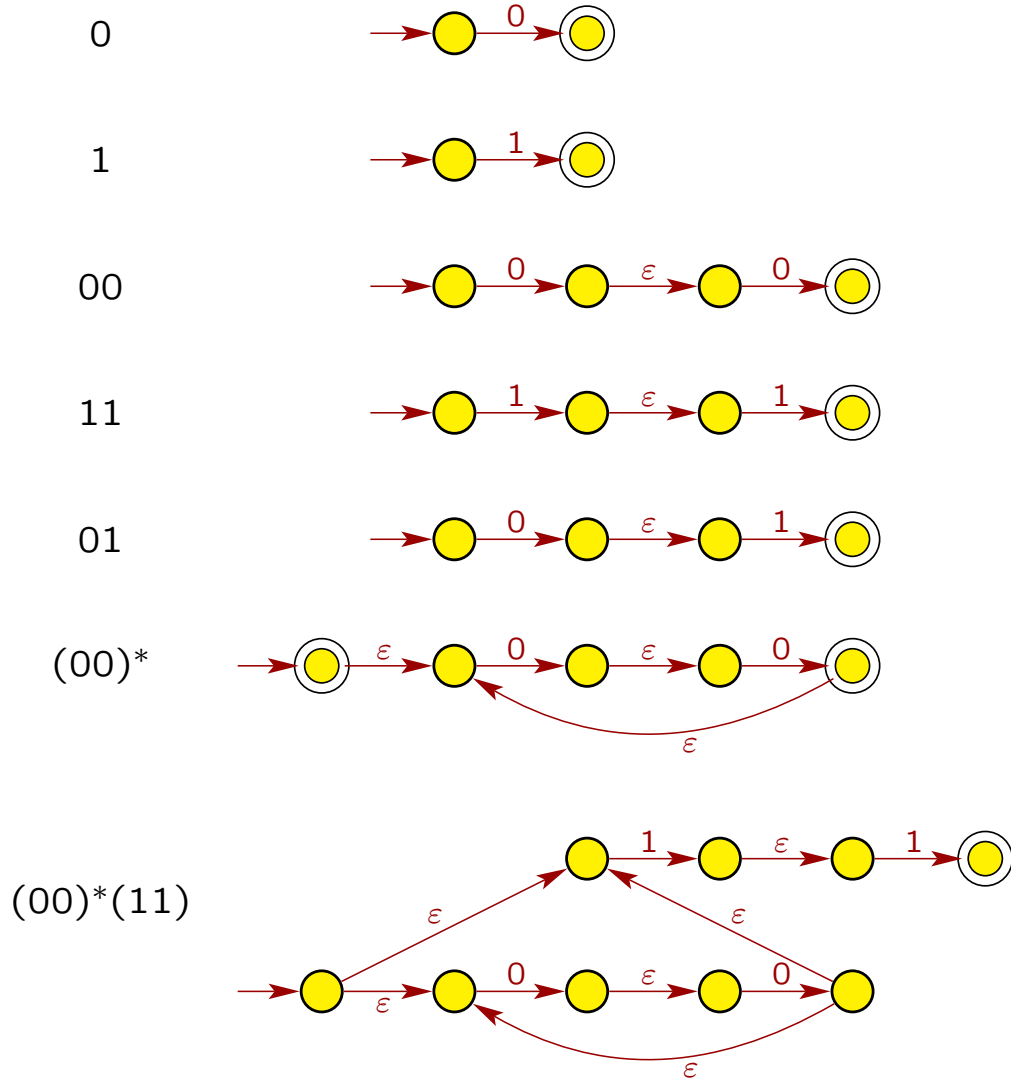


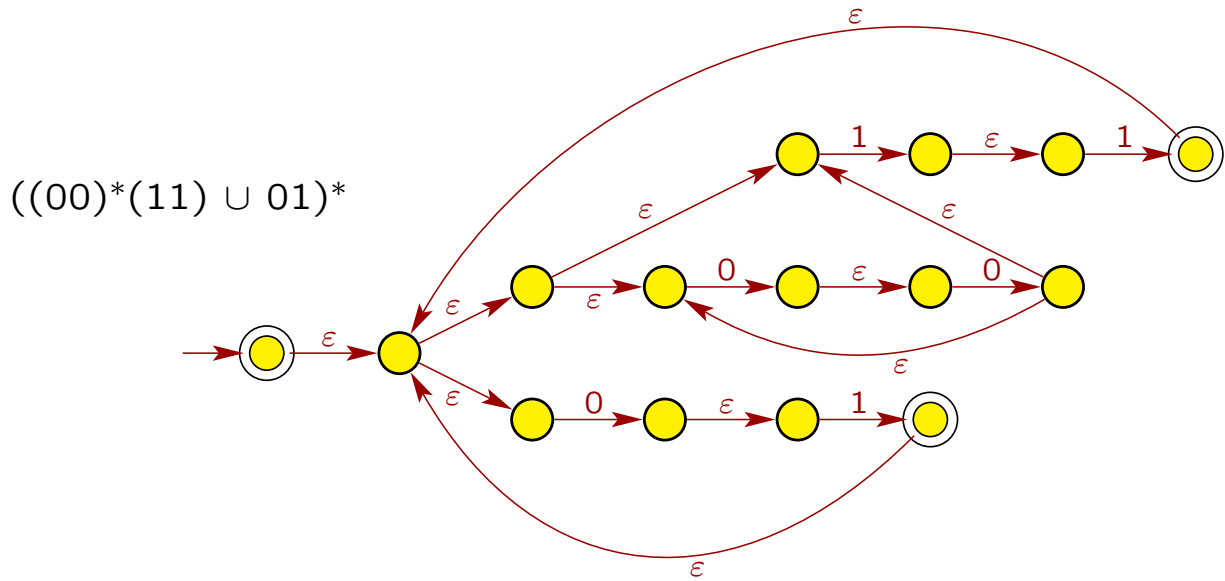
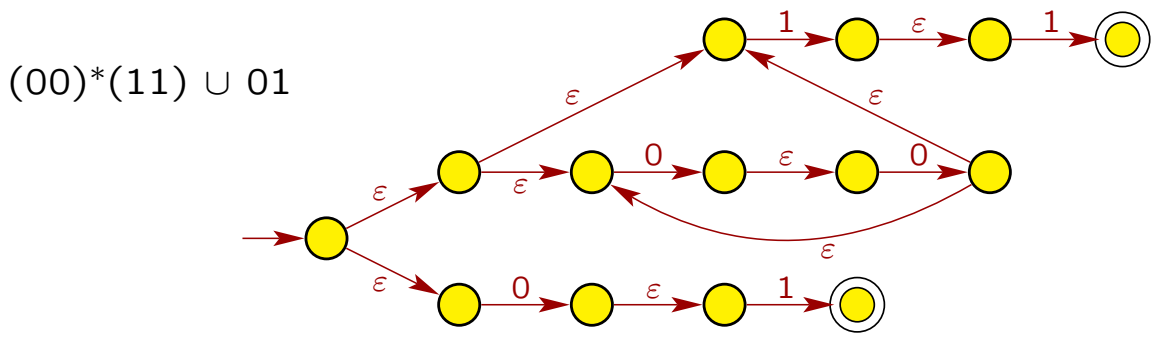
Homework 4 Solutions

Updated 2/24/2024 to correct solution to problem 3(e)

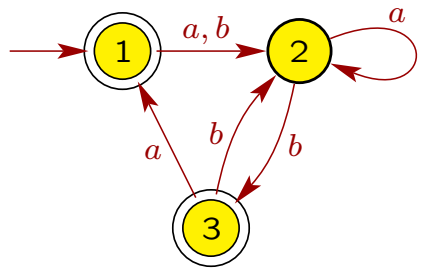
- Use the procedure described in Lemma 1.55 to convert the regular expression $((00)^*(11) \cup 01)^*$ into an NFA.

Answer:

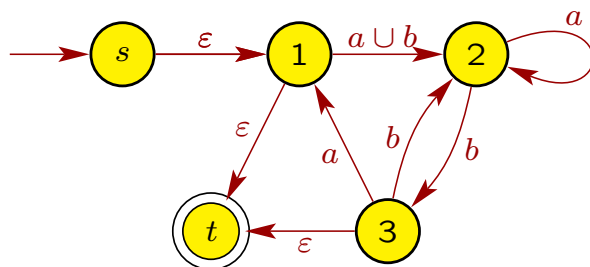




2. Use the procedure described in Lemma 1.60 to convert the following DFA M to a regular expression.



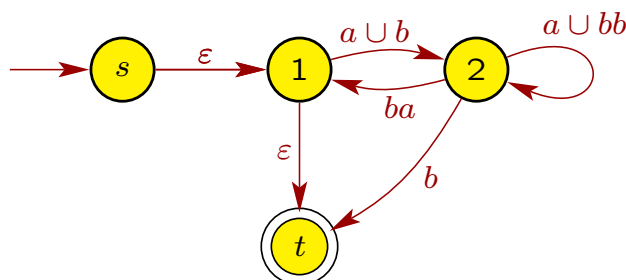
Answer: First convert DFA M into an equivalent GNFA G .



Next, we eliminate the states of G (except for s and t) one at a time. The order in which the states are eliminated does not matter. However, eliminating states in a different order from what is done below may result in a different (but also correct) regular expression. We first eliminate state 3 . To do this, we need to account for the paths

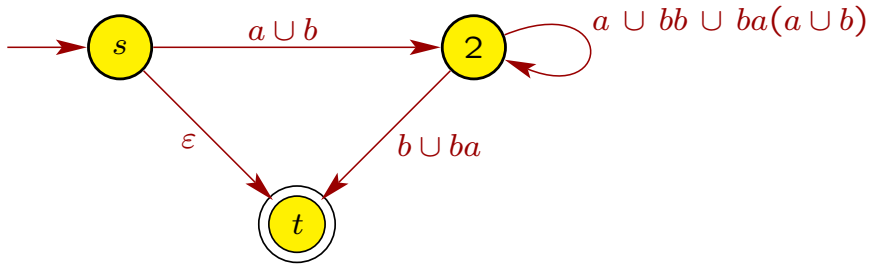
- $2 \rightarrow 3 \rightarrow 1$, which will create an arc from 2 to 1 labelled with ba ;
- $2 \rightarrow 3 \rightarrow 2$, which will create an arc from 2 to 2 labelled with bb ; and
- $2 \rightarrow 3 \rightarrow t$, which will create an arc from 2 to t labelled with $b\varepsilon = b$.

We combine the previous arc from 2 to 2 labelled a with the new one labelled bb to get the new label $a \cup bb$.

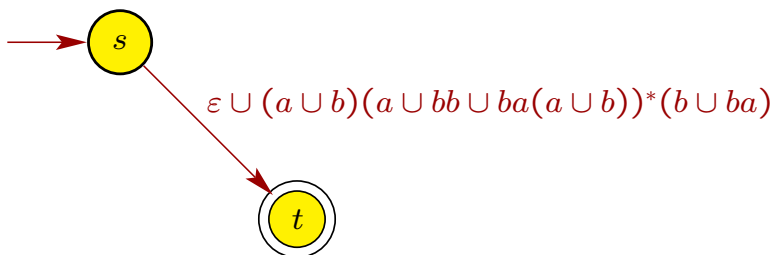


We next eliminate state 1 . To do this, we need to account for the following paths:

- $s \rightarrow 1 \rightarrow 2$, which will create an arc from s to 2 labelled with $\varepsilon(a \cup b) = a \cup b$.
- $s \rightarrow 1 \rightarrow t$, which will create an arc from s to t labelled with $\varepsilon\varepsilon = \varepsilon$.
- $2 \rightarrow 1 \rightarrow 2$, which will create an arc from 2 to 2 labelled with $ba(a \cup b)$. We combine this with the existing 2 to 2 arc to get the new label $a \cup bb \cup ba(a \cup b)$.
- $2 \rightarrow 1 \rightarrow t$, which will create an arc from 2 to t labelled with $ba\varepsilon = ba$. We combine this arc with the existing arc from 2 to t to get the new label $b \cup ba$.



Finally, we eliminate state 2 by adding an arc from s to t labelled $(a \cup b)(a \cup bb \cup ba(a \cup b))^*(b \cup ba)$. We then combine this with the existing s to t arc to get the new label $\varepsilon \cup (a \cup b)(a \cup bb \cup ba(a \cup b))^*(b \cup ba)$.



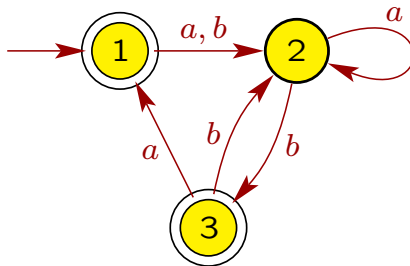
So a regular expression for the language $L(M)$ recognized by the DFA M is

$$\varepsilon \cup (a \cup b)(a \cup bb \cup ba(a \cup b))^*(b \cup ba).$$

Writing this as

$$\underbrace{\varepsilon}_{\text{stay in 1}} \cup \underbrace{(a \cup b)}_{\text{1 to 2}} \underbrace{(a \cup bb \cup ba(a \cup b))^*}_{(2 \text{ to } 2)^*} \underbrace{(b \cup ba)}_{\text{end in 3 or 1}}$$

should make it clear how the regular expression accounts for every path that starts in 1 and ends in either 3 or 1, which are the accepting states of the given DFA.



3. Each of the following languages is either regular or nonregular. If a language is regular, give a DFA and regular expression for it. If a language is nonregular, give a proof.

(a) $A_1 = \{www \mid w \in \{a, b\}^*\}$

Answer: A_1 is nonregular. To prove this, suppose that A_1 is a regular language. Let p be the “pumping length” of the Pumping Lemma. Consider the string $s = a^p b a^p b a^p b$. Note that $s \in A_1$ since $s = (a^p b)^3$, and $|s| = 3(p + 1) \geq p$, so the Pumping Lemma will hold. Thus, we can split the string s into 3 parts $s = xyz$ satisfying the conditions

- i. $xy^i z \in A_1$ for each $i \geq 0$,
- ii. $|y| > 0$,
- iii. $|xy| \leq p$.

Since the first p symbols of s are all a 's, the third condition implies that x and y consist only of a 's. So z will be the rest of the first set of a 's, followed by $ba^p b a^p b$. The second condition states that $|y| > 0$, so y has at least one a . More precisely, we can then say that

$$\begin{aligned} x &= a^j \text{ for some } j \geq 0, \\ y &= a^k \text{ for some } k \geq 1, \\ z &= a^m b a^p b a^p b \text{ for some } m \geq 0. \end{aligned}$$

Since $a^p b a^p b a^p b = s = xyz = a^j a^k a^m b a^p b a^p b = a^{j+k+m} b a^p b a^p b$, we must have that $j + k + m = p$. The first condition implies that $xy^2 z \in A_1$, but

$$\begin{aligned} xy^2 z &= a^j a^k a^k a^m b a^p b a^p b \\ &= a^{p+k} b a^p b a^p b \end{aligned}$$

since $j + k + m = p$. Hence, $xy^2 z \notin A_1$ because $k \geq 1$, and we get a contradiction. Therefore, A_1 is a nonregular language.

(b) $A_2 = \{w \in \{a, b\}^* \mid w = w^R\}$.

Answer: A_2 is nonregular. To prove this, suppose that A_2 is a regular language. Let p be the “pumping length” of the Pumping Lemma. Consider the string $s = a^p b a^p$. Note that $s \in A_2$ since $s = s^R$, and $|s| = 2p + 1 \geq p$, so the Pumping Lemma will hold. Thus, we can split the string s into 3 parts $s = xyz$ satisfying the conditions

- i. $xy^i z \in A_2$ for each $i \geq 0$,
- ii. $|y| > 0$,
- iii. $|xy| \leq p$.

Since the first p symbols of s are all a 's, the third condition implies that x and y consist only of a 's. So z will be the rest of the first set of a 's, followed by ba^p . The second condition states that $|y| > 0$, so y has at least one a . More precisely, we can then say that

$$\begin{aligned} x &= a^j \text{ for some } j \geq 0, \\ y &= a^k \text{ for some } k \geq 1, \\ z &= a^m b a^p \text{ for some } m \geq 0. \end{aligned}$$

Since $a^p b a^p = s = xyz = a^j a^k a^m b a^p = a^{j+k+m} b a^p$, we must have that $j + k + m = p$. The first condition implies that $xy^2z \in A_2$, but

$$\begin{aligned} xy^2z &= a^j a^k a^k a^m b a^p \\ &= a^{p+k} b a^p \end{aligned}$$

since $j + k + m = p$. Hence, $xy^2z \notin A_2$ because $(a^{p+k} b a^p)^{\mathcal{R}} = a^p b a^{p+k} \neq a^{p+k} b a^p$ since $k \geq 1$, and we get a contradiction. Therefore, A_2 is a nonregular language.

(c) $A_3 = \{ a^{2n} b^{3n} a^n \mid n \geq 0 \}$.

Answer: A_3 is nonregular. To prove this, suppose that A_3 is a regular language. Let p be the “pumping length” of the Pumping Lemma. Consider the string $s = a^{2p} b^{3p} a^p$. Note that $s \in A_3$, and $|s| = 6p \geq p$, so the Pumping Lemma will hold. Thus, we can split the string s into 3 parts $s = xyz$ satisfying the conditions

- i. $xy^i z \in A_3$ for each $i \geq 0$,
- ii. $|y| > 0$,
- iii. $|xy| \leq p$.

Since the first p symbols of s are all a 's, the third condition implies that x and y consist only of a 's. So z will be the rest of the first set of a 's, followed by $b^{3p} a^p$. The second condition states that $|y| > 0$, so y has at least one a . More precisely, we can then say that

$$\begin{aligned} x &= a^j \text{ for some } j \geq 0, \\ y &= a^k \text{ for some } k \geq 1, \\ z &= a^{m+p} b^{3p} a^p \text{ for some } m \geq 0. \end{aligned}$$

Since $a^{2p} b^{3p} a^p = s = xyz = a^j a^k a^{m+p} b^{3p} a^p = a^{j+k+m+p} b^{3p} a^p$, we must have that $j + k + m + p = 2p$, or equivalently, $j + k + m = p$, so $j + k \leq p$. The first condition implies that $xy^2z \in A_3$, but

$$\begin{aligned} xy^2z &= a^j a^k a^k a^{m+p} b^{3p} a^p \\ &= a^{2p+k} b^{3p} a^p \end{aligned}$$

since $j + k + m = p$. Hence, $xy^2z \notin A_3$ because $k \geq 1$, and we get a contradiction. Therefore, A_3 is a nonregular language.

(d) $A_4 = \{ w \in \{a, b\}^* \mid w \text{ has more } a\text{'s than } b\text{'s} \}$.

Answer: A_4 is nonregular. To prove this, suppose that A_4 is a regular language. Let p be the “pumping length” of the Pumping Lemma. Consider the string $s = b^p a^{p+1}$. Note that $s \in A_4$, and $|s| = 2p + 1 \geq p$, so the Pumping Lemma will hold. Thus, we can split the string s into 3 parts $s = xyz$ satisfying the conditions

- i. $xy^iz \in A_4$ for each $i \geq 0$,
- ii. $|y| > 0$,
- iii. $|xy| \leq p$.

Since the first p symbols of s are all b 's, the third condition implies that x and y consist only of b 's. So z will be the rest of the b 's, followed by a^{p+1} . The second condition states that $|y| > 0$, so y has at least one b . More precisely, we can then say that

$$\begin{aligned} x &= b^j \text{ for some } j \geq 0, \\ y &= b^k \text{ for some } k \geq 1, \\ z &= b^m a^{p+1} \text{ for some } m \geq 0. \end{aligned}$$

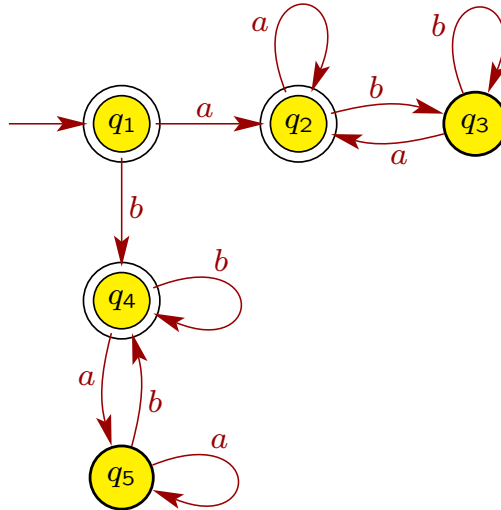
Since $b^p a^{p+1} = s = xyz = b^j b^k b^m a^{p+1} = b^{j+k+m} a^{p+1}$, we must have that $j + k + m = p$. The first condition implies that $xy^2z \in A_4$, but

$$\begin{aligned} xy^2z &= b^j b^k b^k b^m a^{p+1} \\ &= b^{j+2k+m} a^{p+1} \end{aligned}$$

since $j + k + m = p$. Hence, $xy^2z \notin A_4$ because it doesn't have more a 's than b 's since $k \geq 1$, and we get a contradiction. Therefore, A_4 is a nonregular language.

- (e) $A_5 = \{w \in \{a, b\}^* \mid n_{ab}(w) = n_{ba}(w)\}$, where $n_s(w)$ is the number of occurrences of the substring $s \in \{a, b\}^*$ in w .

Answer: A_5 is regular. A regular expression for the language is $a(a \cup bb^*a)^* \cup b(b \cup aa^*b)^* \cup \varepsilon$. Another regular expression is $a(a \cup b)^*a \cup b(a \cup b)^*b \cup a \cup b \cup \varepsilon$. A DFA for the language is



There are infinitely many other correct regular expressions and DFAs for A_5 .

4. Suppose that language A is recognized by an NFA N , and language B is the collection of strings *not* accepted by some DFA M . Prove that $A \circ B$ is a regular language.

Answer: Since A is recognized by an NFA, we know that A is regular since a language is regular if and only if it is recognized by an NFA (Corollary 1.20). Note that the DFA M recognizes the language \overline{B} , the complement of B . Since \overline{B} is recognized by a DFA, by definition, \overline{B} is regular. We know from a problem on the previous homework that \overline{B} being regular implies that its complement $\overline{\overline{B}}$ is regular. ($\overline{\overline{B}}$ is the complement of the complement of B .) But $\overline{\overline{B}} = B$, so B is regular. Since A and B are regular, their concatenation $A \circ B$ is regular by Theorem 1.23.

5. (a) Prove that if we add a finite set of strings to a regular language, the result is a regular language.

Answer: Let A be a regular language, and let B be a finite set of strings. We know from class (see page 1-95 of Lecture Notes for Chapter 1) that finite languages are regular, so B is regular. Thus, $A \cup B$ is regular since the class of regular languages is closed under union (Theorem 1.22).

- (b) Prove that if we remove a finite set of strings from a regular language, the result is a regular language.

Answer: Let A be a regular language, and let B be a finite set of strings with $B \subseteq A$. Let C be the language resulting from removing B from A , i.e., $C = A - B$. As we argued in the previous part, B is regular. Note that $C = A - B = A \cap \overline{B}$. Since B is regular, \overline{B} is regular since the class of regular languages is closed under complement. We proved in an earlier homework that the class of regular languages is closed under intersection, so $A \cap \overline{B}$ is regular since A and \overline{B} are regular. Therefore, $A - B$ is regular.

- (c) Prove that if we add a finite set of strings to a nonregular language, the result is a nonregular language.

Answer: Let A be a nonregular language, and let B be a finite set of strings. We want to add B to A , so we may assume that none of the strings in B are in A , i.e., $A \cap B = \emptyset$. Let C be the language obtained by adding B to A , i.e., $C = A \cup B$. Suppose for a contradiction that C is regular, and we now show this is impossible. Since $A \cap B = \emptyset$, we have that $A = C - B$. Since C and B are regular (the latter because B is finite), the previous part of this problem implies that $C - B = C \cap \overline{B}$ must be regular, but we assumed that $A = C - B$ is nonregular, so we get a contradiction.

- (d) Prove that if we remove a finite set of strings from a nonregular language, the result is a nonregular language.

Answer: Let A be a nonregular language, and let B be a finite set of strings, where $B \subseteq A$. Let C be the language obtained by removing B from A , i.e.,

$C = A - B$. Suppose that C is regular, and we now show this is impossible. Since we removed B from A to get C , we must have that $C \cap B = \emptyset$, so $A = C \cup B$. Now C is regular by assumption and B is regular since it's finite, so $C \cup B$ must be regular by Theorem 1.25. But we assumed that $A = C \cup B$ is nonregular, so we get a contradiction.

6. Consider the following statement: "If A is a nonregular language and B is a language such that $B \subseteq A$, then B must be nonregular." If the statement is true, give a proof. If it is not true, give a counterexample showing that the statement doesn't always hold.

Answer: The statement is not always true. For example, we know that the language $A = \{0^j 1^j \mid j \geq 0\}$ is nonregular. Define the language $B = \{01\}$, and note that $B \subseteq A$. However, B is finite, so we know that it is regular.