## CS 341, Fall 2011

## Solutions for Quiz 2, Day Section

1. (a) There are two different approaches one can use to show that CLIQUE $\in$ NP:

- Show that CLIQUE has a nondeterministic Turing machine that runs in polynomial time, or
- Show that CLIQUE has a polynomial-time verifier.

We will use the second approach.
To do this, let the certificate $c$ for the verifier be a list of the nodes in the graph $G$ forming a $k$-clique. Below is a verifier for CLIQUE:
$V=$ "On input $\langle\langle G, k\rangle, c\rangle$ :

1. Test whether $c$ is a set of $k$ different nodes in $G$.
2. Test whether $G$ contains all edges connecting nodes in $c$.
3. If both tests pass, accept; otherwise, reject."

We now have to show $V$ runs in polynomial time; i.e., if the graph $G$ has $m$ nodes and a $k$-clique, the time $V$ needs to verify $\langle G, k\rangle \in C L I Q U E$ is a polynomial function of $m$ and $k$. Stage 1 of $V$ requires checking if $c$ consists of $k$ different nodes from $G$, and this takes $O(k) O(n)=O(k n)$ time. Stage 2 needs to check $G$ has an edge connecting each pair of nodes in $c$; since there are $O\left(k^{2}\right)$ pairs and $O\left(m^{2}\right)$ edges in $G$, this stage requires $O\left(k^{2}\right) O\left(m^{2}\right)=O\left(k^{2} m^{2}\right)$ time. Stage 3 takes constant time. Thus, the overall complexity of $V$ is $O\left(k^{2} m^{2}\right)$, which is polynomial in $m$ and $k$, so $V$ is a polynomial-time verifier.
(b) We need to show that $3 S A T \leq_{\mathrm{P}} C L I Q U E$, where we will show in the next part that the complexity of the reduction is polynomial. Consider a 3cnf-function $\phi$, and we will show how to convert $\phi$ into a graph $G$ such that $\phi \in 3 S A T$ if and only if $G \in C L I Q U E$. To construct $G$ from $\phi$, suppose that $\phi$ has $k$ clauses, which each consist of 3 literals. Then do the following:

- For each clause $i$ in $\phi$, define a triple $t_{i}$ of 3 nodes for $G$, with one node for each literal in clause $i$.
- Add an edge between each pair of nodes, except
- within the same triple
- between contradictory literals, e.g., $x$ and $\bar{x}$.

We now show that $\phi \in 3 S A T$ iff $\langle G, k\rangle \in C L I Q U E$. To show that $\phi \in 3 S A T$ implies $G \in C L I Q U E$, suppose that $\phi$ has $m$ variables and $k$ clauses, and suppose that $\left(x_{1}, x_{2}, \ldots, x_{m}\right) \in\{0,1\}^{m}$ satisfies $\phi$. This assignment thus ensures that each clause has (at least) one literal that evaluates to true. Then choose the nodes in $G$ that correspond to those $k$ literals. This set of $k$ nodes are from $k$ different triples. Moreover, the $k$ literals don't contradict each other since $\phi$ is satisfied. Thus, the $k$ nodes form a $k$-clique since $G$ included all edges except between pairs of nodes in the same triple and between contradictory pairs of nodes. Hence, $\langle G, k\rangle \in C L I Q U E$.

We now show that $\langle G, k\rangle \in C L I Q U E$ implies $\phi \in 3 S A T$. Consider the $k$ nodes in $G$ forming the $k$-clique. Since $G$ includes all edges except between pairs of nodes in the same triple and between contradictory pairs of nodes, the $k$-clique must contain $k$ nodes from $k$ different triples and the literals corresponding to those nodes are not contradicting. Thus, in each clause $i$ of $\phi$, set the literal corresponding to the chosen node in the $k$-clique to 1 . This then gives a satisfying assignment for $\phi$, so $\phi \in 3 S A T$.
(c) We now show that converting the 3cnf-function $\phi$ into the graph $G$ takes polynomial time. Suppose that $\phi$ has $m$ variables and $k$ clauses. We need to show that the size of $G$ is polynomial in $k$ and $m$. Since $G$ has a triple of nodes for each clause, $G$ then has $3 k$ nodes. Edges connect every pair of nodes except nodes in the same triple and contradictory literals. Thus, the number of edges in $G$ is less than $\binom{3 k}{2}=O\left((3 k)^{2}\right)=O\left(k^{2}\right)$, which is polynomial in the size of $\phi$, so the size of $G$ is polynomial in $k$.

