# CS 341-005, Fall 2022, Face-to-Face Section Solutions for Midterm 1

## 1. Multiple choice.

### 1.1. Answer: (c).

- The languages  $L_1 = \{a^n b^n c^n \mid n \geq 0\}$  and  $L_2 = \{b^n a^n c^n \mid n \geq 0\}$  are infinite, non-context-free languages, with  $L_1 \cap L_2 = \{\varepsilon\}$ , which is regular because it is finite (slide 1-95). Thus, the intersection is also context-free by Corollary 2.32, making (a) incorrect.
- If  $L_1 = L_2 = \{ a^n b^n c^n \mid n \ge 0 \}$ , then  $L_1 \cap L_2 = L_1$ , which is non-regular and non-context-free, so (b) and (d) are incorrect.

### 1.2. Answer: (a).

- By slide 1-95, L must be regular, making (a) correct, and (b) and (c) incorrect.
- For the language  $L = \{a, b\}$ , note that  $x = a \in L$  and  $y = b \in L$ , but  $xy = ab \notin L$ , so L is not closed under Kleene star, making (d) incorrect.

### 1.3. Answer: (e).

- The regular expression  $b^*a^*$  generates the string  $bba \notin A$ , so (a) is incorrect.
- The regular expression  $(ba)^*$  generates the string  $baba \notin A$ , so (b) is incorrect.
- The language L(G) of the given CFG G in part (c) is  $L(G) = \emptyset$  (i.e., no strings at all) because derivations can never terminate:  $S \Rightarrow bSa \Rightarrow bbSaa \Rightarrow bbbSaaa \Rightarrow \cdots$ , so (c) is incorrect.
- The language A has CFG with rules  $S \to bSa \mid \varepsilon$ , so (d) is incorrect.

#### 1.4. Answer: (c).

- HW 6, problem 2a, shows that the class of CFLs is not closed under intersection, so (a) is incorrect.
- HW 6, problem 2b, shows that the class of CFLs is not closed under complementation, so (b) is incorrect.
- HW 5, problem 3c, shows that (c) is correct.
- The language  $\{a^nb^nc^n \mid n \geq 0\}$  is not context-free by slide 2-96, so not all languages are context-free, so (d) is incorrect.
- By Corollary 2.32, every regular language is also context-free, so (e) is incorrect.

#### 1.5. Answer: (a).

- Theorem 1.25 implies that  $L_1 \cup L_2$  must be regular, whether each of  $L_1$  and  $L_2$  is finite or infinite. By Corollary 2.32, every regular language (even if it is infinite) is also context-free, so (a) is correct.
- By Corollary 2.32, every regular language (even if it is infinite) is also contextfree, so (b) and (c) are incorrect.
- Theorem 1.25 implies that  $L_1 \cup L_2$  must be regular, so (d) is incorrect.

## 1.6. Answer: (h).

- The regular expression  $(00 \cup 11)^*$  cannot generate the string  $1010 \in L$ , so (i) is incorrect.
- The regular expression  $(00 \cup 11 \cup (01 \cup 10)(01 \cup 10))^*$  cannot generate the string  $010001 \in L$ , so (ii) is incorrect.
- The regular expression  $((01 \cup 10)(00 \cup 11)^*(01 \cup 10))^*$  cannot generate the string  $11 \in L$ , so (iii) is incorrect.

## 1.7. Answer: (d).

- The language  $A = \{ a^n b^n c^n \mid n \ge 0 \}$  is non-context-free and infinite, so (a) is incorrect. In fact, if A is non-context-free language, A must be infinite.
- $A = \{ a^n b^n c^n \mid n \ge 0 \}$  is also nonregular, so (b) is incorrect.
- $A = \{ a^n b^n c^n \mid n \geq 0 \}$  is non-context-free, and  $abc \in A$  but  $(abc)^{\mathcal{R}} = cba \notin A$ , so A is not closed under reversals, making (c) incorrect.

### 1.8. Answer: (c).

- By Theorem 2.20, a language is context-free if and only if some PDA recognizes it, so we can answer the question by considering CFLs. The language  $\{a^nb^n \mid n \geq 0\}$  is context-free but infinite, so (a) is incorrect.
- The language  $\{\varepsilon\}$  is finite, so it is regular (slide 1-95), and Corollary 2.32 ensures it is also context-free, so (b) is incorrect.
- By Theorem 2.20, a language is context-free if and only if some PDA recognizes it, and Theorem 2.9 then guarantees that the language has a CFG in Chomsky normal form, so (d) is incorrect.

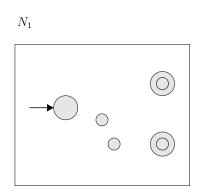
# 1.9. Answer: (c).

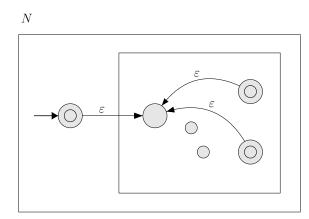
- Kleene's Theorem (Theorem 1.54) implies that L must be regular, so (a) is incorrect.
- Because L must be regular, Corollary 2.32 ensures L is also context-free, so (c) is correct and (b) is incorrect.
- For the language L with regular expression  $ab^*$ , we have that  $x = ab \in L$  and  $y = abb \in L$ , but  $xy = ababb \notin L$ , so L is not closed under concatenation, making (d) incorrect.

### 1.10. Answer: (b).

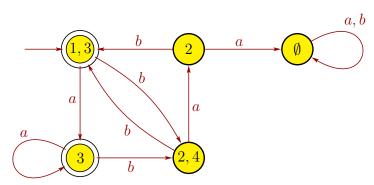
- HW 4, problem 5c, shows that (a) is incorrect, and that (b) is correct.
- Slightly modifying the proof on slide 1-105 shows that the language  $L_1 = \{a^nb^n \mid n \geq 1\}$  is non-regular. Adding  $\varepsilon$  to  $L_1$  leads to the language  $L_2 = \{a^nb^n \mid n \geq 0\}$ , which is context-free (with CFG having rules  $S \to aSb \mid \varepsilon$ ), so (c) is incorrect.
- Slightly modifying the proof on slide 2-96 shows that the language  $L_1 = \{a^nb^nc^n \mid n \geq 1\}$  is non-context-free, so it is also non-regular by Corollary 2.32. Adding  $\varepsilon$  to  $L_1$  leads to the language  $L_2 = \{a^nb^nc^n \mid n \geq 0\}$ , which is non-context-free by the proof on slide 2-96, so (d) is incorrect.

- 2. (a)  $a^*ba^*(a^*ba^*ba^*)^*$ . This is essentially HW 2, problem 4b. There are infinitely many other correct regular expressions for this language, such as  $(a^*ba^*ba^*)^*a^*ba^*$  or  $a^*b(a^*ba^*ba^*)^*a^*$  or  $a^*b(a^*ba^*ba^*)^*a^*$  or ....
  - Some incorrect regular expressions include  $b(bb)^*$ ,  $a^*b^*(a^*b^*a^*b^*a^*)^*a^*$ , etc.
  - (b)  $(ab^*(a \cup b) \cup b)(a \cup b)a^*$ . Another regular expression is  $ab^*(a \cup b)(a \cup b)a^* \cup b(a \cup b)a^*$ . There are infinitely many correct regular expressions for this language.
  - (c) As on slide 1-66 of the notes, if  $A_1$  is defined by NFA  $N_1$ , then an NFA N for  $A_1^*$  is as below:





- (d) (Homework 5, problem 3b.) Assume that  $S_3 \notin V_1 \cup V_2$ , and  $V_1 \cap V_2 = \emptyset$  is given. Then a CFG for  $A_1 \cup A_2$  is  $G_3 = (V_3, \Sigma, R_3, S_3)$  with  $V_3 = V_1 \cup V_2 \cup \{S_3\}$  and  $R_3 = R_1 \cup R_2 \cup \{S_3 \rightarrow S_1 | S_2\}$ .
- 3. A DFA for C is below:



4. (a) This is essentially HW 5, problem 1f. Let  $L = \{ c^i b^j a^k \mid i, j, k \ge 0 \text{ and } j = i + k \}$  be the language given in the problem, and define other languages

$$L_1 = \{ c^i b^i \mid i \ge 0 \},$$
  
$$L_2 = \{ b^k a^k \mid k \ge 0 \}.$$

Note that  $L = L_1 \circ L_2$  because concatenating any string  $c^i b^i \in L_1$  with any string  $b^k a^k \in L_2$  results in a string  $c^i b^i b^k a^k = c^i b^{i+k} a^k \in L$ . Thus, if  $L_1$  has a CFG  $G_1 = (V_1, \Sigma, R_1, S_1)$ , and  $L_2$  has a CFG  $G_2 = (V_2, \Sigma, R_2, S_2)$ , we can construct a CFG for  $L = L_1 \circ L_2$  by using the approach in HW 5, problem 3b. Specifically,

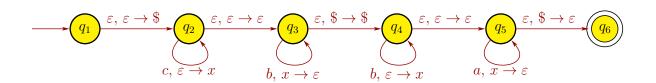
- $L_1$  has a CFG  $G_1 = (V_1, \Sigma, R_1, S_1)$ , with  $V_1 = \{S_1\}$ ,  $\Sigma = \{a, b, c\}$ ,  $S_1$  as the starting variable, and rules  $S_1 \to cS_1b \mid \varepsilon$  in  $R_1$ ;
- $L_2$  has a CFG  $G_2 = (V_2, \Sigma, R_2, S_2)$ , with  $V_2 = \{S_2\}$ ,  $\Sigma = \{a, b, c\}$ ,  $S_2$  as the starting variable, and rules  $S_2 \to bS_2a \mid \varepsilon$  in  $R_2$ .

Even though  $\Sigma = \{a, b, c\}$  for both CFGs  $G_1$  and  $G_2$ , CFG  $G_1$  never generates a string with c, and CFG  $G_2$  never generates a string with b. Then a CFG  $G_3 = (V_3, \Sigma, R_3, S_3)$  for L has  $V_3 = V_1 \cup V_2 \cup \{S_3\} = \{S_1, S_2, S_3\}$  with  $S_3$  the starting variable,  $\Sigma = \{a, b, c\}$ , and rules

$$S_3 \rightarrow S_1 S_2$$
  
 $S_1 \rightarrow c S_1 b \mid \varepsilon$   
 $S_2 \rightarrow b S_2 a \mid \varepsilon$ 

There are infinitely many other correct CFGs for L.

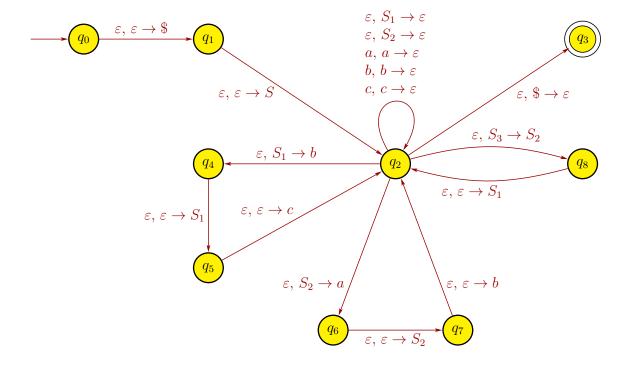
(b) This is essentially HW 6, problem 1g. There are infinitely many correct PDAs for L. Here is one:



In the above PDA,

- state  $q_2$  pushes an x for each c read,
- state  $q_3$  reads a b and pops an x for each c read in state  $q_2$ ,
- the transition from  $q_3$  to  $q_4$  makes sure the stack is empty, and pushes another \$ on the stack,
- state  $q_4$  pushes an x for each additional b read,
- state  $q_5$  reads an a and pops an x to match each additional b read in state  $q_4$ .

Another approach uses the algorithm from Lemma 2.21 to convert the CFG in part (a) into a PDA.



Note that

- The path  $q_2 \to q_4 \to q_5 \to q_2$  corresponds to the rule  $S_1 \to cS_1b$ , where the symbols on the right side of the rule are pushed in reverse order.
- The path  $q_2 \to q_6 \to q_7 \to q_2$  corresponds to the rule  $S_2 \to bS_2a$ , where the symbols on the right side of the rule are pushed in reverse order.
- The path  $q_2 \to q_8 \to q_2$  corresponds to the rule  $S_3 \to S_1 S_2$ , where the symbols on the right side of the rule are pushed in reverse order.
- 5. Language  $A = \{c^i b^j a^k \mid i, j, k \geq 0 \text{ and } j = i + k\}$  is nonregular. We prove this by contradiction. Suppose that A is a regular language. Let p be the "pumping length" of the Pumping Lemma. Consider the string  $s = c^p b^p$  Note that  $s \in A$  because  $s = c^i b^k a^k$  for i = j = p and k = 0. Also, we have that |s| = p > p, so the Pumping Lemma will hold. Thus, there exist strings x, y, and z such that s = xyz and
  - (a)  $xy^iz \in A$  for each  $i \ge 0$ ,
  - (b) |y| > 0,
  - (c)  $|xy| \le p$ .

Because the first p symbols of s are all c's, the third property implies that x and y consist only of c's. So z will be the rest of the first set of c's (possibly none), followed by  $b^p$ . The second property states that |y| > 0, so y has at least one c. More precisely,

we can then say that

$$x = c^{j}$$
 for some  $j \ge 0$ ,  
 $y = c^{k}$  for some  $k \ge 1$ ,  
 $z = c^{m}a^{p}$  for some  $m > 0$ .

Because

$$c^p b^p = s = xyz = c^j c^k c^m b^p = c^{j+k+m} b^p,$$

we must have that

$$j + k + m = p$$
 and  $k \ge 1$ .

The first property implies that the pumped string  $xy^2z \in A$ , but

$$xy^2z = c^j c^k c^k c^m b^p$$
$$= c^{p+k} b^p \not\in A$$

since  $k \geq 1$ , so in the pumped string, the number of b's does not equal the sum of the number of c's and a's. This contradicts the first property of the pumping lemma. Therefore, A is a nonregular language.

Another possible string that will result in a contradiction is  $s = c^p b^{2p} a^p \in A$ , where |s| = 4p > p. Then splitting s = xyz satisfying properties (ii) and (iii) of the pumping lemma will lead to

$$x = c^{j}$$
 for some  $j \ge 0$ ,  
 $y = c^{k}$  for some  $k \ge 1$ ,  
 $z = c^{m}b^{2p}a^{p}$  for some  $m \ge 0$ ,

where j+k+m=p. Property (i) of the pumping lemma states that  $xyyz\in A$ , but  $xyyz=c^{p+k}b^{2p}a^p\not\in A$  because  $2p\neq p+k+p=2p+k$  since k>0, giving a contradiction.