

**CS 341, Fall 2014**  
**Solutions for Midterm 2**

1. (a) False, by Theorem 5.4.  
 (b) True, by Theorem 4.5.  
 (c) False, by Corollary 4.23.  
 (d) True, by Theorem 4.9.  
 (e) True, by Theorem 4.9.  
 (f) False, e.g.,  $\overline{A_{TM}}$  is not Turing-recognizable.  
 (g) False. A TM  $M$  may loop on input  $w$ .  
 (h) True. List the strings in string order.  
 (i) False. Homework 9, problem 1.  
 (j) True, by Theorems 3.13 and 3.16.
2. (a) No, because  $f(x) = f(z) = 2$ .  
 (b) Yes, because all elements in  $B = \{1, 2\}$  are hit:  $f(y) = 1$  and  $f(x) = 2$ .  
 (c) No, because  $f$  is not one-to-one.  
 (d) A language  $L_1$  that is Turing-recognizable has a Turing machine  $M_1$  that may loop forever on a string  $w \notin L_1$ . A language  $L_2$  that is Turing-decidable has a Turing machine  $M_2$  that always halts.  
 (e) An algorithm is a Turing machine that always halts.
3.  $q_1 0 \# 0 \quad x q_2 \# 0 \quad x \# q_4 0 \quad x q_6 \# x \quad q_7 x \# x \quad x q_1 \# x \quad x \# q_8 x \quad x \# x q_8$   
 $x \# x \sqcup q_{\text{accept}}$
4. This is HW 7, problem 2a. For any two decidable languages  $L_1$  and  $L_2$ , let  $M_1$  and  $M_2$ , respectively be the TMs that decide them. We construct a TM  $M'$  that decides the union of  $L_1$  and  $L_2$ :

$M' =$  “On input string  $w$ :

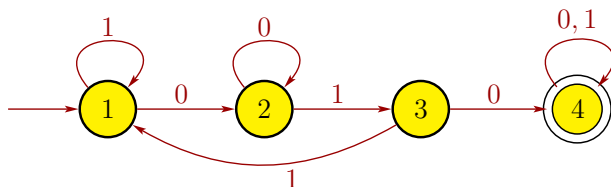
1. Run  $M_1$  on  $w$ . If it accepts, *accept*.
2. Run  $M_2$  on  $w$ . If it accepts, *accept*. Otherwise, *reject*.

$M'$  accepts  $w$  if either  $M_1$  or  $M_2$  accepts it. If both reject,  $M'$  rejects.

5. This is a slight modification of HW 8, problem 3. Let  $\Sigma = \{0, 1\}$ , and the language of the decision problem is

$A = \{ \langle R \rangle \mid R \text{ is a regular expression describing a language over } \Sigma \text{ containing at least one string } w \text{ that has } 010 \text{ as a substring (i.e., } w = x010y \text{ for some } x \text{ and } y) \}.$

Define the language  $C = \{w \in \Sigma^* \mid w \text{ has } 010 \text{ as a substring}\}$ . Note that  $C$  is a regular language with regular expression  $(0 \cup 1)^*010(0 \cup 1)^*$  and is recognized by the following DFA  $D_C$ :



Now consider any regular expression  $R$  with alphabet  $\Sigma$ . If  $L(R) \cap C \neq \emptyset$ , then  $R$  generates a string having 010 as a substring, so  $\langle R \rangle \in A$ . Conversely, if  $L(R) \cap C = \emptyset$ , then  $R$  does not generate any string having 010 as a substring, so  $\langle R \rangle \notin A$ . By Kleene's Theorem, since  $L(R)$  is described by regular expression  $R$ , the language  $L(R)$  must be a regular language. Since  $C$  and  $L(R)$  are regular languages,  $C \cap L(R)$  is regular since the class of regular languages is closed under intersection, as was shown in Chapter 1. Thus,  $C \cap L(R)$  has some DFA  $D_{C \cap L(R)}$ . Theorem 4.4 shows that  $E_{\text{DFA}} = \{\langle B \rangle \mid B \text{ is a DFA with } L(B) = \emptyset\}$  is decidable, so there is a Turing machine  $H$  that decides  $E_{\text{DFA}}$ . We apply TM  $H$  to  $\langle D_{C \cap L(R)} \rangle$  to determine if  $C \cap L(R) = \emptyset$ . Putting this all together gives us the following Turing machine  $T$  to decide  $A$ :

$T$  = “On input  $\langle R \rangle$ , where  $R$  is a regular expression:

1. Convert  $R$  into a DFA  $D_R$  using the algorithm in the proof of Kleene's Theorem.
  2. Construct a DFA  $D_{C \cap L(R)}$  for language  $C \cap L(R)$  from the DFAs  $D_C$  and  $D_R$ .
  3. Run TM  $H$  that decides  $E_{\text{DFA}}$  on input  $\langle D_{C \cap L(R)} \rangle$ .
  4. If  $H$  accepts, *reject*. If  $H$  rejects, *accept*.”
6. This is Theorem 4.22. First we show that if  $A$  is decidable then it is both Turing-recognizable and co-Turing recognizable. Suppose that  $A$  is decidable. Then it must also be Turing-recognizable. Also, since  $A$  is decidable, there is a TM  $M$  that decides  $A$ . Now define another TM  $M'$  to be the same as  $M$  except that we swap the accept and reject states. Then  $M'$  decides  $\overline{A}$ , so  $\overline{A}$  is decidable. Hence,  $\overline{A}$  is also Turing-recognizable, so  $A$  is co-Turing recognizable. Thus, we proved that  $A$  is both Turing-recognizable and co-Turing-recognizable.

Now we prove the converse: if  $A$  is both Turing-recognizable and co-Turing-recognizable, then  $A$  is decidable. Since  $A$  is Turing-recognizable, there is a TM  $M$  with  $L(M) = A$ . Since  $A$  is co-Turing-recognizable,  $\overline{A}$  is Turing-recognizable, so there is a TM  $M'$  with  $L(M') = \overline{A}$ . Any string  $w \in \Sigma^*$  is either in  $A$  or  $\overline{A}$  but not both, so either  $M$  or  $M'$  (but not both) must accept  $w$ . Now build another TM  $D$  as follows:

$D$  = “On input string  $w$ :

1. Run  $M$  and  $M'$  alternatively on  $w$  step by step.
2. If  $M$  accepts  $w$ , *accept*. If  $M'$  accepts  $w$ , *reject*.

Then  $D$  decides  $A$ , so  $A$  is decidable.

7. This is Theorem 5.1, whose proof is given on slide 5-8. Suppose that  $HALT_{TM}$  is decidable and that it is decided by a TM  $R$ . Define the following TM  $S$ , which will decide  $A_{TM}$  using  $R$  as a subroutine:

$S$  = “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Run  $R$  on input  $\langle M, w \rangle$ .
2. If  $R$  rejects, then *reject*.
3. If  $R$  accepts, then run  $M$  on input  $w$  until it halts.”
4. If  $M$  accepts  $w$ , *accept*; otherwise, *reject*.”

Note that stage 1 checks if it is safe to run  $M$  on  $w$ . If not, then  $M$  loops on  $w$ , so  $S$  rejects  $\langle M, w \rangle \notin A_{TM}$ , which is stage 2. If stage 1 determines it is safe to run  $M$  on  $w$ , then stage 3 runs  $M$  on  $w$ , and then stage 4 gives the same output. In particular, if  $M$  accepts  $w$ , then  $S$  accepts  $\langle M, w \rangle$ ; if  $M$  rejects  $w$ , then  $S$  rejects  $\langle M, w \rangle$ .

Thus, we have shown that  $A_{TM}$  reduces to  $HALT_{TM}$ . But since  $A_{TM}$  is undecidable, we must have that  $HALT_{TM}$  is also undecidable.