

**CS 341, Fall 2015**  
**Solutions for Midterm 2**

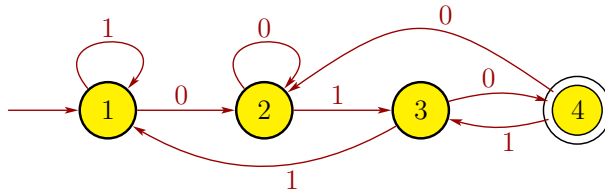
1. (a) False. A TM  $M$  may loop on input  $w$ .  
 (b) True, by Theorem 4.9.  
 (c) True, by slide 4-38.  
 (d) False, by Theorem 4.8.  
 (e) False, by Theorem 4.11.  
 (f) False, e.g.,  $\overline{A_{TM}}$  is not Turing-recognizable.  
 (g) False, e.g., if  $A = \{00, 11, 111\}$  and  $B = \{00, 11\}$ , then  $\overline{A} \cap B = \emptyset$ , but  $A \neq B$ .  
 For  $A$  and  $B$  to be equal, we instead need  $(\overline{A} \cap B) \cup (A \cap \overline{B}) = \emptyset$ .  
 (h) True, by Theorem 4.5.  
 (i) False, by Homework 9, problem 1.  
 (j) False, by Theorems 3.13 and 3.16.
2. (a) No, because  $f(x) = f(y) = 1$ .  
 (b) No, because nothing in  $A$  maps to  $3 \in B$ .  
 (c) No, because  $f$  is not one-to-one nor onto.  
 (d) A language  $L_1$  that is Turing-recognizable has a Turing machine  $M_1$  that may loop forever on a string  $w \notin L_1$ . A language  $L_2$  that is Turing-decidable has a Turing machine  $M_2$  that always halts.  
 (e) An algorithm is a Turing machine that always halts.
3.  $q_1010\#1 \quad xq_210\#1 \quad x1q_20\#1 \quad x10q_2\#1 \quad x10\#q_41 \quad x10\#1q_{\text{reject}}$
4. (From slides 4-39 and 4-40). Let  $\mathcal{L}$  be the collection of languages over an alphabet  $\Sigma$ , and let  $\mathcal{B}$  be the set of infinite binary strings, which we know is uncountable (by a diagonalization argument). We will show that there is a correspondence between  $\mathcal{L}$  and  $\mathcal{B}$ . Let  $s_1, s_2, s_3, \dots$  be an enumeration of the strings in  $\Sigma^*$ , e.g., the enumeration can list the strings in string order. Define mapping  $\chi : \mathcal{L} \rightarrow \mathcal{B}$  such that for a language  $A \in \mathcal{L}$ , the  $n$ th bit of  $\chi(A)$  is 1 if and only if the  $n$ th string  $s_n \in A$ . We now show  $\chi$  is a correspondence.
  - To show that  $\chi$  is one-to-one, suppose that  $A_1, A_2 \in \mathcal{L}$  with  $A_1 \neq A_2$ . Then there is some string  $s_i$  such that  $s_i$  is in one of the languages but not the other. Then  $\chi(A_1)$  and  $\chi(A_2)$  differ in the  $i$ th bit, so  $\chi$  is one-to-one.
  - To show that  $\chi$  is onto, consider any infinite binary sequence  $b = b_1b_2b_3 \dots \in \mathcal{B}$ . Consider the language  $A$  that includes all strings  $s_i$  for which  $b_i = 1$  and does not include any string  $b_j$  for which  $b_j = 0$ . Then  $\chi(A) = b$ , so  $\chi$  is onto.

Since  $\chi$  is one-to-one and onto, it is a correspondence. Thus,  $\mathcal{L}$  and  $\mathcal{B}$  have the same size, so  $\mathcal{L}$  is uncountable because  $\mathcal{B}$  is uncountable.

5. This is a slight modification of HW 8, problem 3. Let  $\Sigma = \{0, 1\}$ , and the language of the decision problem is

$$A = \{ \langle R \rangle \mid R \text{ is a regular expression describing a language over } \Sigma \\ \text{containing at least one string } w \text{ that ends in } 010 \\ \text{(i.e., } w = x010 \text{ for some } x \in \Sigma^*) \}.$$

Define the language  $C = \{ w \in \Sigma^* \mid w \text{ ends in } 010 \}$ . Note that  $C$  is a regular language with regular expression  $(0 \cup 1)^*010$  and is recognized by the following DFA  $D_C$ :



Now consider any regular expression  $R$  with alphabet  $\Sigma$ . If  $L(R) \cap C \neq \emptyset$ , then  $R$  generates a string ending in 010, so  $\langle R \rangle \in A$ . Conversely, if  $L(R) \cap C = \emptyset$ , then  $R$  does not generate any string ending in 010, so  $\langle R \rangle \notin A$ . By Kleene's Theorem, since  $L(R)$  is described by regular expression  $R$ , the language  $L(R)$  must be a regular language. Since  $C$  and  $L(R)$  are regular languages,  $C \cap L(R)$  is regular since the class of regular languages is closed under intersection, as was shown in Chapter 1. Thus,  $C \cap L(R)$  has some DFA  $D_{C \cap L(R)}$ . Theorem 4.4 shows that  $E_{\text{DFA}} = \{ \langle B \rangle \mid B \text{ is a DFA with } L(B) = \emptyset \}$  is decidable, so there is a Turing machine  $H$  that decides  $E_{\text{DFA}}$ . We apply TM  $H$  to  $\langle D_{C \cap L(R)} \rangle$  to determine if  $C \cap L(R) = \emptyset$ . Putting this all together gives us the following Turing machine  $T$  to decide  $A$ :

- $T =$  “On input  $\langle R \rangle$ , where  $R$  is a regular expression:
1. Convert  $R$  into a DFA  $D_R$  using the algorithm in the proof of Kleene's Theorem.
  2. Construct a DFA  $D_{C \cap L(R)}$  for language  $C \cap L(R)$  from the DFAs  $D_C$  and  $D_R$ .
  3. Run TM  $H$  that decides  $E_{\text{DFA}}$  on input  $\langle D_{C \cap L(R)} \rangle$ .
  4. If  $H$  accepts, *reject*. If  $H$  rejects, *accept*.”

6. This is Theorem 5.1, whose proof is given on slide 5-8. Specifically, suppose that  $\text{HALT}_{\text{TM}}$  is decidable, and let  $R$  be a TM that decides  $\text{HALT}_{\text{TM}}$ . Thus, for any  $\langle M, w \rangle$ , which is an (encoded) pair of a TM  $M$  and string  $w$ , if  $\langle M, w \rangle \in \text{HALT}_{\text{TM}}$  is the input to  $R$ , then  $R$  halts and accepts; if  $\langle M, w \rangle \notin \text{HALT}_{\text{TM}}$  is the input to  $R$ , then  $R$  halts and rejects. Now we build a TM  $S$  that decides  $A_{\text{TM}}$  using  $R$  as

a subroutine.

- $S =$  “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  a string:
1. Run TM  $R$  on input  $\langle M, w \rangle$ .
  2. If  $R$  rejects, then *reject*.
  3. If  $R$  accepts, then run  $M$  on input  $w$ .
  4. If  $M$  accepts, then *accept*. If  $M$  rejects, *reject*.”

Note that if  $M$  accepts  $w$ , then  $S$  accepts  $\langle M, w \rangle$ . If  $M$  does not accept  $w$ , then  $S$  rejects  $\langle M, w \rangle$ . If  $M$  loops on  $w$ , then  $S$  rejects  $\langle M, w \rangle$  in stage 2. Thus,  $S$  decides  $A_{\text{TM}}$ , which is impossible because  $A_{\text{TM}}$  is undecidable. Therefore,  $\text{HALT}_{\text{TM}}$  is also undecidable.