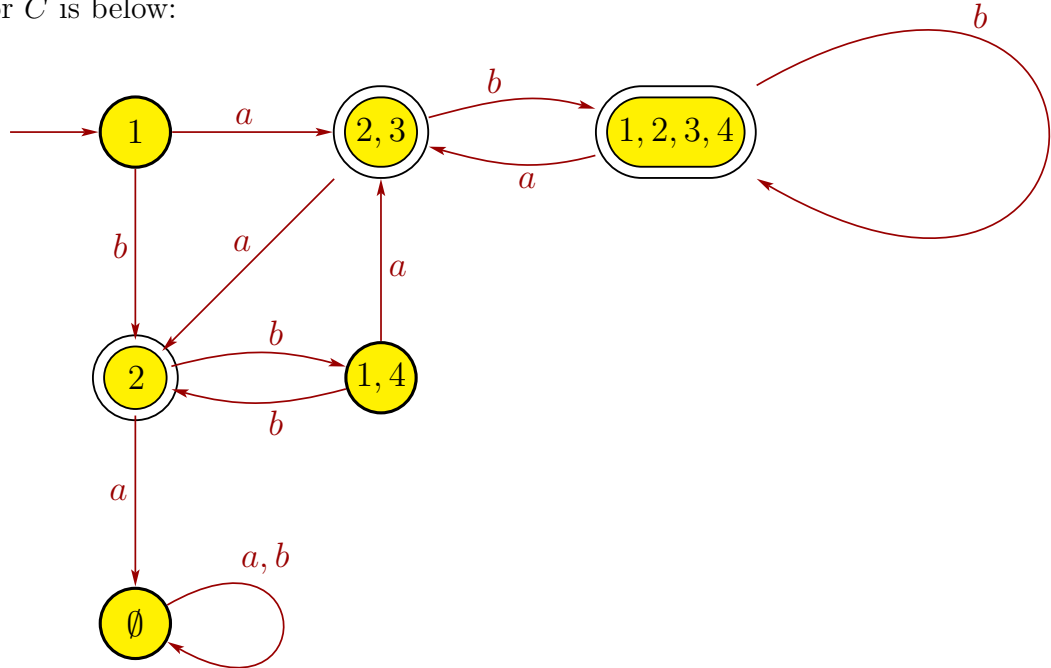


**CS 341, Fall 2018, Face-to-Face Section**  
**Solutions for Midterm 1**

1. (a) False. Let  $A = \emptyset$  and  $B = \{a^n b^n \mid n \geq 0\}$ . Then  $A \subseteq B$ ,  $A$  is regular because it's finite, and  $B$  is nonregular.
  - (b) False. The language  $a^*$  is regular but infinite.
  - (c) False.  $A = \{a^n b^n \mid n \geq 0\}$  is context-free but not regular.
  - (d) True. Homework 2, problem 5.
  - (e) False.  $0^*1^*0^*$  generates the string  $001000 \notin A$ , so the regular expression is not correct. In fact,  $A$  is nonregular, so it can't have a regular expression.
  - (f) True. Theorem 1.54 implies  $A$  is regular. Then by Corollary 2.32,  $A$  is context-free, so Theorem 2.20 ensures that  $A$  has a PDA.
  - (g) True, by Lemma 2.27 and Theorem 2.9.
  - (h) False. The transition function of an NFA is  $\delta : Q \times \Sigma_\epsilon \rightarrow \mathcal{P}(Q)$ .
  - (i) False. If  $A$  is nonregular, then  $A$  cannot have an NFA by Corollary 1.40.
  - (j) False. Let  $A = \{a^n b^n \mid n \geq 0\}$  and  $B = (a \cup b)^*$ . Then  $A \subseteq B$ ,  $A$  is nonregular, and  $B$  is regular.
2. (a)  $b^*ab^* \cup b^*aa^*bb^*$ . Another regular expression is  $b^*(a \cup aa^*b)b^*$ . There are infinitely many correct regular expressions for the language.
  - (b)  $G' = (V', \Sigma, R', S_0)$  with  $S_0 \notin V$ , where
    - $V' = V \cup \{S_0\}$ ,
    - $S_0$  is the (new) starting variable,
    - $\Sigma$  is the same alphabet of terminals as in  $G$ , and
    - $R' = R \cup \{S_0 \rightarrow SS_0 \mid \epsilon\}$ .
  - (c)  $M_3 = (Q_3, \Sigma, \delta_3, q_3, F_3)$ , where
    - $Q_3 = Q_1 \times Q_2$ ;
    - $\Sigma$  is the same alphabet as  $M_1$  and  $M_2$  have;
    - the transition function  $\delta_3$  satisfies  $\delta_3((q, r), \ell) = (\delta_1(q, \ell), \delta_2(r, \ell))$  for  $(q, r) \in Q_3$  and  $\ell \in \Sigma$ ;
    - the starting state  $q_3 = (q_1, q_2)$ ; and
    - $F_3 = (Q_1 \times F_2) \cap (F_1 \times Q_2)$ , which also can be written as  $F_1 \times F_2$ .
  - (d) After the one step of removing  $A \rightarrow \epsilon$ , the CFG is then

$$\begin{aligned}
 S_0 &\rightarrow S \\
 S &\rightarrow A1AS \mid 1AS \mid A1S \mid 1S \mid 01A \mid 01 \mid \epsilon \\
 A &\rightarrow 1S0
 \end{aligned}$$

3. A DFA for  $C$  is below:

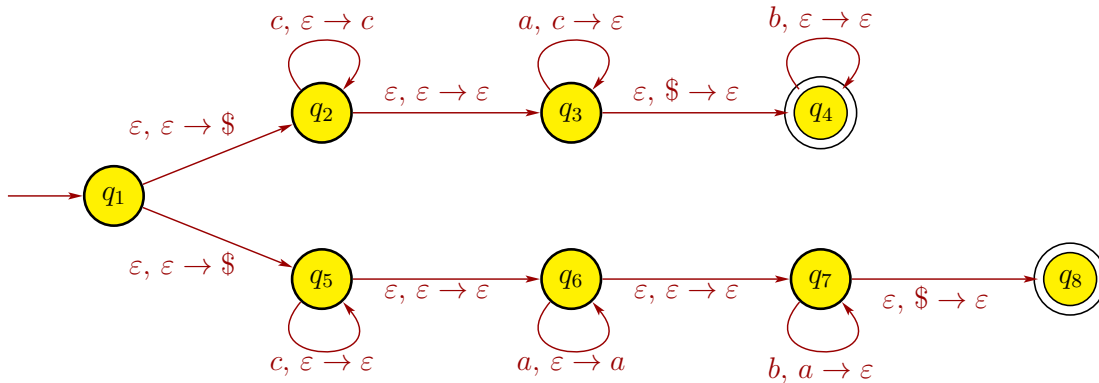


4. (a)  $G = (V, \Sigma, R, S)$  with set of variables  $V = \{S, W, X, Y, Z\}$ , where  $S$  is the start variable; set of terminals  $\Sigma = \{a, b, c\}$ ; and rules

$$\begin{aligned}
 S &\rightarrow WX \mid YZ \\
 W &\rightarrow cWa \mid \varepsilon \\
 X &\rightarrow bX \mid \varepsilon \\
 Y &\rightarrow cY \mid \varepsilon \\
 Z &\rightarrow aZb \mid \varepsilon
 \end{aligned}$$

Starting from variable  $W$ , the derived string will be in  $A_1 = \{c^n a^n \mid n \geq 0\}$ . Starting from variable  $X$ , the derived string will be in  $A_2 = L(b^*)$ . So if  $S \Rightarrow WX$  is the first step taken in a derivation, then the resulting string will be in the language  $B_1 = A_1 \circ A_2 = \{c^n a^n b^k \mid n \geq 0, k \geq 0\}$ . A similar argument will show that if  $S \Rightarrow YZ$  is the first step taken in a derivation, then the resulting string will be in the language  $B_2 = \{c^i a^n b^n \mid i \geq 0, n \geq 0\}$ , and note that  $L = B_1 \cup B_2$ . There are infinitely many other correct CFGs for  $L$ .

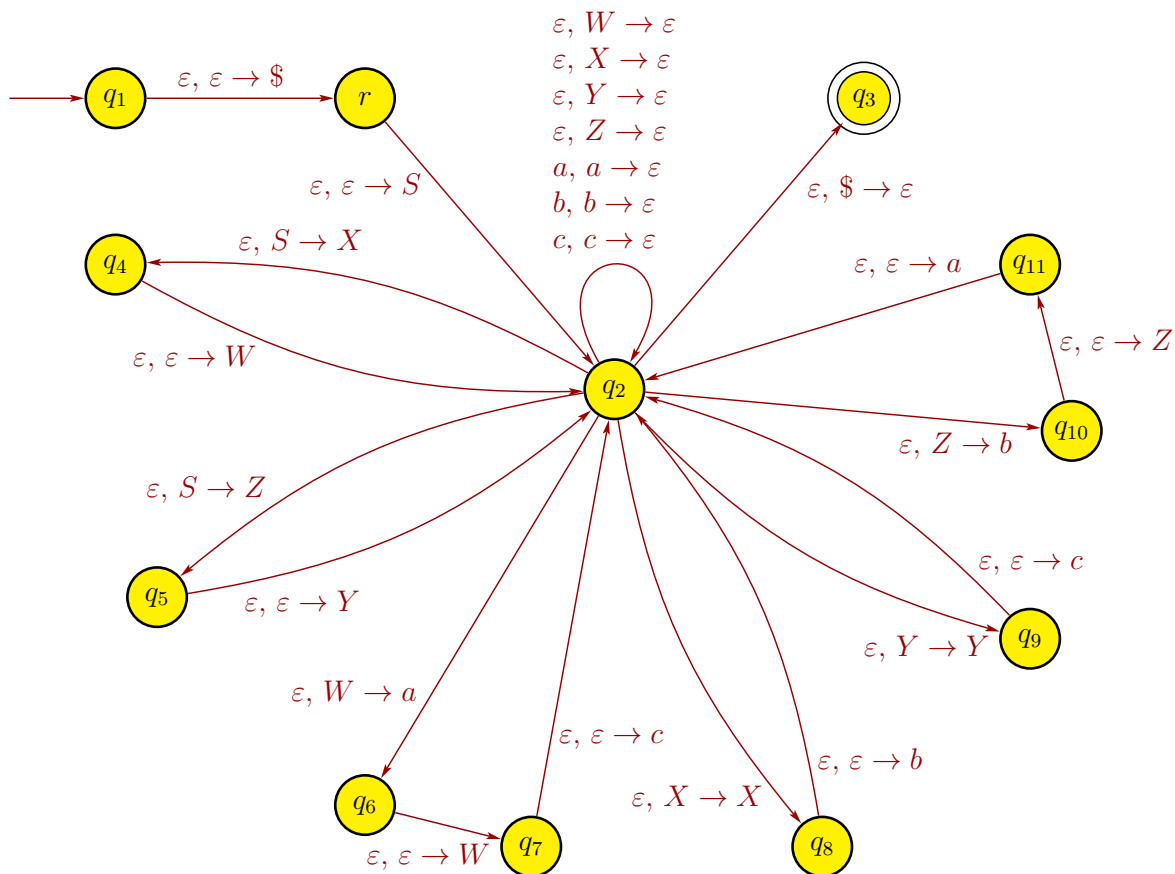
(b) There are infinitely many correct PDAs for  $L$ . Here is one:



The PDA has a nondeterministic branch at  $q_1$ .

- If the string is  $c^i a^j b^k$  with  $i = j$ , then the PDA can accept the string by taking the branch from  $q_1$  to  $q_2$ .
- If the string is  $c^i a^j b^k$  with  $j = k$ , then the PDA can accept the string by taking the branch from  $q_1$  to  $q_5$ .

Yet another approach uses the algorithm from Lemma 2.21 to convert the CFG in part (a) into a PDA.



Note that

- The path  $q_2 \rightarrow q_4 \rightarrow q_2$  corresponds to the rule  $S \rightarrow WX$ .
- The path  $q_2 \rightarrow q_5 \rightarrow q_2$  corresponds to the rule  $S \rightarrow YZ$ .
- The path  $q_2 \rightarrow q_6 \rightarrow q_7 \rightarrow q_2$  corresponds to the rule  $W \rightarrow cWa$ .
- The path  $q_2 \rightarrow q_8 \rightarrow q_2$  corresponds to the rule  $X \rightarrow bX$ .
- The path  $q_2 \rightarrow q_9 \rightarrow q_2$  corresponds to the rule  $Y \rightarrow cY$ .
- The path  $q_2 \rightarrow q_{10} \rightarrow q_{11} \rightarrow q_2$  corresponds to the rule  $Z \rightarrow aZb$ .

5. Language  $A$  is nonregular. We prove this by contradiction. Suppose that  $A$  is a regular language. Let  $p$  be the “pumping length” of the Pumping Lemma. Consider the string  $s = c^p a^p$ . Note that  $s \in A$  because the numbers of  $c$ ’s and  $a$ ’s are equal, and  $|s| = 2p > p$ , so the Pumping Lemma will hold. Thus, there exists strings  $x$ ,  $y$ , and  $z$  such that  $s = xyz$  and

- (a)  $xy^i z \in A$  for each  $i \geq 0$ ,
- (b)  $|y| > 0$ ,
- (c)  $|xy| \leq p$ .

Since the first  $p$  symbols of  $s$  are all  $c$ ’s, the third property implies that  $x$  and  $y$  consist only of  $c$ ’s. So  $z$  will be the rest of the  $c$ ’s, followed by  $a^p$ . The second property states that  $|y| > 0$ , so  $y$  has at least one  $c$ . More precisely, we can then say that

$$\begin{aligned} x &= c^j \text{ for some } j \geq 0, \\ y &= c^k \text{ for some } k \geq 1, \\ z &= c^m a^p \text{ for some } m \geq 0. \end{aligned}$$

Since  $c^p a^p = s = xyz = c^j c^k c^m a^p = c^{j+k+m} a^p$ , we must have that

$$j + k + m = p \quad \text{and} \quad k \geq 1.$$

The first property implies that  $xy^2 z \in A$ , but

$$\begin{aligned} xy^2 z &= c^j c^k c^k c^m a^p \\ &= c^{p+k} a^p \notin A \end{aligned}$$

since  $p + k > p$  because  $j + k + m = p$  and  $k \geq 1$ , so the number of  $c$ ’s in the pumped string  $xy^2 z$  doesn’t match the number of  $a$ ’s, and the number of  $a$ ’s doesn’t match the number of  $b$ ’s (none). Because the pumped string  $xy^2 z \notin A$ , we have a contradiction. Therefore,  $A$  is a nonregular language.

Note that if you instead chose the string  $s = c^p a^p b^p$ , you would not get a contradiction. This is because pumping up or down leads to the number of  $c$ ’s changing, but the number of  $a$ ’s and  $b$ ’s remain the same and equal. Thus, the pumped string is still in the language, so there is no contradiction.