

Practice Problems for Final Exam: Solutions  
CS 341: Foundations of Computer Science II  
Prof. Marvin K. Nakayama

1. Short answers:

(a) Define the following terms and concepts:

- i. Union, intersection, set concatenation, Kleene-star, set subtraction, complement

**Answer:** Union:  $S \cup T = \{ x \mid x \in S \text{ or } x \in T \}$

Intersection:  $S \cap T = \{ x \mid x \in S \text{ and } x \in T \}$

Concatenation:  $S \circ T = \{ xy \mid x \in S, y \in T \}$

Kleene-star:  $S^* = \{ w_1 w_2 \cdots w_k \mid k \geq 0, w_i \in S \ \forall i = 1, 2, \dots, k \}$

Subtraction:  $S - T = \{ x \mid x \in S, x \notin T \}$

Complement:  $\overline{S} = \{ x \in \Omega \mid x \notin S \} = \Omega - S$ , where  $\Omega$  is the universe of all elements under consideration.

- ii. A set  $S$  is closed under an operation  $f$

**Answer:**  $S$  is closed under  $f$  if applying  $f$  to members of  $S$  always returns a member of  $S$ .

- iii. Regular language

**Answer:** A regular language is defined by a DFA.

- iv. Kleene's theorem

**Answer:** A language is regular if and only if it has a regular expression.

- v. Context-free language

**Answer:** A CFL is defined by a CFG.

- vi. Chomsky normal form

**Answer:** A CFG is in Chomsky normal form if each of its rules has one of 3 forms:  $A \rightarrow BC$ ,  $A \rightarrow x$ , or  $S \rightarrow \varepsilon$ , where  $A, B, C$  are variables,  $B$  and  $C$  are not the start variable,  $x$  is a terminal, and  $S$  is the start variable.

- vii. Church-Turing Thesis

**Answer:** The informal notion of algorithm corresponds exactly to a Turing machine that always halts (i.e., a decider).

- viii. Turing-decidable language

**Answer:** A language  $A$  that is decided by a Turing machine; i.e., there is a Turing machine  $M$  such that  $M$  halts and accepts on any input  $w \in A$ , and  $M$  halts and rejects on input  $w \notin A$ ; i.e., looping cannot happen.

- ix. Turing-recognizable language

**Answer:** A language  $A$  that is recognized by a Turing machine; i.e., there is a Turing machine  $M$  such that  $M$  halts and accepts on any input  $w \in A$ , and  $M$  rejects or loops on any input  $w \notin A$ .

- x. co-Turing-recognizable language

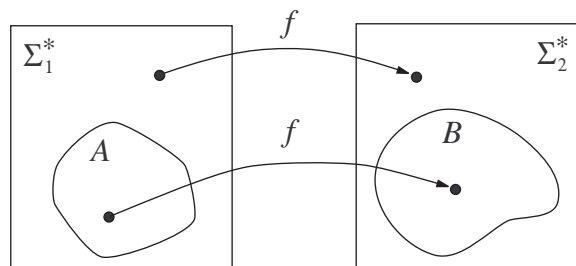
**Answer:** A language whose complement is Turing-recognizable.

- xi. Countable and uncountable sets

**Answer:** A set  $S$  is countable if it is finite or we can define a correspondence between  $S$  and the positive integers. In other words, we can create a list of all the elements in  $S$  and each specific element will eventually appear in the list. An uncountable set is a set that is not countable. A common approach to prove a set is uncountable is by using a diagonalization argument.

- xii. Language  $A$  is mapping reducible to language  $B$ ,  $A \leq_m B$

**Answer:** Suppose  $A$  is a language defined over alphabet  $\Sigma_1$ , and  $B$  is a language defined over alphabet  $\Sigma_2$ . Then  $A \leq_m B$  means there is a computable function  $f : \Sigma_1^* \rightarrow \Sigma_2^*$  such that  $w \in A$  if and only if  $f(w) \in B$ . Thus, if  $A \leq_m B$ , we can determine if a string  $w$  belongs to  $A$  by checking if  $f(w)$  belongs to  $B$ .



$$\begin{array}{ccc}
 w \in A & \iff & f(w) \in B \\
 \text{YES instance for problem } A & \iff & \text{YES instance for problem } B
 \end{array}$$

- xiii. Function  $f(n)$  is  $O(g(n))$

**Answer:** There exist constants  $c$  and  $n_0$  such that  $|f(n)| \leq c \cdot g(n)$  for all  $n \geq n_0$ .

- xiv. Classes P and NP

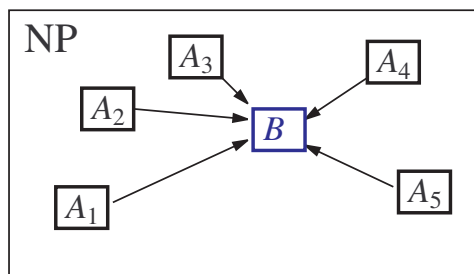
**Answer:** P is the class of languages that can be **decided** by a **deterministic** Turing machine in **polynomial time**. NP is the class of languages that can be **verified** in (deterministic) **polynomial time**. Equivalently, NP is the class of languages that can be **decided** by a **nondeterministic** Turing machine in **polynomial time**.

- xv. Language  $A$  is polynomial-time mapping reducible to language  $B$ ,  $A \leq_P B$ .

**Answer:** Suppose  $A$  is a language defined over alphabet  $\Sigma_1$ , and  $B$  is a language defined over alphabet  $\Sigma_2$ . Then  $A \leq_P B$  means there is a polynomial-time computable function  $f : \Sigma_1^* \rightarrow \Sigma_2^*$  such that  $w \in A$  if and only if  $f(w) \in B$ .

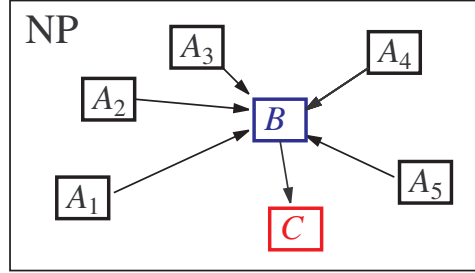
- xvi. NP-complete

**Answer:** Language  $B$  is NP-Complete if  $B \in \text{NP}$ , and for every language  $A \in \text{NP}$ , we have  $A \leq_P B$ .



The typical approach to proving a language  $C$  is NP-Complete is as follows:

- First show  $C \in \text{NP}$  by giving a deterministic polynomial-time verifier for  $C$ . (Alternatively, we can show  $C \in \text{NP}$  by giving a nondeterministic polynomial-time decider for  $C$ .)
- Next show that a known NP-Complete language  $B$  can be reduced to  $C$  in polynomial time; i.e.,  $B \leq_P C$ .



Note that the second step implies that  $A \leq_P C$  for each  $A \in \text{NP}$ . Because we can first reduce  $A$  to  $B$  in polynomial time because  $B$  is NP-Complete, and then we can reduce  $B$  to  $C$  in polynomial time, so the entire reduction of  $A$  to  $C$  takes polynomial time.

xvii. NP-hard

**Answer:** Language  $B$  is NP-hard if for every language  $A \in \text{NP}$ , we have  $A \leq_P B$ .

- (b) Give the transition functions  $\delta$  (i.e., specify the domains and ranges) of a DFA, NFA, PDA, Turing machine and nondeterministic Turing machine.

**Answer:**

- DFA,  $\delta : Q \times \Sigma \rightarrow Q$ , where  $Q$  is the set of states and  $\Sigma$  is the alphabet.
- NFA,  $\delta : Q \times \Sigma_\epsilon \rightarrow \mathcal{P}(Q)$ , where  $\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$  and  $\mathcal{P}(Q)$  is the power set of  $Q$ .
- PDA,  $\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$ , where  $\Gamma$  is the stack alphabet and  $\Gamma_\epsilon = \Gamma \cup \{\epsilon\}$ .
- Turing machine,  $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$ , where  $\Gamma$  is the tape alphabet,  $L$  means move tape head one cell left, and  $R$  means move tape head one cell right.
- Nondeterministic Turing machine,  $\delta : Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$ , where  $\Gamma$  is the tape alphabet,  $L$  means move tape head one cell left, and  $R$  means move tape head one cell right.

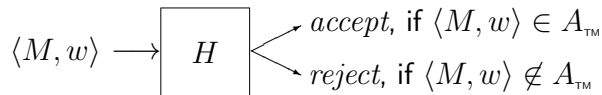
- (c) Explain the “P vs. NP” problem.

**Answer:** P is the class of languages that can be solved in polynomial time, and NP is the class of languages that can be verified in polynomial time. We know that  $P \subseteq \text{NP}$ . To see why, note that each TM is also an NTM, so deciding in deterministic polynomial time is a special case of deciding in nondeterministic polynomial time, making  $P \subseteq \text{NP}$ . But it is currently unknown if  $P = \text{NP}$  or  $P \neq \text{NP}$ .

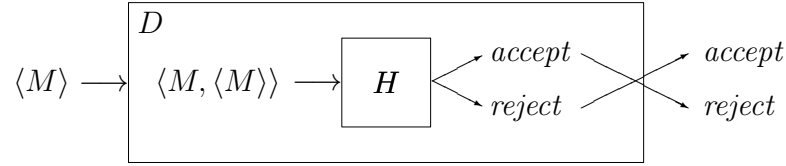
2. Recall that  $A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts string } w \}$ .

- (a) Prove that  $A_{\text{TM}}$  is undecidable. You may not cite any theorems or corollaries in your proof.

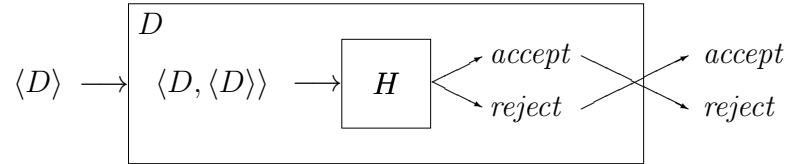
**Overview of Proof:** We use a proof by contradiction. Suppose  $A_{\text{TM}}$  is decided by some TM  $H$ , so  $H$  accepts  $\langle M, w \rangle$  if TM  $M$  accepts  $w$ , and  $H$  rejects  $\langle M, w \rangle$  if TM  $M$  doesn’t accept  $w$ .



Define another TM  $D$  using  $H$  as a subroutine.



So  $D$  takes as input any encoded TM  $\langle M \rangle$ , then feeds  $\langle M, \langle M \rangle \rangle$  as input into  $H$ , and finally outputs the opposite of what  $H$  outputs. Because  $D$  is a TM, we can feed  $\langle D \rangle$  as input into  $D$ . What happens when we run  $D$  with input  $\langle D \rangle$ ?



Note that  $D$  accepts  $\langle D \rangle$  iff  $D$  doesn't accept  $\langle D \rangle$ , which is impossible. Thus,  $A_{\text{TM}}$  must be undecidable.

**Complete Proof:** Suppose there exists a TM  $H$  that decides  $A_{\text{TM}}$ . TM  $H$  takes input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string. If TM  $M$  accepts string  $w$ , then  $\langle M, w \rangle \in A_{\text{TM}}$  and  $H$  accepts input  $\langle M, w \rangle$ . If TM  $M$  does not accept string  $w$ , then  $\langle M, w \rangle \notin A_{\text{TM}}$  and  $H$  rejects input  $\langle M, w \rangle$ . Consider the language  $L = \{ \langle M \rangle \mid M \text{ is a TM that does not accept } \langle M \rangle \}$ . Now construct a TM  $D$  for  $L$  using TM  $H$  as a subroutine:

$D$  = “On input  $\langle M \rangle$ , where  $M$  is a TM:  
 1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .  
 2. If  $H$  accepts, *reject*. If  $H$  rejects, *accept*.”

If we run TM  $D$  on input  $\langle D \rangle$ , then  $D$  accepts  $\langle D \rangle$  if and only if  $D$  doesn't accept  $\langle D \rangle$ . Because this is impossible, TM  $H$  must not exist, so  $A_{\text{TM}}$  is undecidable.

(b) Show that  $A_{\text{TM}}$  is Turing-recognizable.

**Answer:** The universal TM  $U$  recognizes  $A_{\text{TM}}$ , where  $U$  is defined as follows:

$U$  = “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:  
 1. Run  $M$  on  $w$ .  
 2. If  $M$  accepts  $w$ , *accept*; if  $M$  rejects  $w$ , *reject*.”

Note that  $U$  only recognizes  $A_{\text{TM}}$  and does not decide  $A_{\text{TM}}$ . Because when we run  $M$  on  $w$ , there is the possibility that  $M$  neither accepts nor rejects  $w$  but rather loops on  $w$ .

3. Each of the languages below in parts (a), (b), (c), (d) is of one of the following types:

Type REG. It is regular.

Type CFL. It is context-free, but not regular.

Type DEC. It is Turing-decidable, but not context-free.

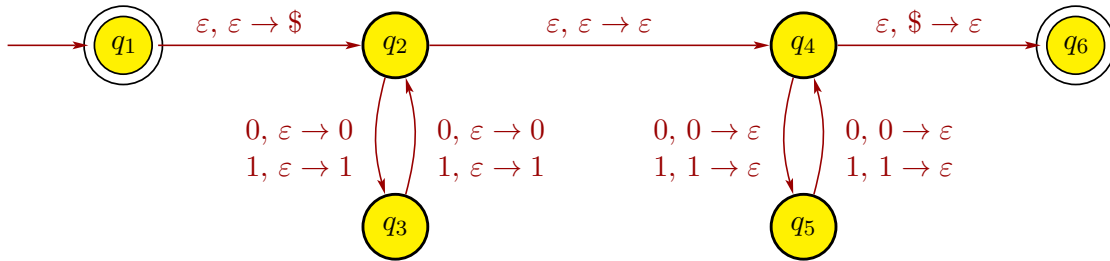
For each of the following languages, specify which type it is. Also, follow these instructions:

- If a language  $L$  is of Type REG, give a regular expression **and** a DFA (5-tuple) for  $L$ .
- If a language  $L$  is of Type CFL, give a context-free grammar (4-tuple) **and** a PDA (6-tuple) for  $L$ . **Also, prove that  $L$  is not regular.**
- If a language  $L$  is of Type DEC, give a description of a Turing machine that decides  $L$ . **Also, prove that  $L$  is not context-free.**

(a)  $A = \{ w \in \Sigma^* \mid w = \text{reverse}(w) \text{ and the length of } w \text{ is divisible by } 4 \}$ , where  $\Sigma = \{0, 1\}$ .

Circle one type:                      REG                      CFL                      DEC

**Answer:**  $A$  is of type CFL. A CFG  $G = (V, \Sigma, R, S)$  for  $A$  has  $V = \{S\}$ ,  $\Sigma = \{0, 1\}$ , starting variable  $S$ , and rules  $R = \{S \rightarrow 00S00 \mid 01S10 \mid 10S01 \mid 11S11 \mid \varepsilon\}$ . A PDA for  $A$  is as follows:



The above PDA has 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_1, F)$ , with  $Q = \{q_1, q_2, \dots, q_6\}$ ,  $\Sigma = \{0, 1\}$ ,  $\Gamma = \{0, 1, \$\}$ , starting state  $q_1$ ,  $F = \{q_1, q_6\}$ , and transition function  $\delta : Q \times \Sigma_\varepsilon \times \Gamma_\varepsilon \rightarrow \mathcal{P}(Q \times \Gamma_\varepsilon)$  defined by

Input:	0				1				$\varepsilon$			
Stack:	0	1	\$	$\varepsilon$	0	1	\$	$\varepsilon$	0	1	\$	$\varepsilon$
$q_1$												$\{(q_2, \$)\}$
$q_2$				$\{(q_3, 0)\}$				$\{(q_3, 1)\}$				$\{(q_4, \varepsilon)\}$
$q_3$				$\{(q_2, 0)\}$				$\{(q_2, 1)\}$				
$q_4$	$\{(q_5, \varepsilon)\}$				$\{(q_5, \varepsilon)\}$						$\{(q_6, \varepsilon)\}$	
$q_5$	$\{(q_4, \varepsilon)\}$				$\{(q_4, \varepsilon)\}$							
$q_6$												

Blank entries are  $\emptyset$ .

We now prove that  $A$  is not regular by contradiction. Suppose that  $A$  is regular. Let  $p \geq 1$  be the pumping length of the pumping lemma (Theorem 1.I). Consider string  $s = 0^p 1^{2p} 0^p \in A$ , and note that  $|s| = 4p > p$ , so the conclusions of the pumping lemma must hold. Thus, we can split  $s = xyz$  satisfying conditions (1)  $xy^iz \in A$  for all  $i \geq 0$ , (2)  $|y| > 0$ , and (3)  $|xy| \leq p$ . Because all of the first  $p$  symbols of  $s$  are 0s, (3) implies that  $x$  and  $y$  must only consist of 0s. Also,  $z$  must consist of the rest of the 0s at the beginning, followed by  $1^{2p}0^p$ . Hence, we can write  $x = 0^j$ ,  $y = 0^k$ ,  $z = 0^m 1^{2p} 0^p$ , where  $j+k+m = p$  because  $s = 0^p 1^{2p} 0^p = xyz = 0^j 0^k 0^m 1^{2p} 0^p$ . Moreover, (2) implies that  $k > 0$ . Finally, (1) states that  $xyyz$  must belong to  $A$ . However,

$$xyyz = 0^j 0^k 0^k 0^m 1^{2p} 0^p = 0^{p+k} 1^{2p} 0^p$$

because  $j+k+m = p$ . But,  $k > 0$  implies  $\text{reverse}(xyyz) \neq xyyz$ , which means  $xyyz \notin A$ , which contradicts (1). Therefore,  $A$  is a nonregular language.

(b)  $B = \{b^n a^n b^n \mid n \geq 0\}$ .

Circle one type:                      REG                      CFL                      DEC

**Answer:**  $B$  is of type DEC. Below is a description of a Turing machine that decides  $B$ .

$M$  = “On input string  $w \in \{a, b\}^*$ :

1. Scan the input from left to right to make sure that it is a member of  $b^*a^*b^*$ , and *reject* if it isn't.
2. Return tape head to left-hand end of tape.
3. Repeat the following until there are no more  $b$ s left on the tape.
  4. Replace the leftmost  $b$  with  $x$ .
  5. Scan right until an  $a$  occurs. If there are no  $a$ 's, *reject*.
  6. Replace the leftmost  $a$  with  $x$ .
  7. Scan right until a  $b$  occurs. If there are no  $b$ 's, *reject*.
  8. Replace the leftmost  $b$  (after the  $a$ 's) with  $x$ .
  9. Return tape head to left-hand end of tape, and go to stage 3.
10. If the tape contains any  $a$ 's, *reject*. Otherwise, *accept*.”

We now prove that  $B$  is not context-free by contradiction. Suppose that  $B$  is context-free. Let  $p$  be the pumping length of the pumping lemma for CFLs (Theorem 2.D), and consider string  $s = b^p a^p b^p \in B$ . Note that  $|s| = 3p > p$ , so the pumping lemma will hold. Thus, we can split  $s = b^p a^p b^p = uvxyz$  satisfying  $uv^i xy^i z \in B$  for all  $i \geq 0$ ,  $|vy| \geq 1$ , and  $|vxy| \leq p$ . We now consider all of the possible choices for  $v$  and  $y$ :

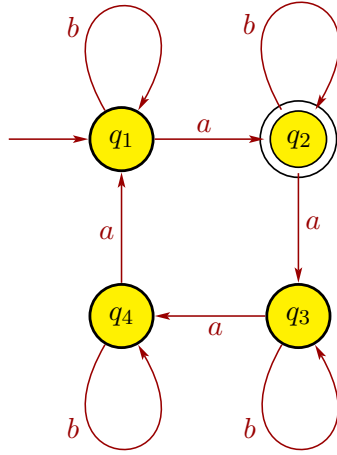
- Suppose strings  $v$  and  $y$  are uniform (e.g.,  $v = b^j$  for some  $j \geq 0$ , and  $y = a^k$  for some  $k \geq 0$ ). Then  $|vy| \geq 1$  implies that  $v \neq \varepsilon$  or  $y \neq \varepsilon$  (or both), so  $uv^2 xy^2 z$  won't have the correct number of  $b$ 's at the beginning,  $a$ 's in the middle, and  $b$ 's at the end. Hence,  $uv^2 xy^2 z \notin B$ .
- Now suppose strings  $v$  and  $y$  are not both uniform. Then  $uv^2 xy^2 z$  will not have the form  $b \cdots ba \cdots ab \cdots b$ . Hence,  $uv^2 xy^2 z \notin B$ .

Thus, there are no options for  $v$  and  $y$  such that  $uv^i xy^i z \in B$  for all  $i \geq 0$ . This is a contradiction, so  $B$  is not a CFL.

(c)  $C = \{w \in \Sigma^* \mid n_a(w) \bmod 4 = 1\}$ , where  $\Sigma = \{a, b\}$  and  $n_a(w)$  is the number of  $a$ 's in string  $w$ . For example,  $n_a(babaabb) = 3$ . Also, recall  $j \bmod k$  returns the remainder after dividing  $j$  by  $k$ , e.g.,  $3 \bmod 4 = 3$ , and  $9 \bmod 4 = 1$ .

Circle one type:                      REG                      CFL                      DEC

**Answer:**  $C$  is of type REG. A regular expression for  $C$  is  $(b^*ab^*ab^*ab^*)^*b^*ab^*$ , and a DFA for  $C$  is below:



The above DFA has 5-tuple  $(Q, \Sigma, \delta, q_1, F)$ , with  $Q = \{q_1, q_2, q_3, q_4\}$ ,  $\Sigma = \{a, b\}$ ,  $q_1$  as the starting state,  $F = \{q_2\}$ , and transition function  $\delta : Q \times \Sigma \rightarrow Q$  defined by

	$a$	$b$
$q_1$	$q_2$	$q_1$
$q_2$	$q_3$	$q_2$
$q_3$	$q_4$	$q_3$
$q_4$	$q_1$	$q_4$

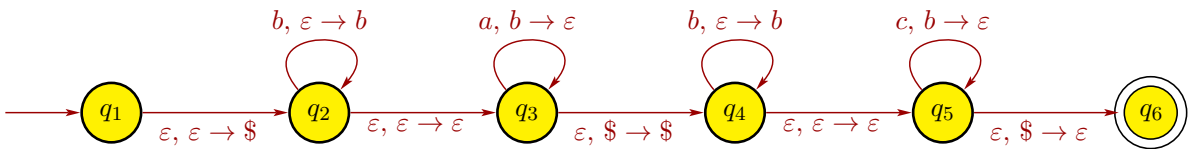
- (d)  $D = \{b^n a^n b^k c^k \mid n \geq 0, k \geq 0\}$ . [Hint: Recall that the class of context-free languages is closed under concatenation.]

Circle one type:            REG            CFL            DEC

**Answer:**  $D$  is of type CFL. A CFG  $G = (V, \Sigma, R, S)$  for  $D$  has  $V = \{S, X, Y\}$ ,  $\Sigma = \{a, b, c\}$ ,  $S$  as the starting variable, and rules  $R$ :

$$\begin{aligned} S &\rightarrow XY \\ X &\rightarrow bXa \mid \varepsilon \\ Y &\rightarrow bYc \mid \varepsilon \end{aligned}$$

A PDA for  $D$  is below:



We formally express the PDA as a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_1, F)$ , where  $Q = \{q_1, q_2, \dots, q_6\}$ ,  $\Sigma = \{a, b, c\}$ ,  $\Gamma = \{b, \$\}$  (use  $\$$  to mark bottom of stack),  $q_1$  is the start state,  $F = \{q_6\}$ , and the transition function  $\delta : Q \times \Sigma_\varepsilon \times \Gamma_\varepsilon \rightarrow \mathcal{P}(Q \times \Gamma_\varepsilon)$  is defined by

Input:	$a$			$b$			$c$			$\varepsilon$		
Stack:	$b$	$\$$	$\varepsilon$	$b$	$\$$	$\varepsilon$	$b$	$\$$	$\varepsilon$	$b$	$\$$	$\varepsilon$
$q_1$												$\{(q_2, \$)\}$
$q_2$						$\{(q_2, b)\}$						$\{(q_3, \varepsilon)\}$
$q_3$	$\{(q_3, \varepsilon)\}$										$\{(q_4, \$)\}$	
$q_4$						$\{(q_4, b)\}$						$\{(q_5, \varepsilon)\}$
$q_5$							$\{(q_5, \varepsilon)\}$				$\{(q_6, \varepsilon)\}$	
$q_6$												

Blank entries are  $\emptyset$ .

An important point to note about the above PDA is that the transition from  $q_3$  to  $q_4$  pops and pushes  $\$$ . It is important to pop  $\$$  to make sure that the number of  $a$ 's matches the number of  $b$ 's in the beginning. We need to push  $\$$  to mark the bottom of the stack again for the second part of the string of  $b$ 's and  $c$ 's.

We now prove that  $D$  is not regular by contradiction. Suppose that  $D$  is regular. Let  $p \geq 1$  be the pumping length of the pumping lemma (Theorem 1.I). Consider string  $s = b^p a^p b^p c^p \in D$ , and note that  $|s| = 4p > p$ , so the conclusions of the pumping lemma must hold. Thus, we can split  $s = xyz$  satisfying (1)  $xy^iz \in D$  for all  $i \geq 0$ , (2)  $|y| > 0$ , and (3)  $|xy| \leq p$ . Because all of the first  $p$  symbols of  $s$  are  $b$ 's, (3) implies that  $x$  and  $y$  must only consist of  $b$ 's. Also,  $z$  must consist of the rest of the  $b$ 's at the beginning, followed by  $a^p b^p c^p$ . Hence, we can write  $x = b^j$ ,  $y = b^k$ ,  $z = b^m a^p b^p c^p$ , where  $j + k + m = p$  because  $s = b^p a^p b^p c^p = xyz = b^j b^k b^m a^p b^p c^p$ . Moreover, (2) implies that  $k > 0$ . Finally, (1) states that  $xyyz$  must belong to  $D$ . However,

$$xyyz = b^j b^k b^k b^m a^p b^p c^p = b^{p+k} a^p b^p c^p$$

because  $j + k + m = p$ . Also  $k > 0$ , so  $xyyz \notin D$ , which contradicts (1). Therefore,  $D$  is a nonregular language.

4. Each of the languages below in parts (a), (b), (c), (d) is of one of the following types:

Type DEC. It is Turing-decidable.

Type TMR. It is Turing-recognizable, but not decidable.

Type NTR. It is not Turing-recognizable.

For each of the following languages, specify which type it is. Also, follow these instructions:

- If a language  $L$  is of Type DEC, give a description of a Turing machine that decides  $L$ .
- If a language  $L$  is of Type TMR, give a description of a Turing machine that recognizes  $L$ .  
**Also, prove that  $L$  is not decidable.**
- If a language  $L$  is of Type NTR, give a proof that it is not Turing-recognizable.

In each part below, if you need to prove that the given language  $L$  is decidable, undecidable, or not Turing-recognizable, you must give an explicit proof of this; i.e., do not just cite a theorem that establishes this without a proof. However, if in your proof you need to show another language  $L'$  has a particular property and there is a theorem that establishes this, then you may simply cite the theorem for  $L'$  without proof.

(a)  $EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs with } L(M_1) = L(M_2) \}$ . [Hint: show  $\overline{A_{TM}} \leq_m EQ_{TM}$ .]

Circle one type:            DEC            TMR            NTR

**Answer:**  $EQ_{TM}$  is of type NTR (see Theorem 5.K). We prove this by showing  $\overline{A_{TM}} \leq_m EQ_{TM}$  and applying Corollary 5.I. Define the reducing function  $f(\langle M, w \rangle) = \langle M_1, M_2 \rangle$ , where



- $M_1 = \text{"reject on all inputs."}$
- $M_2 = \text{"On input } x:$ 
  1. Ignore input  $x$ , and run  $M$  on  $w$ .
  2. If  $M$  accepts  $w$ , *accept*;  
If  $M$  rejects  $w$ , *reject*."

Note that  $L(M_1) = \emptyset$ . For the language of TM  $M_2$ ,

- if  $M$  accepts  $w$  (i.e.,  $\langle M, w \rangle \notin \overline{A_{\text{TM}}}$ ), then  $L(M_2) = \Sigma^*$ ;
- if  $M$  does not accept  $w$  (i.e.,  $\langle M, w \rangle \in \overline{A_{\text{TM}}}$ ), then  $L(M_2) = \emptyset$ .

Thus, if  $\langle M, w \rangle$  is a YES instance for  $\overline{A_{\text{TM}}}$  (i.e.,  $\langle M, w \rangle \in \overline{A_{\text{TM}}}$ , so  $M$  does not accept  $w$ ), then  $L(M_1) = \emptyset$  and  $L(M_2) = \emptyset$ , which are the same, implying that  $f(\langle M, w \rangle) = \langle M_1, M_2 \rangle \in EQ_{\text{TM}}$  is a YES instance for  $EQ_{\text{TM}}$ . Also, if  $\langle M, w \rangle$  is a NO instance for  $\overline{A_{\text{TM}}}$  (i.e.,  $\langle M, w \rangle \notin \overline{A_{\text{TM}}}$ , so  $M$  accepts  $w$ ), then  $L(M_1) = \emptyset$  and  $L(M_2) = \Sigma^*$ , which are not the same, implying that  $f(\langle M, w \rangle) = \langle M_1, M_2 \rangle \notin EQ_{\text{TM}}$  is a NO instance for  $EQ_{\text{TM}}$ . Hence, we see that  $\langle M, w \rangle \in \overline{A_{\text{TM}}} \iff f(\langle M, w \rangle) = \langle M_1, M_2 \rangle \in EQ_{\text{TM}}$ , so  $\overline{A_{\text{TM}}} \leq_m EQ_{\text{TM}}$ . But  $\overline{A_{\text{TM}}}$  is not TM-recognizable (Corollary 4.M), so  $EQ_{\text{TM}}$  is not TM-recognizable by Corollary 5.I.

- (b)  $HALT_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on input } w \}$ . [Hint: modify the universal TM to show that  $HALT_{\text{TM}}$  is Turing-recognizable.]

Circle one type:            DEC            TMR            NTR

**Answer:**  $HALT_{\text{TM}}$  is of type TMR (see Theorem 5.A). The following Turing machine recognizes  $HALT_{\text{TM}}$ :

$T =$  "On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Run  $M$  on  $w$ .
2. If  $M$  halts on  $w$ , *accept*."

We now prove that  $HALT_{\text{TM}}$  is undecidable, which is Theorem 5.A. Suppose there exists a TM  $R$  that decides  $HALT_{\text{TM}}$ . Then we could use  $R$  to develop a TM  $S$  to decide  $A_{\text{TM}}$  by modifying the universal TM to first use  $R$  to see if it's safe to run  $M$  on  $w$ .

$S =$  "On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Run  $R$  on input  $\langle M, w \rangle$ .
2. If  $R$  rejects, *reject*.
3. If  $R$  accepts, simulate  $M$  on input  $w$  until it halts.
4. If  $M$  accepts, *accept*; otherwise, *reject*."

Because TM  $R$  is a decider, TM  $S$  always halts and is a decider. Thus, deciding  $A_{\text{TM}}$  is reduced to deciding  $HALT_{\text{TM}}$ . However,  $A_{\text{TM}}$  is undecidable (Theorem 4.I), so that must mean that  $HALT_{\text{TM}}$  is also undecidable.

- (c)  $EQ_{\text{DFA}} = \{ \langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are DFAs with } L(M_1) = L(M_2) \}$ .

Circle one type:            DEC            TMR            NTR

**Answer:**  $EQ_{DFA}$  is of type DEC (see Theorem 4.E). The following TM decides  $EQ_{DFA}$ :

$M$  = “On input  $\langle A, B \rangle$ , where  $A$  and  $B$  are DFAs:

0. Check if  $\langle A, B \rangle$  is a proper encoding of 2 DFAs. If not, *reject*.

1. Construct DFA  $C$  such that

$$L(C) = [L(A) \cap \overline{L(B)}] \cup [\overline{L(A)} \cap L(B)]$$

using algorithms for DFA union, intersection and complementation.

2. Run TM decider for  $E_{DFA}$  (Theorem 4.D) on  $\langle C \rangle$ .

3. If  $\langle C \rangle \in E_{DFA}$ , *accept*; if  $\langle C \rangle \notin E_{DFA}$ , *reject*.”

(d)  $\overline{A_{TM}}$ , where  $A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts string } w \}$ .

Circle one type:                      DEC                      TMR                      NTR

**Answer:**  $\overline{A_{TM}}$  is of type NTR, which is just Theorem 4.M. We prove this as follows. We know that  $A_{TM}$  is recognized by the universal Turing machine, so  $A_{TM}$  is Turing-recognizable. If  $\overline{A_{TM}}$  were Turing-recognizable, then  $A_{TM}$  is co-Turing-recognizable. This makes  $A_{TM}$  both Turing-recognizable and co-Turing-recognizable. But then Theorem 4.L would imply that  $A_{TM}$  is decidable, which we know is not true by Theorem 4.I. Hence,  $\overline{A_{TM}}$  is not Turing-recognizable.

5. Let  $L_1, L_2, L_3, \dots$  be an infinite sequence of regular languages, each of which is defined over a common input alphabet  $\Sigma$ . Let  $L = \cup_{k=1}^{\infty} L_k$  be the infinite union of  $L_1, L_2, L_3, \dots$ . Is it always the case that  $L$  is a regular language? If your answer is YES, give a proof. If your answer is NO, give a counterexample. Explain your answer. [Hint: Consider, for each  $k \geq 0$ , the language  $L_k = \{a^k b^k\}$ .]

**Answer:** The answer is NO. For each  $k \geq 1$ , let  $L_k = \{a^k b^k\}$ , so  $L_k$  is a language consisting of just a single string  $a^k b^k$ . Because  $L_k$  is finite, it must be a regular language by Theorem 1.F. But  $L = \cup_{k=1}^{\infty} L_k = \{ a^k b^k \mid k \geq 1 \}$ , which we know is not regular (see end of Chapter 1).

6. Let  $L_1, L_2$ , and  $L_3$  be languages defined over the alphabet  $\Sigma = \{a, b\}$ , where

- $L_1$  consists of all possible strings over  $\Sigma$  except the strings  $w_1, w_2, \dots, w_{100}$ ; i.e., start with all possible strings over the alphabet, take out 100 particular strings, and the remaining strings form the language  $L_1$ ;
- $L_2$  is recognized by an NFA; and
- $L_3$  is recognized by a PDA.

Prove that  $(L_1 \cap L_2)L_3$  is a context-free language. [Hint: First show that  $L_1$  and  $L_2$  are regular. Also, consider  $\overline{L_1}$ , the complement of  $L_1$ .]

**Answer:** Note that  $\overline{L_1} = \{w_1, w_2, \dots, w_{100}\}$ , so  $|\overline{L_1}| = 100$ . Thus,  $\overline{L_1}$  is a regular language because it is finite by Theorem 1.F. Then Theorem 1.H implies that the complement of  $\overline{L_1}$  must be regular, but the complement of  $\overline{L_1}$  is  $L_1$ . Thus,  $L_1$  is regular. Language  $L_2$  has an NFA, so it also has a DFA by Theorem 1.C. Therefore,  $L_2$  is regular. Because  $L_1$  and  $L_2$  are regular,  $L_1 \cap L_2$  must be regular by Theorem 1.G. Theorem 2.B then implies that  $L_1 \cap L_2$  is context-free. Because  $L_3$  has a PDA,  $L_3$  is context-free by Theorem 2.C. Hence, because  $L_1 \cap L_2$  and  $L_3$  are both context-free, their concatenation is context-free by Theorem 2.F.

7. Write Y or N in the entries of the table below to indicate which classes of languages are closed under which operations.

Operation	Regular languages	CFLs	Decidable languages	Turing-recognizable languages
Union	Y (Thm 1.A)	Y (Thm 2.E)	Y (HW 7, prob 2a)	Y (HW 7, prob 2b)
Intersection	Y (Thm 1.G)	N (HW 6, prob 2a)	Y	Y
Complementation	Y (Thm 1.H)	N (HW 6, prob 2b)	Y	N (e.g., $A_{TM}$ )

We now prove the three “Y” entries that we haven’t established before. We first prove the class of decidable languages is closed under intersection. Suppose a TM  $M_1$  decides language  $L_1$ , and a TM  $M_2$  decides language  $L_2$ . Then the following TM decides  $L_1 \cap L_2$ :

$M'$  = “On input string  $w$ :

1. Run  $M_1$  on input  $w$ , and run  $M_2$  on input  $w$ .
2. If both  $M_1$  and  $M_2$  accept, *accept*. Otherwise, *reject*.

$M'$  accepts  $w$  if both  $M_1$  and  $M_2$  accept it. If either rejects,  $M'$  rejects. The key here is that in stage 1 of  $M'$ , both  $M_1$  and  $M_2$  are guaranteed to halt because both are deciders, so  $M'$  will also always halt, making it a decider. (Alternatively, we can change stage 1 to run  $M_1$  and  $M_2$  in parallel (alternating steps), both on input  $w$ , but this isn’t necessary because  $M_1$  and  $M_2$  are deciders. In contrast, when we proved that the class of Turing-recognizable languages is closed under union, we did need to run  $M_1$  and  $M_2$  in parallel, both on input  $w$ , because if we didn’t, then  $M_1$  might loop forever on  $w$ , but  $M_2$  might accept  $w$ .)

We now prove the class of decidable languages is closed under complementation. Suppose a TM  $M$  decides language  $L$ . Now create another TM  $M'$  that just swaps the accept and reject states of  $M$ . Because  $M$  is a decider, it always halts, so then  $M'$  also always halts. Thus,  $M'$  decides  $\bar{L}$ .

We now prove the class of Turing-recognizable languages is closed under intersection. Suppose a TM  $M_1$  recognizes language  $L_1$ , and a TM  $M_2$  recognizes language  $L_2$ . Then the following TM recognizes  $L_1 \cap L_2$ :

$M'$  = “On input string  $w$ :

1. Run  $M_1$  on input  $w$ , and run  $M_2$  on input  $w$ .
2. If both  $M_1$  and  $M_2$  accept, *accept*. Otherwise, *reject*.

$M'$  accepts  $w$  if both  $M_1$  and  $M_2$  accept it. If either rejects,  $M'$  rejects. But note that if  $M_1$  or  $M_2$  loops on  $w$ , then  $M'$  also loops on  $w$ . Hence,  $M'$  recognizes  $L_1 \cap L_2$  but doesn’t necessarily decide  $L_1 \cap L_2$ .

8. Consider the following context-free grammar  $G$  in Chomsky normal form:

$$\begin{aligned}
 S &\rightarrow a \mid YZ \\
 Z &\rightarrow ZY \mid a \\
 Y &\rightarrow b \mid ZZ \mid YY
 \end{aligned}$$

Use the CYK (dynamic programming) algorithm to fill in the following table to determine if  $G$  generates the string *babba*. Does  $G$  generate *babba*?

	1	2	3	4	5
1	Y	S	S	S	Y
2		S, Z	Z	Z	Y
3			Y	Y	S
4				Y	S
5					S, Z
	b	a	b	b	a

No,  $G$  does not generate *babba* because  $S$  is not in the upper right corner.

9. Recall that

$$\begin{aligned} \text{CLIQUE} &= \{ \langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique} \}, \\ \text{3SAT} &= \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-function} \}. \end{aligned}$$

Show that *CLIQUE* is NP-Complete by showing that  $\text{CLIQUE} \in \text{NP}$  and  $\text{3SAT} \leq_P \text{CLIQUE}$ . Explain your reduction for the general case and not just for a specific example. Be sure to prove your reduction works and that it requires polynomial time. Also, be sure to provide proofs of these results, and don't just cite a theorem.

**Answer:**

*Step 1:* show that  $\text{CLIQUE} \in \text{NP}$ . We accomplish by giving a polynomial-time verifier for *CLIQUE*. The following verifier  $V$  for *CLIQUE* uses the  $k$ -clique as the certificate  $c$ .

$V =$  “On input  $\langle \langle G, k \rangle, c \rangle$ :

1. Test whether  $c$  is a set of  $k$  different nodes in  $G$ .
2. Test whether  $G$  contains all edges connecting nodes in  $c$ .
3. If both tests pass, *accept*; otherwise, *reject*.”

We now show that the verifier  $V$  runs in deterministic polynomial time in the size of  $\langle G, k \rangle$ . First we need to measure the size of the encoding  $\langle G, k \rangle$ , which depends on the particular graph  $G$  and the encoding scheme. Suppose the graph  $G$  has  $m$  nodes, and assume that  $G$  is encoded as a list of nodes followed by a list of edges. To determine the size of the encoding  $\langle G \rangle$  of the graph  $G$ , note that each edge in  $G$  corresponds to a pair of nodes, so  $G$  has  $O(m^2)$  edges. Therefore, the size of  $\langle G \rangle$  is  $m + O(m^2) = O(m^2)$ , but because we don't care about polynomial differences, we can simply measure the size of the  $\langle G \rangle$  as  $m$ . Now we analyze the time complexity of  $V$ . In Stage 1, for each of the  $k$  nodes in  $c$ , we have to go through the  $m$  nodes in  $G$ , so Stage 1 of  $V$  takes  $O(k)O(m) = O(km)$  time. For Stage 2, for each of the  $\binom{k}{2} = k(k-1)/2 = O(k^2)$  pairs of nodes in  $c$  that we have to consider, we have to go through the list of  $O(m^2)$  edges of  $G$ , so Stage 2 takes  $O(k^2)O(m^2) = O(k^2m^2)$  time. Thus, the verifier  $V$  runs in (deterministic) polynomial time.

*Step 2:* show that  $\text{3SAT} \leq_m \text{CLIQUE}$ . Next we show how to reduce *3SAT* to *CLIQUE*. We need to convert an instance of the *3SAT* problem to an instance of the *CLIQUE* problem, with the property that a YES instance for *3SAT* maps to a YES instance of *CLIQUE*, and a NO instance for *3SAT* maps to a NO instance of *CLIQUE*. An instance of *3SAT* is a 3cnf-formula  $\phi$ , and  $\phi$  is a YES instance for *3SAT* if  $\phi$  is satisfiable, and  $\phi$  is a NO instance for *3SAT* if  $\phi$  is not satisfiable. An instance of *CLIQUE* is a pair  $\langle G, k \rangle$  of a graph  $G$  and an integer  $k$ , and  $\langle G, k \rangle$  is a YES instance for *CLIQUE* if  $G$  has a clique of size  $k$ , and  $\langle G, k \rangle$  is a NO instance for *CLIQUE* if  $G$  doesn't have a

clique of size  $k$ . Thus, the reduction  $f$  needs to map each 3cnf-formula to a graph and number  $k$ , i.e.,  $f(\langle \phi \rangle) = \langle G, k \rangle$ , where each YES instance  $\langle \phi \rangle$  maps to a YES instance  $\langle G, k \rangle$ , and each NO instance  $\langle \phi \rangle$  maps to a NO instance  $\langle G, k \rangle$ .

The reduction works as follows. Suppose that  $\phi$  is a 3cnf-formula with  $k$  clauses. From  $\phi$ , construct a graph  $G$  having a node for each literal in  $\phi$ . Arrange the nodes in triples, where each triple corresponds to the literals from one clause. Add edges between every pair of nodes in  $G$  except when the nodes are from the same triple, or when the nodes are contradictory, e.g.,  $x_i$  and  $\bar{x}_i$ .

To prove that this mapping is indeed a reduction, we need to show that  $\langle \phi \rangle \in 3SAT$  if and only if  $\langle G, k \rangle \in CLIQUE$ . Note that  $\phi$  is satisfiable if and only if every clause has at least one true literal. Suppose  $\phi$  is satisfiable, so it is a YES instance for  $3SAT$ . For each triple of nodes, choose a node corresponding to a true literal in the corresponding clause. This results in choosing  $k$  nodes, with exactly one node from each triple. This collection of  $k$  nodes is a  $k$ -clique because the graph  $G$  has edges between every pair of nodes except those in the same triple and not between contradictory literals. Thus, the resulting graph and number  $k$  is a YES instance for  $CLIQUE$ , so  $\langle \phi \rangle \in 3SAT$  implies  $\langle G, k \rangle \in CLIQUE$ .

Now we show the converse: each NO instance for  $3SAT$  maps to a NO instance for  $CLIQUE$ , which is equivalent to  $\langle G, k \rangle \in CLIQUE$  implying that  $\langle \phi \rangle \in 3SAT$ . Suppose that  $G$  has a  $k$ -clique. The  $k$  nodes must be from  $k$  different triples because  $G$  has no edges between nodes in the same triple. Thus, the  $k$  literals corresponding to the  $k$  nodes in the  $k$ -clique come from  $k$  different clauses. Also, because  $G$  does not have edges between contradictory literals, setting the literals corresponding to the  $k$  nodes to true will lead to  $\phi$  evaluating to true, so  $\langle \phi \rangle \in 3SAT$ . Thus,  $\langle G, k \rangle \in CLIQUE$  implies  $\langle \phi \rangle \in 3SAT$ . Combining this with the proof from the last paragraph, we have shown  $\langle \phi \rangle \in 3SAT$  if and only if  $\langle G, k \rangle \in CLIQUE$ , so our approach for converting an instance of the  $3SAT$  problem into an instance of the  $CLIQUE$  problem is indeed a reduction; i.e.,  $3SAT \leq_m CLIQUE$ .

*Step 3:* show that reduction  $3SAT \leq_m CLIQUE$  takes polynomial time. In other words, we have to show that the time to convert an instance  $\langle \phi \rangle$  of the  $3SAT$  problem to an instance  $\langle G, k \rangle$  of the  $CLIQUE$  problem is polynomial in the size of the 3cnf-formula  $\phi$ . We can measure the size of  $\phi$  in terms of its number  $k$  of clauses and its number  $m$  of variables. The constructed graph  $G$  has a node for every literal in  $\phi$ , and because  $\phi$  has  $k$  clauses, each with exactly 3 literals,  $G$  has  $3k$  nodes. We then add edges between each pair of nodes in  $G$  except for those between nodes in the same triple nor between contradictory literals. So the number of edges in  $G$  is strictly less than  $\binom{3k}{2} = 3k(3k-1)/2 = O(k^2)$ , so the time to construct  $G$  is polynomial in  $m$  and  $k$ . Thus,  $3SAT \leq_P CLIQUE$ .

10. Recall that

$$ILP = \{ \langle A, b \rangle \mid \text{matrix } A \text{ and vector } b \text{ satisfy } Ay \leq b \text{ with } y \text{ and integer vector } \}.$$

Show that  $ILP$  is NP-Complete by showing that  $ILP \in NP$  and  $3SAT \leq_P ILP$ . Explain your reduction for the general case and not just for a specific example. Be sure to prove your reduction works and that it requires polynomial time. Also, be sure to provide proofs of these results, and don't just cite a theorem.

**Answer:**

*Step 1:* show that  $ILP \in NP$ . To do this, we now give a polynomial-time verifier  $V$  using as a certificate an integer vector  $c$  such that  $Ac \leq b$ . Here is a verifier for  $ILP$ :

$V =$  "On input  $\langle \langle A, b \rangle, c \rangle$ :

1. Test whether  $c$  is a vector of all integers.
2. Test whether  $Ac \leq b$ .

3. If both tests pass, *accept*; otherwise, *reject*.”

If  $Ay \leq b$  has  $m$  inequalities and  $n$  variables, we measure the size of the instance  $\langle A, b \rangle$  as  $(m, n)$ . Stage 1 of  $V$  takes  $O(n)$  time, and Stage 2 takes  $O(mn)$  time. Hence, verifier  $V$  has  $O(mn)$  running time, which is polynomial in size of problem.

*Step 2:* show  $3SAT \leq_m ILP$ . (We later show the reduction takes polynomial time.) To prove that  $3SAT \leq_m ILP$ , we need an algorithm that takes any instance  $\phi$  of the  $3SAT$  problem and converts it into an instance of the  $ILP$  problem such that  $\langle \phi \rangle \in 3SAT$  if and only if the constructed integer linear program has an integer solution. Suppose that  $\phi$  has  $k$  clauses and  $m$  variables  $x_1, x_2, \dots, x_m$ . For the integer linear program, define  $2m$  variables  $y_1, y'_1, y_2, y'_2, \dots, y_m, y'_m$ . Each  $y_i$  corresponds to  $x_i$ , and each  $y'_i$  corresponds to  $\bar{x}_i$ . For each  $i = 1, 2, \dots, m$ , define the following inequality and equality relations to be satisfied in the integer linear program:

$$0 \leq y_i \leq 1, \quad 0 \leq y'_i \leq 1, \quad y_i + y'_i = 1. \quad (1)$$

If  $y_i$  must be integer-valued and  $0 \leq y_i \leq 1$ , then  $y_i$  can only take on the value 0 or 1. Similarly,  $y'_i$  can only take on the value 0 or 1. Hence,  $y_i + y'_i = 1$  ensures exactly one of the pair  $(y_i, y'_i)$  is 1 and the other is 0. This corresponds exactly to what  $x_i$  and  $\bar{x}_i$  must satisfy.

Each clause in  $\phi$  has the form  $(x_i \vee \bar{x}_j \vee x_k)$ . For each such clause, create a corresponding inequality

$$y_i + y'_j + y_k \geq 1 \quad (2)$$

to be included in the integer linear program. This ensures that each clause has at least one true literal. By construction,  $\phi$  is satisfiable if and only if the constructed integer linear program with  $m$  sets of relations in display (1) and  $k$  inequations as in display (2) has an integer solution. Hence, we have shown  $3SAT \leq_m ILP$ .

*Step 3:* show that the time to construct the integer linear program from a 3cnf-function  $\phi$  is polynomial in the size of  $\langle \phi \rangle$ . We measure the size of  $\langle \phi \rangle$  in terms of the number  $m$  of variables and the number  $k$  of clauses in  $\phi$ . For each  $i = 1, 2, \dots, m$ , display (1) comprises 6 inequalities:

- $y_i \geq 0$  (rewritten as  $-y_i \leq 0$ ),
- $y_i \leq 1$ ,
- $y'_i \geq 0$  (rewritten as  $-y'_i \leq 0$ ),
- $y'_i \leq 1$ ,
- $y_i + y'_i \leq 1$ , and
- $y_i + y'_i \geq 1$  (rewritten as  $-y_i - y'_i \leq -1$ ),

where the last two together are equivalent to  $y_i + y'_i = 1$ . Thus, we have  $6m$  inequalities corresponding to display (1). The  $k$  clauses in  $\phi$  leads to  $k$  more inequalities, each of the form in display (2). Thus, the constructed integer linear program has  $2m$  variables and  $6m + k$  linear inequalities, so the size of the resulting integer linear program is polynomial in  $m$  and  $k$ . Hence, the reduction takes polynomial time.

## List of Theorems

- Thm 1.A. The class of regular languages is closed under union.
- Thm 1.B. The class of regular languages is closed under concatenation.
- Thm 1.C. Every NFA has an equivalent DFA.
- Thm 1.D. The class of regular languages is closed under Kleene-star.
- Thm 1.E. (Kleene's Theorem) Language  $A$  is regular iff  $A$  has a regular expression.
- Thm 1.F. If  $A$  is finite language, then  $A$  is regular.
- Thm 1.G. The class of regular languages is closed under intersection.
- Thm 1.H. The class of regular languages is closed under complementation.
- Thm 1.I. (Pumping lemma for regular languages) If  $A$  is regular language, then  $\exists$  number  $p$  where, if  $s \in A$  with  $|s| \geq p$ , then  $\exists$  strings  $x, y, z$  such that  $s = xyz$  and (1)  $xy^iz \in A$  for each  $i \geq 0$ , (2)  $|y| > 0$ , and (3)  $|xy| \leq p$ .
- Thm 2.A. Every CFL can be described by a CFG  $G = (V, \Sigma, R, S)$  in Chomsky normal form, i.e., each rule in  $G$  has one of two forms:  $A \rightarrow BC$  or  $A \rightarrow x$ , where  $A \in V$ ,  $B, C \in V - \{S\}$ ,  $x \in \Sigma$ , and we also allow the rule  $S \rightarrow \varepsilon$ .
- Thm 2.B. If  $A$  is a regular language, then  $A$  is also a CFL.
- Thm 2.C. A language is context free iff some PDA recognizes it.
- Thm 2.D. (Pumping lemma for CFLs) For every CFL  $L$ ,  $\exists$  pumping length  $p$  such that  $\forall$  strings  $s \in L$  with  $|s| \geq p$ , we can write  $s = uvxyz$  with (1)  $uv^ixy^iz \in L \forall i \geq 0$ , (2)  $|vy| \geq 1$ , (3)  $|vxy| \leq p$ .
- Thm 2.E. The class of CFLs is closed under union.
- Thm 2.F. The class of CFLs is closed under concatenation.
- Thm 2.G. The class of CFLs is closed under Kleene-star.
- Thm 3.A. For every multi-tape TM  $M$ , there is a single-tape TM  $M'$  such that  $L(M) = L(M')$ .
- Thm 3.B. Every NTM has an equivalent deterministic TM.
- Cor 3.C. Language  $L$  is Turing-recognizable iff an NTM recognizes it.
- Thm 3.D. A language is enumerable iff some enumerator enumerates it.
- Church-Turing Thesis. The informal notion of algorithm is the same as Turing machine algorithm.
- Thm 4.A.  $A_{\text{DFA}} = \{ \langle B, w \rangle \mid B \text{ is a DFA that accepts string } w \}$  is Turing-decidable.
- Thm 4.B.  $A_{\text{NFA}} = \{ \langle B, w \rangle \mid B \text{ is an NFA that accepts string } w \}$  is Turing-decidable.
- Thm 4.C.  $A_{\text{REX}} = \{ \langle R, w \rangle \mid R \text{ is a regular expression that generates string } w \}$  is Turing-decidable.
- Thm 4.D.  $E_{\text{DFA}} = \{ \langle B \rangle \mid B \text{ is a DFA with } L(B) = \emptyset \}$  is Turing-decidable.
- Thm 4.E.  $EQ_{\text{DFA}} = \{ \langle A, B \rangle \mid A \text{ and } B \text{ are DFAs with } L(A) = L(B) \}$  is Turing-decidable.
- Thm 4.F.  $A_{\text{CFG}} = \{ \langle G, w \rangle \mid G \text{ is a CFG that generates string } w \}$  is Turing-decidable.
- Thm 4.G.  $E_{\text{CFG}} = \{ \langle G \rangle \mid G \text{ is a CFG with } L(G) = \emptyset \}$  is Turing-decidable.
- Thm 4.H. Every CFL is Turing-decidable.
- Thm 4.I.  $A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts string } w \}$  is undecidable.
- Thm 4.J. The set  $\mathcal{R}$  of all real numbers is uncountable.

Cor 4.K. Some languages are not Turing-recognizable.

Thm 4.L. A language is decidable iff it is both Turing-recognizable and co-Turing-recognizable.

Cor 4.M.  $\overline{A_{TM}}$  is not Turing-recognizable.

Thm 5.A.  $HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on } w \}$  is undecidable.

Thm 5.B.  $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM with } L(M) = \emptyset \}$  is undecidable.

Thm 5.C.  $REG_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular} \}$  is undecidable.

Thm 5.D.  $EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs with } L(M_1) = L(M_2) \}$  is undecidable.

Thm 5.E. (Rice's Thm.) Let  $\mathcal{P}$  be any subset of the class of Turing-recognizable languages such that  $\mathcal{P} \neq \emptyset$  and  $\overline{\mathcal{P}} \neq \emptyset$ . Then  $L_{\mathcal{P}} = \{ \langle M \rangle \mid L(M) \in \mathcal{P} \}$  is undecidable.

Thm 5.F. If  $A \leq_m B$  and  $B$  is Turing-decidable, then  $A$  is Turing-decidable.

Cor 5.G. If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is undecidable.

Thm 5.H. If  $A \leq_m B$  and  $B$  is Turing-recognizable, then  $A$  is Turing-recognizable.

Cor 5.I. If  $A \leq_m B$  and  $A$  is not Turing-recognizable, then  $B$  is not Turing-recognizable.

Thm 5.J.  $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM with } L(M) = \emptyset \}$  is not Turing-recognizable.

Thm 5.K.  $EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs with } L(M_1) = L(M_2) \}$  is neither Turing-recognizable nor co-Turing-recognizable.

Thm 7.A. Let  $t(n)$  be a function with  $t(n) \geq n$ . Then any  $t(n)$ -time multi-tape TM has an equivalent  $O(t^2(n))$ -time single-tape TM.

Thm 7.B. Let  $t(n)$  be a function with  $t(n) \geq n$ . Then any  $t(n)$ -time NTM has an equivalent  $2^{O(t(n))}$ -time deterministic 1-tape TM.

Thm 7.C.  $PATH \in P$ .

Thm 7.D.  $RELPRIME \in P$ .

Thm 7.E. Every CFL is in  $P$ .

Thm 7.F. A language is in NP iff it is decided by some nondeterministic polynomial-time TM.

Cor 7.G.  $NP = \bigcup_{k \geq 0} NTIME(n^k)$

Thm 7.H.  $CLIQUE \in NP$ .

Thm 7.I.  $SUBSET-SUM \in NP$ .

Thm 7.J. If  $A \leq_P B$  and  $B \in P$ , then  $A \in P$ .

Thm 7.K.  $3SAT$  is polynomial-time reducible to  $CLIQUE$ .

Thm 7.L. If there is an NP-Complete problem  $B$  and  $B \in P$ , then  $P = NP$ .

Thm 7.M. If  $B$  is NP-Complete and  $B \leq_P C$  for  $C \in NP$ , then  $C$  is NP-Complete.

Thm 7.N. (Cook-Levin Thm.)  $SAT$  is NP-Complete.

Cor 7.O.  $3SAT$  is NP-Complete.

Cor 7.P.  $CLIQUE$  is NP-Complete.

Thm 7.Q.  $ILP$  is NP-Complete.