## Abstract Interpretation (2/2)

Martin Kellogg

## Reading quiz: abstract interpretation

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- today's quiz is on paper, and also covers the topics of last week's class
- you have 15 minutes to complete it. When you're finished, bring it to Kazi in the back.
- you may use any hand-written notes that you took during last class
- this includes notes on a tablet or similar, if you wrote them with a stylus
■ but I will be looking over your shoulder if you do :)


## Agenda: abstract interpretation, part 2

- review and clarifications from last week
- more on soundness
- refinement and branching
- widening
- Stein's algorithm example
- analysis implementation demo


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- one for each kind of operation in the underlying programming language (e.g., one for + , one for -, etc.)


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- together these form a lattice
- a set of transfer functions that tell the abstract interpreter how to reason over that abstract domain
- one for each kind of operation in the underlying programming language (e.g., one for + , one for -, etc.)
- usually represented as tables


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concrete domain



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## Review: clarifications

- last week, I went through an extended example of how to get a parity analysis to work on one program
- however, that was just an example!
- an abstract interpretation is applicable to any program
- one of the key challenges in abstract interpretation design is figuring out the right set of examples to handle precisely
■ when you're implementing your divide-by-zero analysis, I strongly recommend that you write out some examples as test cases!
- you can just add them to the existing test


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o p(c) \subseteq \gamma\left(T_{o p}(\alpha(c))\right)
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possible results of concrete execution (green line)

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| $/$ | $\backslash$ |
| :---: | :---: |
| \{even $\}$ | $\{$ odd $\}$ |
| $\backslash$ | $/$ |
| $\}=$ bottom |  |

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- let's carry out an example proof using this technique ourselves on the plus transfer function from our simple parity analysis

| \{ even, odd \} = top | + | T | even | odd | 」 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | T | T | T | T | $\perp$ |
|  | even | T | even | odd | $\perp$ |
|  | odd | T | odd | even | $\perp$ |
|  | $\perp$ | $\perp$ | $\perp$ | $\perp$ | $\perp$ |

## More on soundness: example proof

 $o p(c) \subseteq \gamma\left(T_{o p}(\alpha\right.$- Let's first dispense with the easy cases:


## More on soundness: example proof

$$
\frac{\text { op(c) }}{(c) \mid}
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 | $\circ$ if the ${ }^{+}$ |
| :--- |
| $\quad$ - $\quad \nabla^{+}$ |

| even | T | even | odd | $\perp$ |
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- QED

More on soundness: example proof $\frac{o p(c) \leq \gamma\left(T_{o \sim}(a)\right.}{(c) \mid)^{\prime}}$

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| ■ $\}$ | $\perp$ | $\pm$ | $\pm$ | $\pm$ | $\pm$ |
| :--- | :--- | :--- | :--- | :--- | :--- |

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## More on soundness: example proof

$$
(c) \|
$$

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- Now we need to handle the more complex cases in the middle
- we could do them one-by-one...
- but we can skip some because addition is commutative
- so we don't need to worry about order


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| $\circ$ | waco $^{+}$ | T even odd | $\perp$ |
| :--- | :--- | :--- | :--- |

- but w |  | T | $\mp$ | $\mp$ | $\mp$ | $\pm$ |
| :--- | :--- | :--- | :--- | :--- | :--- | is commutative

■ so

| even | $\mp$ | even | odd | $\pm$ |
| :---: | :---: | :---: | :---: | :---: |
| odd | $\mp$ | odd | even | $\pm$ |
| $\perp$ | $\pm$ | $\pm$ | $\pm$ | $\pm$ | der

in other words, the two orange cases are the same!

More on soundness: example proof $\frac{\mid o p(c) \leq y T_{o_{o}}(\alpha)}{(c \mid)^{\prime}}$

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- $c$ is some addition statement $x+y$
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- formally, we would state this as $x \% 2=1$ and $y \% 2=0$


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## Refinement

Consider the following program:

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\begin{aligned}
& x=0 \\
& \text { while }(x<3): \\
& x=x+1 \\
& \text { print } x
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What value is printed? How do you know?

Insight: anything you can figure out by reasoning through the program by hand, an abstract interpretation can do too!

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not enough! need sets

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draw in the correct lattice here:

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Does this permit us to prove the value of $x$ at the end?
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## Refinement

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Does this permit us to prove the value of $x$ at the end? NO (need transfer function)
lattice here:

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- These transfer functions are called refinements because they typically involve a greatest lower bound
- a refinement rules out some possible states
- Refinements are defined over the boolean operators of the language
- for our example, we need a refinement for >=
- why >= and not < ?

■ loop guard is false, so we invert the operator

## Refinement

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- in the real world, we don't know how many values we'll need for any given program!
- it would be nice if we could have sets of arbitrary size

■ and we shouldn't need to reimplement our analysis each time we need to reason about differently-sized sets

## Widening

- What if we want to build a bigger constant propagation lattice?
- the previous example only worked because we knew that we only needed at most 4 values at a time
- in the real world, we don't know how many values we'll need for any given program!
- it would be nice if we could have sets of arbitrary size
- and we shouldn't need to reimplement our analysis each time we need to reason about differently-sized sets
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■ We can use widening operators to allow this (sort of)

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- effectively, this guarantees termination by bounding the number of times that a particular value can change, even if the lattice is of infinite size
- this is safe because the analysis isn't required to take the least upper bound so long as it chooses an upper bound
- example widening operator for constant propagation:
- if an abstract value has changed at least five times, go to top


## Widening

Let's return to the previous example:

$$
\begin{aligned}
& x=0 \\
& \text { while }(x<3): \\
& x=x+1 \\
& \text { print } x
\end{aligned}
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## Widening

Let's return to the previous example:

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\begin{aligned}
& x=0 \\
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- but abstract interpretation was always imprecise, so that's okay
- A nice fact about implementing an abstract interpretation is that it is always safe to apply a widening operator
- this means it's easy to support complex language features: just immediately widen any values that they impact
■ "go to top" is a sound policy in all situations


## Agenda: abstract interpretation, part 2

- review and clarifications from last week
- more on soundness
- refinement and branching
- widening
- Stein's algorithm example
- analysis implementation demo


## Another example: Stein's algorithm

```
def gcd(int a, int b):
    if a == 0 or b == 0:
        return 0
    int expt = 0
    while a is even and b is even:
        a =a / 2
        b = b / 2
        expt = expt + 1
    while a != b:
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- "constant propagation" can prove no divisions by zero!


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- 1st: a is odd or b is odd
- 2nd: a eventually equals b


## Another example: Stein's algorithm: parity

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def gcd(int a, int b):
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    int expt = 0
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Fortunately, we already know an analysis for parity. Let's use it (on the board; requires a CFG).

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- we ran into a problem: we can't prove that $a$ and $b$ are eventually odd!
- the transfer function for even / is2 returns T
- in this case, that's actually correct!
- the program does not terminate on all inputs
- $-1,1$ is a counterexample


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## Course announcements

- This week's homework is individual (you may not work with a partner)
- this is a difference from previous homeworks!
- early next week I will send out a survey (via Discord) about what topic we should cover in the last week of class (April 25)
- please give this some serious thought!
- the survey will be open until next week's class, and I will announce the result during class

