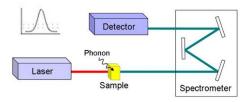


# Phys 774: Raman Scattering

### Fall 2007



### Raman scattering in crystalline solids

Not every crystal lattice vibration can be probed by Raman scattering. There are certain *Selection rules*:

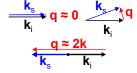
### 1. Energy conservation:

$$\hbar\omega_{i} = \hbar\omega_{s} \pm \hbar\Omega$$

 $\hbar\Omega$  Excitation energy

### 2. Momentum conservation in crystals:

$$\mathbf{k}_i = \mathbf{k}_s \pm \mathbf{q} \implies 0 \le |\mathbf{q}| \le 2|\mathbf{k}| \implies 0 \le |q| \le \frac{4\pi n}{\lambda_i}$$



$$\lambda_{\rm i} \sim 5000 \ {\rm \AA}, \ a_0 \sim 4\text{--}5 \ {\rm \AA} \Longrightarrow \lambda_{\rm phonon} >> a_0$$

Phonons

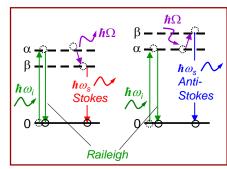
⇒ only small wavevector (cloze to BZ center) phonons are seen in the 1<sup>st</sup> order (single phonon) Raman spectra of bulk crystals

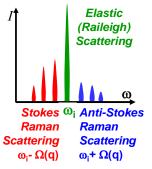
# 3. Selection rules determined by crystal symmetry and by symmetry of excitations

### **Introduction: Brillouin and Raman spectroscopy**

Inelastic light scattering mediated by the electronic polarizability of the medium

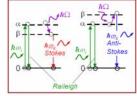
- a material or a molecule scatters irradiant light from a source
- Most of the scattered light is at the same wavelength as the laser source (elastic, or Raileigh scattering)
- but a small amount of light is scattered at different wavelengths (inelastic, or *Raman scattering*)

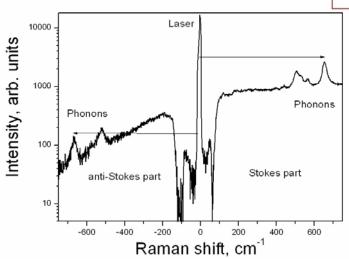




Analysis of scattered light energy, polarization, relative intensity provides information on lattice vibrations or other excitations <sup>2</sup>

## Raman scattering: how does it look like?



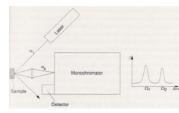


#### **Excitations**

- Molecular vibrations
- Phonons: acoustic and optical
- Magnons
- Collective excitations: Electrons+phonons = Plasmons
- Resonant excitations: Spin-flip excitations

### **Experimental setups**

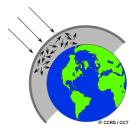
- **Excitation source: Lasers or Synchrotron radiation**
- Sample: optical cryostats, magnets, pressure cells, etc
- Polarizers for selection rules analysis
- **Spectrometers**
- **Detectors**

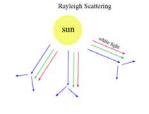


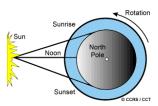
### **History of Raman scattering**

### Before Raman ...: Rayleigh and Mie

 $\hbar\omega_{i}=\hbar\omega_{s}$ 







#### **Scattered intensity**

$$I = I_0 \frac{1 + \cos^2 \theta}{2R^2} \left(\frac{2\pi}{\lambda}\right)^4 \left(\frac{n^2 - 1}{n^2 + 2}\right)^2 \left(\frac{d}{2}\right)^6$$

#### **Cross section**

$$\sigma_s = \frac{2\pi^5}{3} \frac{d^6}{\lambda^4} \left( \frac{n^2 - 1}{n^2 + 2} \right)^2$$

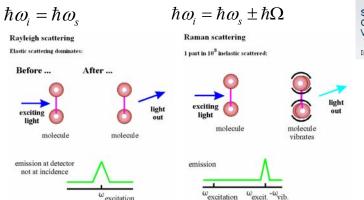


### **History of Raman scattering**









### **History of Raman scattering**

The Nobel Prize in Physics 1930

Mandelstam-Brillouin scattering, 1928

Hg lamp



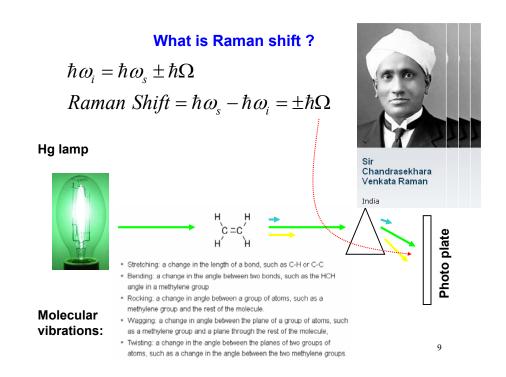




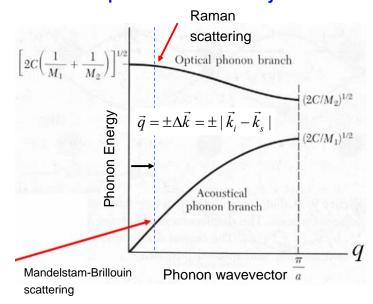




- Stretching: a change in the length of a bond, such as C-H or C-C
- Bending: a change in the angle between two bonds, such as the HCH angle in a methylene group
- . Rocking: a change in angle between a group of atoms, such as a
- Molecular vibrations:
- methylene group and the rest of the molecule. · Wagging: a change in angle between the plane of a group of atoms, such as a methylene group and a plane through the rest of the molecule,
- . Twisting: a change in the angle between the planes of two groups of atoms, such as a change in the angle between the two methylene groups.



### Mandelstam-Brillouin vs. Raman scattering Examples of Phonons in Crystals



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### **Phonons**

- Quantum mechanics: energy levels of the harmonic oscillator are quantized
- Similarly the energy levels of lattice vibrations are quantized.
- The quantum of vibration is called a phonon (in analogy with the photon - the quantum of the electromagnetic wave)

Allowed energy levels of the harmonic oscillator:  $E = (n + 1/2)\hbar\omega$  where n is the quantum number

A normal vibration mode of frequency  $\omega$  is given by  $\mathbf{u} = \mathbf{A}e^{i(\mathbf{q}\cdot\mathbf{r}-\omega t)}$  mode is occupied by n phonons of energy  $\hbar\omega$ ; momentum  $\mathbf{p} = \hbar\mathbf{q}$ 

Number of phonons is given by : 
$$(T - \text{temperature}) \qquad \qquad n = \frac{1}{e^{\hbar \omega/kT} - 1}$$

The total vibrational energy of the crystal is the sum of the energies of the individual phonons:

(
$$p$$
 denotes particular phonon branch) 
$$E = \sum_{\mathbf{q}p} E_{\mathbf{q}p} = \sum_{\mathbf{q}p} (n_{\mathbf{q}p} + \frac{1}{2})\hbar \omega_p(\mathbf{q})$$

### 1D Model of lattice vibrations

 $u_{n+1}$ 

harmonic approximation: – force acting on the n<sup>th</sup> atom is

one-dimensional lattice: linear chain of atoms

n-1 n n+1 a

$$F_n = C(u_{n+1} - u_n) + C(u_{n-1} - u_n)$$

equation of motion (nearest neighbors interaction only):

$$M\frac{\partial^2 u}{\partial t^2} = F_n = C(u_{n+1} - u_n) + C(u_{n-1} - u_n) = -C(2u_n - u_{n+1} - u_{n-1})$$

M is the atomic mass, C – force constant

Now look for a solution of the form  $u(x,t) = Ae^{i(qx_n - \omega t)}$ 

where  $x_n$  is the equilibrium position of the *n*-th atom  $\rightarrow x_n = na$ 

obtain 
$$M(-\omega^2)e^{iqna} = -C\left[2e^{iqna} - e^{iq(n+1)a} - e^{iq(n-1)a}\right]$$

$$\begin{split} M(-\omega^2)e^{iqna} &= -C\left[2e^{iqna} - e^{iq(n+1)a} - e^{iq(n-1)a}\right] \quad \to \\ M\omega^2 &= C\left(2 - e^{iqa} - e^{-iqa}\right) = 2C(1 - \cos qa) = 4C\sin^2\frac{qa}{2} \\ \Rightarrow \text{ the dispersion relation is} \quad \omega &= \sqrt{\frac{4C}{M}} \left|\sin\frac{qa}{2}\right| \end{split}$$

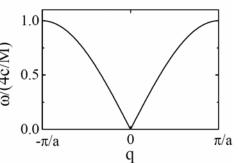
Note: we change  $q \rightarrow q + 2\pi/a$  the atomic displacements and frequency  $\omega$  do not change  $\Rightarrow$  these solutions are physically identical

$$\Rightarrow$$
 can consider only

$$-\frac{\pi}{a} \le q \le \frac{\pi}{a}$$

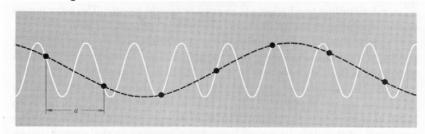
i.e. q within the first Brillouin zone

The maximum frequency is  $2\sqrt{C_M}$ 



### Dispersion relation

Another way of showing this, physically, is by looking at the wavelengths of vibration:

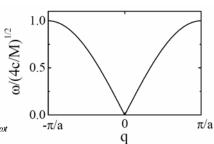


The wave represented by the solid curve conveys no new information not given by the dashed curve. Only wavelengths longer than 2a are needed to represent the motion. This means that K is limited by  $-\pi/a \le K \le \pi/a$ 

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$$\omega = \sqrt{\frac{4C}{M}} \left| \sin \frac{qa}{2} \right|$$

At the boundaries of the Brillouin zone  $q = \pm \pi/a \rightarrow u_n = A(-1)^n e^{-i\omega t}$ standing wave



### Phase and group velocity

phase velocity is defined as  $v_p = \frac{\omega}{a}$ 

group velocity 
$$v_g = \frac{d\omega}{dq}$$
  $v_g = a\sqrt{\frac{C}{M}}\cos{\frac{qa}{2}}$ 

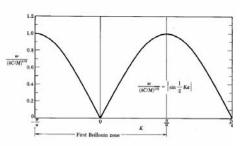
$$v_g = a\sqrt{\frac{C}{M}}\cos\frac{qa}{2}$$

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 $v_{o} = 0$  at the boundaries of the Brillouin zone  $(q = \pm \pi/a) \Rightarrow$ no energy transfer - standing wave

### Features of the Dispersion Relation

- Most of the waves described by the wavevector K are travelling waves (meaning that the waves propagate through the lattice).
- However, at the zone boundary, which is  $K = +/- \pi/a$  the wave becomes a standing wave. This means that the wave itself moves neither to the left or two the right.
- It is also a standing wave at K = 0 (the wavelength is infinite)





(at Ka =  $\pm -\pi$  these are vibrating out of phase, and so the wave does not propagate)

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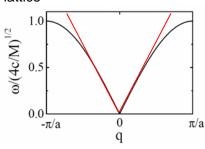
Long wavelength limit:  $\lambda >> a$ ;  $q = 2\pi/\lambda << 2\pi/a \Rightarrow qa << 1$ 

small q - close to the center of Brillouin zone

$$\omega = \sqrt{\frac{4C}{M}} \left| \sin \frac{qa}{2} \right| \approx \sqrt{\frac{C}{M}} qa$$
 - linear dispersion

$$v_p = v_g = a\sqrt{\frac{C}{M}}$$

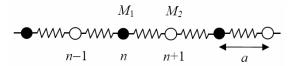
 $v_p = v_g = a\sqrt{\frac{C}{M}}$  - sound velocity for the one dimensional

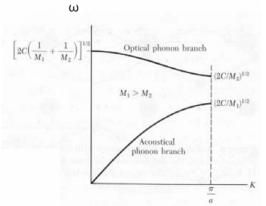




### **Diatomic lattice**

one-dimensional linear chain, atoms of two types:  $M_1$  and  $M_2$ 





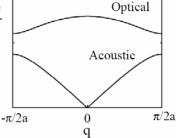
**Optical Phonons** can interact with light

For diamond **Optical phonon** frequency is ≈ 1300 cm<sup>-1</sup>

$$\lambda \approx$$
 7700 nm (far-IR)

$$\omega^{2} = C \left( \frac{1}{M_{1}} + \frac{1}{M_{2}} \right) \pm C \sqrt{\left( \frac{1}{M_{1}} + \frac{1}{M_{2}} \right)^{2} - \frac{4 \sin^{2} qa}{M_{1} M_{2}}}$$

Note: the first Brillouin zone is now from  $-\pi/2a$  to  $+\pi/2a$ 



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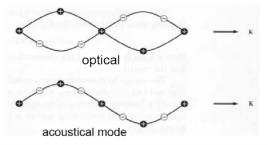
The lower curve - acoustic branch, the upper curve - optical branch. at q = 0 for acoustic branch  $\omega_0 = 0$ ;  $A_1 = A_2$ 

⇒ the two atoms in the cell have the same amplitude and the phase dispersion is linear for small q

for optical branch at 
$$q=0$$
 
$$\omega_0 = \sqrt{2C\left(\frac{1}{M_1} + \frac{1}{M_2}\right)} \qquad M_1A_1 + M_2A_2 = 0$$

⇒ the center of mass of the atoms remains fixed. The two atoms move out of phase. Frequency is in infrared - that's why called optical

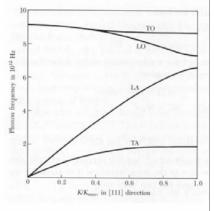
### Transverse optical and acoustical modes



- The acoustic branch has this name because it gives rise to long wavelength vibrations - speed of sound
- The optical branch is a higher energy vibration (the frequency is higher, and you need a certain amount of energy to excite this mode). The term "optical" comes from how these were discovered - notice that if atom 1 is +ve and atom 2 is -ve, that the charges are moving in opposite directions. You can excite these modes with electromagnetic radiation (ie. The oscillating electric fields generated by EM radiation)

### Two Atoms/Primitive Basis

- If there are p atoms in the unit cell, there has to be 3p branches in the dispersion relation: 3 acoustical branches, and 3p-3 optical branches (there are more ways for the atoms to move for the optical modes)
- So, for Germanium, which has 2 atoms/unit cell (diamond structure: 000 and 1/4 1/4 1/4 ), there has to be 6 branches: one longitudinal acoustic (LA), 2 transverse acoustic (TA), one longitudinal optical (LO), 2 transverse optical (TO)



(phonon dispersion curve in the [111] direction for Germanium at 80 K. as determined by inelastic neutron scattering)

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### **Quasi-Classical Theory of Raman Scattering**

$$\hbar\omega_i = \hbar\omega_s \pm \hbar\Omega$$
 $\vec{k}_i = \vec{k}_s \pm \vec{q}$ 

$$\mathbf{P}(\omega_s) = \epsilon_0 \tilde{\chi}(\omega_i, \omega_s) \mathbf{E}(\omega_i)$$

$$\vec{Q} = \vec{Q}_0 \cdot e^{i(\vec{k} \cdot \vec{r} - \omega_0 t)}$$

$$I_{\rm s} = I_{\rm i} \frac{\omega_{\rm s}^4 V}{(4\pi\epsilon\epsilon_0)^2 c^4} \left| e_{\rm s} \tilde{\chi}(\omega_{\rm i}, \omega_{\rm s}) e_{\rm i} \right|^2$$

Elastic scatt.

$$\frac{\partial \chi}{\partial Q} = \frac{\partial \chi(E_{\rm C})}{\partial E} \cdot \frac{\partial E_{\rm C}}{\partial Q}$$

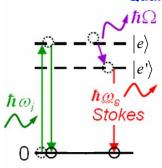
$$\begin{array}{rcl} \chi_{\alpha,\beta}(\omega_{\rm i},\omega_{\rm s}) &=& \chi^0_{\alpha,\beta}(\omega_{\rm i}) & {\rm Elastic} \\ {\rm Lattice\ Deformation} & & +\sum_j Q_j \cdot \left(\frac{\partial \chi_{\alpha,\beta}(\omega_{\rm i})}{\partial Q_j}\right) \end{array}$$

Deformation gradient 
$$+\sum_{j} i Q_{j} \cdot q_{j} \left( \frac{\partial \chi_{\alpha,\beta}(\omega_{i})}{\partial \nabla Q_{j}} \right)$$

External E-field 
$$+\sum_{j,\gamma}Q_j\cdot E_{\gamma}\cdot \left(\frac{\partial^2\chi_{\alpha,\beta}(\omega_i)}{\partial Q_j\partial E_{\gamma}}\right)$$

2-phonon process 
$$+\sum_{j,j'}Q_jQ_{j'}\cdot\frac{1}{2}\left(\frac{\partial^2\chi_{\alpha,\beta}(\omega_{\rm i})}{\partial Q_j\,\partial Q_{j'}}\right)$$

### **Quantum Theory of Raman Scattering**



$$\hbar\omega_i=\hbar\omega_s\pm\hbar\Omega$$

$$\vec{k}_i = \vec{k}_s \pm \vec{q}$$

- the electronic transition from the ground state  $|0\rangle$  to an excited state  $|e\rangle$ : creation of an electron-hole pair due to the absorption of a photon with the energy  $\hbar\omega_i$ .
- the electron-lattice interaction, i.e. the electronic transition from  $|e\rangle$  to  $|e'\rangle$ under creation or annihilation of a phonon with  $\hbar\Omega$ .
- the transition from |e'\rangle to the ground state |0\rangle: recombination of the electron-hole pair under emission of a photon  $\hbar\omega_{\circ}$ .

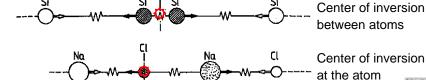
$$\chi_{\alpha,\beta}(\omega_{\rm i},\omega_{\rm s}) = \frac{e^2}{m_0^2 \cdot \omega_{\rm s}^2 \cdot V} \sum_{e,e'} \frac{\langle 0|p_\alpha|e'\rangle\langle e'|H_{\rm E-L}|e\rangle\langle e|p_\beta|0\rangle}{(E_{e'} - \hbar\omega_{\rm s})(E_e - \hbar\omega_{\rm i})}$$

Here  $m_0$  is the electron mass and V the scattering volume,  $p_0$  and  $p_0$  are vector components of the dipole operators of the scattered and incident light, and  $H_{E-L}$  is the electron-phonon interaction Hamiltonian.  $E_e$  and  $E_{e'}$  are the energies of the excited electronic states.

### Selection rules for Raman Scattering by phonons

$$\vec{Q} = \vec{Q}_0 \cdot e^{i(\vec{k} \cdot \vec{r} - \omega_0 t)} \qquad \qquad \hat{I}^- \cdot (\vec{Q}) = -\vec{Q} \qquad \text{"odd"}$$
$$\hat{I}^- \cdot (\vec{Q}) = \vec{Q} \qquad \text{"even"}$$

Symmetry of Raman-active modes, "g" – gerade (de.) = even Symmetry of IR-active modes, "u" - ungerade (de.) = odd





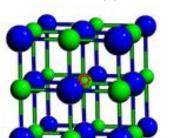
Phonon symmetry for different crystal lattices: The dashed circles are the diatomic base of an elementary cell, open circles are atoms of neighbouring cells. The dash-dotted vertical lines mark the centre of inversion. (a) Si  $(O_h^7$ -structure) has a g-mode (even symmetry), which is Raman-active. (b) NaCl (O<sub>b</sub>-structure) has a u-mode (odd symmetry), which is IR-active. (c) GaAs (T<sub>d</sub>-structure) shews both Raman and IR-activity

### Atomic displacement and Raman / IR-activity of optical phonons in crystals with the center of inversion

$$\begin{array}{ccc} \chi \longrightarrow -\chi & & \vec{Q} = \vec{Q}_0 \cdot e^{i(\vec{k} \cdot \vec{r} - \omega_0 t)} \\ \vec{E} = \vec{E}_0 \cdot e^{i(\vec{k} \cdot \vec{r} - \omega t)} \end{array}$$

$$y \to -y \qquad \hat{I}^- \cdot (\vec{Q}) = -\vec{Q}$$

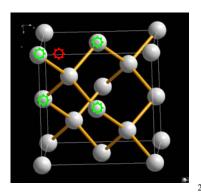
$$z \rightarrow -z$$
  $\hat{I}^- \cdot (\vec{E}) = -\vec{E}$ 



No Raman-active phonons in NaCl, MgO, SrTiO<sub>3</sub>

$$\hat{I}^{-} \cdot (\vec{Q}) = \vec{Q}$$
$$\hat{I}^{-} \cdot (\vec{E}) = -\vec{E}$$

No IR-active phonons in Ge, Si, Diamond



### phonons in crystals with the center of inversion Phonons in Ge, Si, Diamond

are Raman active

$$\vec{Q} = \vec{Q}_0 \cdot e^{i(\vec{k} \cdot \vec{r} - \omega_0 t)}$$

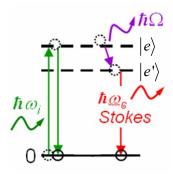
$$\vec{r} = \vec{R} \quad i(\vec{k} \cdot \vec{r} - \omega t)$$

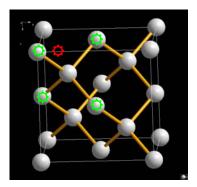
$$\vec{E} = \vec{E}_0 \cdot e^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

$$\chi_{\alpha,\beta}(\omega_{\rm i},\omega_{\rm s}) = \frac{e^2}{m_0^2 \cdot \omega_{\rm s}^2 \cdot V} \sum_{e,e'} \frac{\langle 0|p_{\alpha}|e'\rangle\langle e'|H_{\rm E-L}|e\rangle\langle e|p_{\beta}|0\rangle}{(E_{e'} - \hbar\omega_{\rm s})(E_e - \hbar\omega_{\rm i})}$$

Atomic displacement and Raman / IR-activity of optical

$$\hat{I}^{-} \cdot (\vec{Q}) = \vec{Q}$$
$$\hat{I}^{-} \cdot (\vec{E}) = -\vec{E}$$





### **Polarization Selection rules for Raman Scattering**

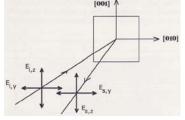
### by phonons

$$I_{\rm s} = I_{\rm i} \frac{\omega_{\rm s}^4 V}{(4\pi\epsilon\epsilon_0)^2 c^4} \left| e_{\rm s} \tilde{\chi}(\omega_{\rm i}, \omega_{\rm s}) e_{\rm i} \right|^2$$

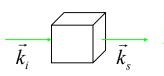
$$\vec{k}_i(\vec{E}_i,\vec{E}_s)\vec{k}_s$$

$$\left(\frac{\partial \chi_{\alpha,\beta}(\omega_{\rm i})}{\partial Q_j}\right)$$

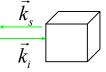
Raman tensor



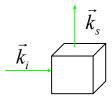
Polarization configuration of experiment



Forward scattering



Back-scattering



90 deg scattering

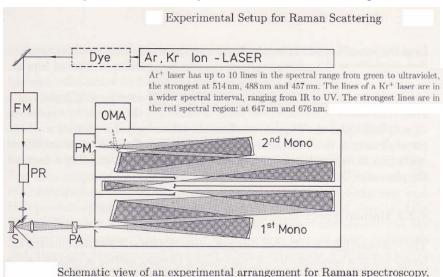
### Example of selection rules for Raman scattering by optical phonons in III-V semiconductor crystals (no center of inversion)

Observation of TO and LO phonons from Deformation Potential scattering (DP) or Fröhlich scattering (F) on materials with T<sub>d</sub> symmetry for various crystal-face directions and polarisation directions of the incident and scattered light Ei and Es

surface	$\mathbf{E}_{\mathrm{i}}$	$\mathbf{E}_{\mathrm{s}}$	ТО	LO
	[010]	[001]		$\mathrm{DP}  \frac{\partial \chi}{\partial Q}$
(100)	[010]	[010]	-	F
	[001]	[001]	- 1	F
	[011]	[011]		DP + F
	[011]	[011]		-
even lan (la)	[110]	[110]	DP	F
(110)	$[1\overline{1}0]$	[001]	DP	
	[001]	[001]	-	F
	[110]	[110]	DP	DP + F
(111)	[110]	$[11\overline{2}]$	DP	
	$[11\overline{2}]$	$[11\overline{2}]$	DP	DP + F

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### Experimental setups for Raman scattering

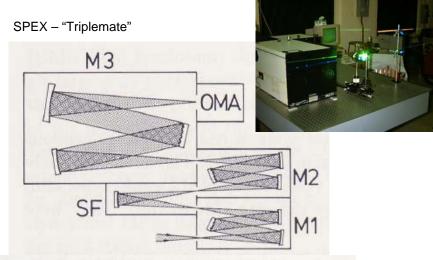


using an additive double monochromator. For the detection, either a single channel

photomultiplier (PM) or a multichannel detector can be used. FM: foremonochro-

mator; PR: polarisation rotator; PA: polarisation analyser; S: sample

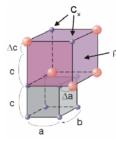
### Experimental setups for Raman scattering



Triple monochromator setup for Raman spectroscopy, consisting of a subtractive double monochromator (M1; M2) with spatial filter (SF) for stray light reduction, followed by a third monochromator (M3) to project the spectrum onto the multichannel detector (OMA)

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### Stress analysis in microstructures using Raman scattering



Development of the strain-induced shift of the Raman frequencies vs the layer thickness for  $\mathrm{Si}_x\mathrm{Ge}_{1-x}$  on GaAs. The distinct shift of the phonon frequencies at 40 nm is due to the relaxation of the epilayer strain

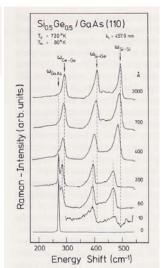
$$\varepsilon_{\rm L}^{\parallel} = \varepsilon_{xx} = \varepsilon_{yy} = (a_0^{\rm S} - a_0^{\rm L})/a_0^{\rm L} \qquad \varepsilon_{\rm L}^{\perp} = \frac{2 \cdot S_{12}}{S_{11} + S_{12}} \varepsilon_{\rm L}^{\parallel}$$

The strain-induced shift of the phonon frequencies

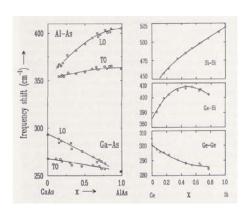
$$\Delta\omega_{\mathrm{LO}} = (\frac{p+2q}{3\omega_0^2} \cdot \frac{(S_{11}+2S_{12})}{(S_{11}+S_{12})} + \frac{2}{3} \frac{q-p}{2\omega_0^2} \cdot \frac{(S_{11}-S_{12})}{(S_{11}+S_{12})}) \cdot \omega_0 \cdot \varepsilon_{\mathrm{L}}^{\parallel}$$

$$\Delta\omega_{\mathrm{TO}} = (\frac{p+2q}{3\omega_{0}^{2}} \cdot \frac{(S_{11}+2S_{12})}{(S_{11}+S_{12})} - \frac{1}{3}\frac{q-p}{2\omega_{0}^{2}} \cdot \frac{(S_{11}-S_{12})}{(S_{11}+S_{12})}) \cdot \omega_{0} \cdot \varepsilon_{\mathrm{L}}^{\parallel}$$

phonon deformation potential values p and q are  $-\omega_0^2$  and  $-2\omega_0^2$ 

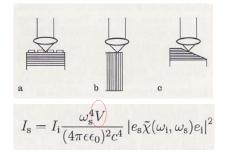


# Composition analysis in microstructures



Multi-mode phonon behaviour in mixed crystals. Two-mode phonon behaviour in  $Al_xGa_{1-x}As: Ga-As$  and Al-As TO and LO modes (a) three-mode phonon behaviour in  $Sl_xGe_{1-x}: Sl-Sl_x$ , Sl-Ge, and Ge-Ge mode (b)

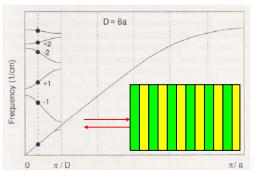
### Micro-Raman scattering

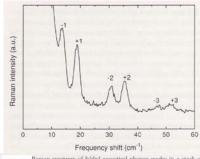


Possible realisations of micro-Raman spectroscopy from heterostructures.

a Locally resolved analysis of structured surfaces, b scan on a cleaved side-face, c scan on surface after bevel etching

# Raman scattering in Low-dimensional periodic structures (folded acoustic phonons in GaAs/AIAs MQW's)



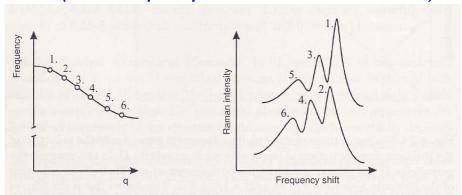


Raman spectrum of folded acoustical phonon modes in a stack of 10 InGaAs/InP bilayers. The peak frequencies correspond to a periodicity length of 8.6 nm

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Folding of the acoustical phonon dispersion curve due to the reduction of the Brillouin zone for a stack of layers with stack periodicity D. In this example the periodicity D was chosen as 6 times the lattice constant a. This leads to reduction of the Brillouin zone from  $\pi/a$  to  $\pi/D = 1/6 \times \pi/a$ . The dotted line is the edge of the new Brillouin zone. The dashed vertical line symbolises the q-transfer in Raman scattering. The circles denote the frequency and wavevector values of the observed folded phonon modes.

# Raman scattering in Low-dimensional periodic structures (Confined optical phonons in GaAs/AIAs MQW's)



Optical phonon dispersion curve  $\Omega$  with allowed q-values 1...6. and corresponding Raman spectra. The odd-index modes appear in off-diagonal polarisation configuration, while the even-index modes require the diagonal configuration

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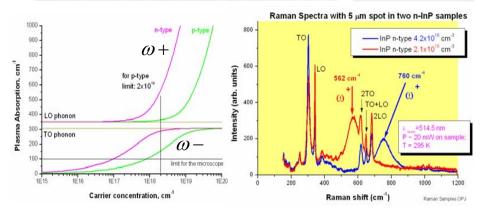
### Resonant Raman scattering

$$\chi_{\alpha,\beta}(\omega_{\rm i},\omega_{\rm s}) = \frac{e^2}{m_0^2 \cdot \omega_{\rm s}^2 \cdot V} \sum_{e,e'} \frac{\langle 0|p_{\alpha}|e'\rangle\langle e'|H_{\rm E-L}|e\rangle\langle e|p_{\beta}|0\rangle}{(E_{e'}-\hbar\omega_{\rm s})(E_{e}-\hbar\omega_{\rm i})}$$

Squared Raman susceptibility of Si at room temperature for both Stokes and anti-Stokes data. The straight line represents the squared derivative of the dielectric function determined by spectroscopic ellipsometry

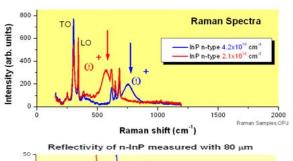
### Raman scattering by coupled Plasmons: plasmon+LOph

$$\epsilon(\omega, q) = \epsilon_{\infty} + \frac{\omega_{\text{TO}}^2 \cdot (\epsilon_s - \epsilon_{\infty})}{\omega_{\text{TO}}^2 - \omega^2 - i \omega \Gamma} - \frac{\omega_{\text{p}}^2}{\omega^2 - 3/5 \cdot v_{\text{F}}^2 \cdot q^2} \qquad \omega_p = \sqrt{\frac{n \cdot e^2}{\epsilon_0 \cdot \epsilon_{\infty} \cdot m^*}}$$

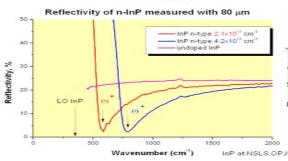


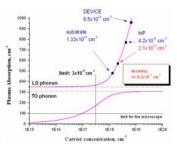
Eigenfrequencies of the coupled plasmon- LO-phonon modes  $\Omega^-$  and  $\Omega^+$ 

### Raman scattering and IR reflectivity by Plasmons: e+ph



$$\omega_p = \sqrt{\frac{n \cdot e^2}{\epsilon_0 \cdot \epsilon_\infty \cdot m^*}}$$





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# Example of E-field induced Raman scattering in crystalline solids

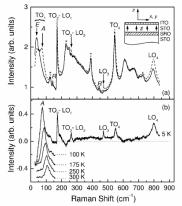
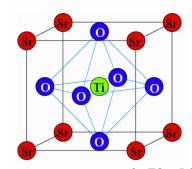


FIG. 1. (a) Solid and dotted lines show the Raman spectra of 1  $\mu m$  STO film at T=5 K without electric field and in the presence of an external electric field of  $22\times10^5$  V/cm directed normal to the film plane, respectively. The soft-mode components are labeled A and E. Structural modes are denoted by R. Optical phonons from the SRO buffer layer are marked with stars. The inset shows the schematics of the investigated trilayer ITO/STO/SRO structure grown on an STO substrate. (b) Electric-field-induced modification of the Raman intensity obtain by subtracting spectrum at  $\ell=22\times10^4$  V/cm from that at  $\ell=0$  for different temperatures shown next to the spectra. Spectra are shifted vertically for clarity.

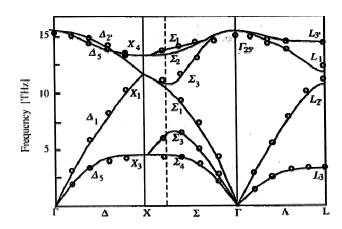


3S = 15 modes  $2 \times TO_1 + LO_1$  $2 \times TO_2 + LO_2$  $2 \times TO_3 + LO_3$  $2 \times TO_4 + LO_4$ 

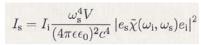
$$+ \sum_{j,\gamma} Q_j \cdot E_{\gamma} \cdot \left( \frac{\partial^2 \chi_{\alpha,\beta}(\omega_i)}{\partial Q_j \partial E_{\gamma}} \right)$$

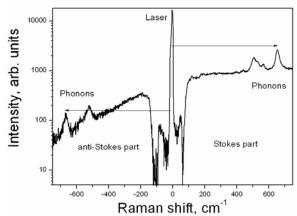
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HW: Which crystal has this phonon dispersion diagram?



### Raman thermometer





Number of phonons is given by : (T - temperature)

$$n = \frac{1}{e^{\hbar \omega/kT} - 1}$$

Classical formula is not complete!

You need to take Planck's statistics for phonons into account to calculate the scattering intensity

HW:

What is St/aSt ratio ???

 $Stokes \sim n+1$  $anti-Stokes \sim n$